



HEALTH QUARTERLY STATEMENT

AS OF MARCH 31, 2017
OF THE CONDITION AND AFFAIRS OF THE

PROVIDENCE HEALTH PLAN

NAIC Group Code 4788 4788 NAIC Company Code 95005 Employer's ID Number 93-0863097
(Current) (Prior)

Organized under the Laws of OR, State of Domicile or Port of Entry OR

Country of Domicile United States of America

Licensed as business type: Hospital, Medical & Dental Service or Indemnity

Is HMO Federally Qualified? Yes [] No [X]

Incorporated/Organized 02/01/1984 Commenced Business 01/01/1985

Statutory Home Office 4400 N.E. Halsey Bldg # 2, Ste. # 690, Portland, OR, US 97213-1545
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 3601 S.W. Murray Blvd, Suite # 10
(Street and Number)
Beaverton, OR, US 97005, 503-574-7500
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address P.O. Box 4327, Portland, OR, US 97208
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 4400 N.E. Halsey Bldg # 2, Ste. # 690
(Street and Number)
Portland, OR, US 97213-1545, 503-574-6397
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.providence.org/HealthPlans

Statutory Statement Contact DANIEL WAYNE RYAN, 503-574-6575
(Name) (Area Code) (Telephone Number)
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(E-mail Address) (FAX Number)

OFFICERS

CEO MICHAEL LESTER COTTON SECRETARY GREGORY deQUINA ZAMUDIO
CFO MICHAEL GORDON WHITE CHIEF MEDICAL OFFICER ROBERT ALLEN GLUCKMAN

OTHER

MARK JENSEN, CHIEF SERVICE OPERATIONS OFFICER ALISON SARAH SCHRUPP, CHIEF ADMINISTRATIVE OFFICER CARRIE LISLE SMITH, CHIEF COMPLIANCE OFFICER
BRADLEY JAMES GARRIGUES, CHIEF SALES & MARKETING OFFICER JON ROBERT McANNIS, CHIEF INFORMATION OFFICER

DIRECTORS OR TRUSTEES

RHONDA MICHELLE MEDOWS MD DEBRA ANN CANALES MICHAEL LESTER COTTON
ISAIAH CRAWFORD TODD NEWELL HOFHEINS GILBERT MARTINEZ RODRIGUEZ MD
HEATH GLENN SCHIESSER

State of Oregon SS:
County of Multnomah

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

MICHAEL LESTER COTTON
CEO

MICHAEL GORDON WHITE
CFO

GREGORY deQUINA ZAMUDIO
SECRETARY

Subscribed and sworn to before me this _____ day of _____

- a. Is this an original filing? Yes [X] No []
b. If no,
1. State the amendment number.....
2. Date filed.....
3. Number of pages attached.....

CAROL STRONG BRANDT

STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	310,429,182		310,429,182	308,275,878
2. Stocks:				
2.1 Preferred stocks				
2.2 Common stocks	271,342,029		271,342,029	266,916,159
3. Mortgage loans on real estate:				
3.1 First liens				
3.2 Other than first liens				
4. Real estate:				
4.1 Properties occupied by the company (less \$0 encumbrances)	58,669,544		58,669,544	59,559,734
4.2 Properties held for the production of income (less \$ encumbrances)				
4.3 Properties held for sale (less \$ encumbrances)				
5. Cash (\$83,237,801), cash equivalents (\$3,103,600) and short-term investments (\$2,729,106)	89,070,507		89,070,507	61,312,891
6. Contract loans (including \$ premium notes)				
7. Derivatives				
8. Other invested assets				
9. Receivables for securities	3,185,480		3,185,480	7,438,718
10. Securities lending reinvested collateral assets				
11. Aggregate write-ins for invested assets	17,405,057	17,405,057		
12. Subtotals, cash and invested assets (Lines 1 to 11)	750,101,799	17,405,057	732,696,742	703,503,380
13. Title plants less \$ charged off (for Title insurers only)				
14. Investment income due and accrued	1,292,462		1,292,462	1,342,446
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	5,161,133		5,161,133	3,918,225
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)				
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$495,787)	495,787		495,787	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	26,228,633		26,228,633	23,249,983
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts				
17. Amounts receivable relating to uninsured plans	5,216,190	19,891	5,196,299	7,232,908
18.1 Current federal and foreign income tax recoverable and interest thereon				
18.2 Net deferred tax asset				
19. Guaranty funds receivable or on deposit				
20. Electronic data processing equipment and software	9,080,935	8,235,821	845,114	1,008,894
21. Furniture and equipment, including health care delivery assets (\$)	249,548	249,548		
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates	25,790,327	14,124,250	11,666,077	
24. Health care (\$11,333,641) and other amounts receivable	11,684,834	1,012,210	10,672,624	10,479,934
25. Aggregate write-ins for other than invested assets				
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	835,301,648	41,046,777	794,254,871	750,735,770
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28. Total (Lines 26 and 27)	835,301,648	41,046,777	794,254,871	750,735,770
DETAILS OF WRITE-INS				
1101. Land Option & Put Agreement Escrow Account	17,405,057	17,405,057		
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	17,405,057	17,405,057		
2501.				
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page				
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)				

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ _____0 reinsurance ceded)	131,388,210	13,007,871	144,396,081	108,284,446
2. Accrued medical incentive pool and bonus amounts				
3. Unpaid claims adjustment expenses	3,507,525		3,507,525	3,178,841
4. Aggregate health policy reserves, including the liability of \$ _____ for medical loss ratio rebate per the Public Health Service Act				
5. Aggregate life policy reserves				
6. Property/casualty unearned premium reserve				
7. Aggregate health claim reserves				
8. Premiums received in advance	30,638,991		30,638,991	32,227,637
9. General expenses due or accrued	2,969,752		2,969,752	4,589,672
10.1 Current federal and foreign income tax payable and interest thereon (including \$ _____ on realized gains (losses))				
10.2 Net deferred tax liability				
11. Ceded reinsurance premiums payable	2,829,658		2,829,658	3,680,460
12. Amounts withheld or retained for the account of others				
13. Remittances and items not allocated				
14. Borrowed money (including \$ _____ current) and interest thereon \$ _____ (including \$ _____ current)				
15. Amounts due to parent, subsidiaries and affiliates	16,887,527		16,887,527	21,070,266
16. Derivatives				
17. Payable for securities	30,420,353		30,420,353	36,729,719
18. Payable for securities lending				
19. Funds held under reinsurance treaties (with \$ _____ authorized reinsurers, \$ _____ unauthorized reinsurers and \$ _____ certified reinsurers)				
20. Reinsurance in unauthorized and certified (\$ _____) companies				
21. Net adjustments in assets and liabilities due to foreign exchange rates				
22. Liability for amounts held under uninsured plans	24,234,206		24,234,206	22,295,784
23. Aggregate write-ins for other liabilities (including \$ _____64,757,773 current)	66,295,437		66,295,437	52,486,571
24. Total liabilities (Lines 1 to 23)	309,171,659	13,007,871	322,179,530	284,543,396
25. Aggregate write-ins for special surplus funds	XXX	XXX		
26. Common capital stock	XXX	XXX		
27. Preferred capital stock	XXX	XXX		
28. Gross paid in and contributed surplus	XXX	XXX		
29. Surplus notes	XXX	XXX		
30. Aggregate write-ins for other than special surplus funds	XXX	XXX		
31. Unassigned funds (surplus)	XXX	XXX	472,075,341	466,192,374
32. Less treasury stock, at cost:				
32.1 _____ shares common (value included in Line 26 \$ _____)	XXX	XXX		
32.2 _____ shares preferred (value included in Line 27 \$ _____)	XXX	XXX		
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	472,075,341	466,192,374
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	794,254,871	750,735,770
DETAILS OF WRITE-INS				
2301. Due to Government Agencies	56,616,509		56,616,509	41,117,962
2302. Alternate Funding Arrangement	5,847,216		5,847,216	5,847,216
2303. Claims Refunds in Process	2,166,945		2,166,945	3,560,721
2398. Summary of remaining write-ins for Line 23 from overflow page	1,664,767		1,664,767	1,960,672
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	66,295,437		66,295,437	52,486,571
2501.	XXX	XXX		
2502.	XXX	XXX		
2503.	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX		
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX		
3001.	XXX	XXX		
3002.	XXX	XXX		
3003.	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX		
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX		

STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months	XXX	830,531	756,697	3,139,063
2. Net premium income (including \$0 non-health premium income).....	XXX	334,855,560	265,517,503	1,107,647,527
3. Change in unearned premium reserves and reserve for rate credits.....	XXX			
4. Fee-for-service (net of \$ medical expenses).....	XXX			
5. Risk revenue	XXX			
6. Aggregate write-ins for other health care related revenues	XXX			
7. Aggregate write-ins for other non-health revenues	XXX			
8. Total revenues (Lines 2 to 7)	XXX	334,855,560	265,517,503	1,107,647,527
Hospital and Medical:				
9. Hospital/medical benefits		160,036,146	114,864,228	580,360,695
10. Other professional services		78,874,750	51,590,790	239,273,066
11. Outside referrals	21,998,889	21,998,889	17,063,714	96,639,546
12. Emergency room and out-of-area	1,562,213	11,074,124	8,399,747	37,920,687
13. Prescription drugs		31,803,841	28,132,434	143,912,881
14. Aggregate write-ins for other hospital and medical		356,556	12,723,375	3,828,224
15. Incentive pool, withhold adjustments and bonus amounts			(2,050)	(18,277)
16. Subtotal (Lines 9 to 15)	23,561,102	304,144,306	232,772,238	1,101,916,822
Less:				
17. Net reinsurance recoveries		751,680	2,954,156	33,360,042
18. Total hospital and medical (Lines 16 minus 17)	23,561,102	303,392,626	229,818,082	1,068,556,780
19. Non-health claims (net)				
20. Claims adjustment expenses, including \$3,218,052 cost containment expenses		7,834,160	5,965,450	27,152,150
21. General administrative expenses		20,184,824	25,645,124	81,618,008
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only)			2,300,000	(28,281,000)
23. Total underwriting deductions (Lines 18 through 22)	23,561,102	331,411,610	263,728,656	1,149,045,938
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	3,443,950	1,788,847	(41,398,411)
25. Net investment income earned		2,064,648	4,277,879	11,317,077
26. Net realized capital gains (losses) less capital gains tax of \$0		(375,580)	575,633	1,964,518
27. Net investment gains (losses) (Lines 25 plus 26)		1,689,068	4,853,512	13,281,595
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$) (amount charged off \$)]				
29. Aggregate write-ins for other income or expenses				
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	5,133,018	6,642,359	(28,116,816)
31. Federal and foreign income taxes incurred	XXX			
32. Net income (loss) (Lines 30 minus 31)	XXX	5,133,018	6,642,359	(28,116,816)
DETAILS OF WRITE-INS				
0601.	XXX			
0602.	XXX			
0603.	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX			
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	XXX			
0701.	XXX			
0702.	XXX			
0703.	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX			
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX			
1401. Other Payments to Providers		356,556	12,723,375	3,828,224
1402.				
1403.				
1498. Summary of remaining write-ins for Line 14 from overflow page				
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)		356,556	12,723,375	3,828,224
2901.				
2902.				
2903.				
2998. Summary of remaining write-ins for Line 29 from overflow page				
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)				

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
CAPITAL AND SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	466,192,374	464,619,717	464,619,717
34. Net income or (loss) from Line 32.....	5,133,018	6,642,359	(28,116,816)
35. Change in valuation basis of aggregate policy and claim reserves.....			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$.....	4,445,990	(12,877,117)	241,295,222
37. Change in net unrealized foreign exchange capital gain or (loss).....			
38. Change in net deferred income tax.....			
39. Change in nonadmitted assets.....	(3,696,041)	7,599,163	4,049,408
40. Change in unauthorized and certified reinsurance.....			
41. Change in treasury stock.....			
42. Change in surplus notes.....			
43. Cumulative effect of changes in accounting principles.....			
44. Capital Changes:			
44.1 Paid in.....			
44.2 Transferred from surplus (Stock Dividend).....			(215,655,157)
44.3 Transferred to surplus.....			
45. Surplus adjustments:			
45.1 Paid in.....			
45.2 Transferred to capital (Stock Dividend).....			
45.3 Transferred from capital.....			
46. Dividends to stockholders.....			
47. Aggregate write-ins for gains or (losses) in surplus.....		(921,357)	
48. Net change in capital & surplus (Lines 34 to 47).....	5,882,967	443,048	1,572,657
49. Capital and surplus end of reporting period (Line 33 plus 48)	472,075,341	465,062,765	466,192,374
DETAILS OF WRITE-INS			
4701. Optum Receivable relating to Medicare.....		(921,357)	
4702.			
4703.			
4798. Summary of remaining write-ins for Line 47 from overflow page.....			
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)		(921,357)	

STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	342,673,664	265,949,139	1,154,922,629
2. Net investment income	3,192,622	6,596,556	16,973,999
3. Miscellaneous income			
4. Total (Lines 1 to 3)	345,866,286	272,545,695	1,171,896,628
5. Benefit and loss related payments	266,949,267	221,929,288	1,065,162,765
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	27,370,637	22,497,190	109,076,246
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses)			
10. Total (Lines 5 through 9)	294,319,904	244,426,478	1,174,239,011
11. Net cash from operations (Line 4 minus Line 10)	51,546,382	28,119,217	(2,342,383)
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	149,287,915	242,632,222	1,293,367,691
12.2 Stocks			1,224,662
12.3 Mortgage loans			
12.4 Real estate			
12.5 Other invested assets			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	265		4,112
12.7 Miscellaneous proceeds	4,250,560		(348,514)
12.8 Total investment proceeds (Lines 12.1 to 12.7)	153,538,740	242,632,222	1,294,247,951
13. Cost of investments acquired (long-term only):			
13.1 Bonds	152,160,531	227,374,581	1,255,427,480
13.2 Stocks	39,779		5,544,202
13.3 Mortgage loans			
13.4 Real estate			
13.5 Other invested assets			
13.6 Miscellaneous applications	6,327,169	62,976,488	35,275,277
13.7 Total investments acquired (Lines 13.1 to 13.6)	158,527,479	290,351,069	1,296,246,959
14. Net increase (or decrease) in contract loans and premium notes			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(4,988,739)	(47,718,847)	(1,999,008)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock		(12,920,296)	(12,920,297)
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities			
16.5 Dividends to stockholders			
16.6 Other cash provided (applied)	(18,799,964)	(45,455,163)	(51,745,580)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(18,799,964)	(58,375,459)	(64,665,877)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	27,757,679	(77,975,089)	(69,007,268)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	61,312,889	130,320,157	130,320,157
19.2 End of period (Line 18 plus Line 19.1)	89,070,568	52,345,068	61,312,889

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Transfer of bonds to Providence Health Assurance in Q1 2016		(202,734,860)	(202,734,860)
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STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year	271,684	104,996	166,688							
2. First Quarter	279,294	107,588	171,706							
3. Second Quarter										
4. Third Quarter										
5. Current Year										
6. Current Year Member Months	830,531	316,031	514,500							
Total Member Ambulatory Encounters for Period:										
7. Physician	287,067	110,182	176,885							
8. Non-Physician	418,573	144,831	273,742							
9. Total	705,640	255,013	450,627							
10. Hospital Patient Days Incurred	9,515	3,859	5,656							
11. Number of Inpatient Admissions	2,663	1,044	1,619							
12. Health Premiums Written (a)	336,346,161	125,538,930	210,807,231							
13. Life Premiums Direct										
14. Property/Casualty Premiums Written										
15. Health Premiums Earned	336,346,161	125,538,930	210,807,231							
16. Property/Casualty Premiums Earned										
17. Amount Paid for Provision of Health Care Services	278,733,616	97,391,371	181,342,245							
18. Amount Incurred for Provision of Health Care Services	304,144,306	117,084,833	187,059,473							

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$

STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)	84,731,806	214,986,460	17,557,293	126,838,788	102,289,099	108,284,446
2. Medicare Supplement						
3. Dental Only						
4. Vision Only						
5. Federal Employees Health Benefits Plan						
6. Title XVIII - Medicare						
7. Title XIX - Medicaid						
8. Other health						
9. Health subtotal (Lines 1 to 8)	84,731,806	214,986,460	17,557,293	126,838,788	102,289,099	108,284,446
10. Healthcare receivables (a)	6,980,114		26,411,859	(954,698)	33,391,973	
11. Other non-health						
12. Medical incentive pools and bonus amounts						
13. Totals (Lines 9-10+11+12)	77,751,692	214,986,460	(8,854,566)	127,793,486	68,897,126	108,284,446

(a) Excludes \$ _____ loans or advances to providers not yet expensed.

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NOTES TO FINANCIAL STATEMENTS

NOTE 1

Summary of Significant Accounting Policies and Going Concern

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

	SSAP #	F/S Page	F/S Line #	2017	2016
NET INCOME					
(1) State basis (Page 4, Line 32, Columns 2 & 4)	XXX	XXX	XXX	5,133,018	(28,116,816)
(2) State Prescribed Practices that increase/(decrease) NAIC SAP:					
(3) State Permitted Practices that increase/(decrease) NAIC SAP:					
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	5,133,018	(28,116,816)
SURPLUS					
(5) State basis (Page 3, Line 33, Columns 3 & 4)	XXX	XXX	XXX	472,075,341	466,192,374
(6) State Prescribed Practices that increase/(decrease) NAIC SAP:					
(7) State Permitted Practices that increase/(decrease) NAIC SAP:					
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	472,075,341	466,192,374

B. Use of Estimates in the Preparation of the Financial Statements
No Change

C. Accounting Policy
(1) - (5) No change

(6) Loan-backed securities designated NAIC 1 or 2 are reported at amortized cost; all others are reported at the lower of amortized cost or fair value.

(7) - (13) No change

D. Going Concern
After evaluating the entity's ability to continue as a going concern, management was not aware of any conditions or events which raised substantial doubts concerning the entity's ability to continue as a going concern as of the date of the filing of this statement.

Note 2 – Accounting Changes and Corrections of Errors

None

Note 3 – Business Combinations and Goodwill

None

Note 4 – Discontinued Operations

None

Note 5 – Investments

A. – C. No Change

D. Loan-Backed Securities

(1) Prepayment assumptions were obtained from a third party vendor.

(2) The Plan recognized no costs of other-than-temporary impairments on loan-backed securities as a result of the inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis.

(3) Recognized OTTI securities

None

(4) All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains): None

(5) The Company does not believe that any of the fixed maturity securities are impaired due to credit quality or due to any company or industry specific event.

E. – L. None

Note 6 – Joint Ventures, Partnerships and Limited Liability Companies

None

Note 7 – Investment Income

No significant changes

Note 8 – Derivative Instruments

No significant changes

Note 9 – Income Taxes

None

NOTES TO FINANCIAL STATEMENTS

Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

A. **Nature of relationships**
 Providence Health Plan (PHP) is an Oregon nonprofit corporation whose sole member is Providence Health & Services – Oregon (PH&S-OR). PH&S-OR is an Oregon nonprofit organization whose sole controlling member is Providence Health & Services (PH&S). PH&S is a Washington nonprofit organization sponsored by Providence Ministries, a public juridic person recognized by the Roman Catholic Church.

Providence Health Assurance (PHA) is an Oregon nonprofit corporation and PHP is its sole controlling member. Effective in 2013, PHA became a licensed Health Care Service Contractor in Oregon and Washington and is authorized to provide healthcare services in both states.

B. **Detail of transactions greater than 1/2% of admitted assets**

None

C. **Amounts of Transactions**

No significant changes

D. **As of 3/31/2017 these were the affiliates receivables and liabilities:**

<u>Amounts Due From:</u>	
Providence Health Assurance	\$ 11,666,077
Providence Health & Svcs – OR	<u>14,124,250</u>
Total Due from Affiliates	<u>\$ 25,790,327</u>

<u>Amounts Due To Affiliates:</u>	
Providence Plan Partners	\$ 16,828,861
Providence Health & Svcs-OR	<u>58,666</u>
Total Due to Affiliates	<u>\$ 16,887,527</u>

E. – H. **No significant changes**

I. **Investments in affiliates that exceed 10% of admitted assets**
 PHP's investment in PHA is recorded on a statutory equity basis and is included in common stock in the statutory statements of admitted assets, liabilities and surplus. Investments accounted for under the equity method consist of 100% of the statutory equity of PHA. The carrying amount of the investment in PHA was \$266,773,837 and \$262,415,145 at March 31, 2017 and December 31, 2016, respectively. Summary financial information for PHA for the quarter ended March 31, 2017 and the year ended December 31, 2016 are as follows.

	March 31, 2017	December 31, 2016
Statutory Statements of Admitted Assets, Liabilities, and Surplus:		
Total admitted assets	<u>\$441,151,722</u>	<u>\$376,481,706</u>
Total liabilities	<u>\$174,377,886</u>	<u>\$114,066,561</u>
Surplus	<u>\$266,773,836</u>	<u>\$262,415,145</u>
Total liabilities and surplus	<u>\$441,151,722</u>	<u>\$376,481,706</u>
 Statutory Statements of Revenue and Expenses and Changes in Surplus:		
Revenues	\$175,591,070	\$670,115,314
Expenses	(172,215,233)	(649,777,507)
Changes in reserves for health contracts	81,567	(773,243)
Other income	<u>\$826,871</u>	<u>\$4,888,387</u>
Net income	<u>\$4,284,275</u>	<u>\$24,452,951</u>

J. – N. **No significant changes**

Note 11 – Debt

The Plan had no debt during the reporting period.

Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

The Plan does not have any direct employees, and therefore does not have any direct obligations for a defined benefit pension, defined contribution pension, postretirement welfare, deferred compensation, compensated absences or postemployment benefit plans. Services for the operation of the Plan are provided under provisions of the management services agreement with as described in annual statement Note 10F.

Note 13 – Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

None

Note 14 – Liabilities, Contingencies and Assessments

None

Note 15 – Leases

No significant changes

Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

None

Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

None

NOTES TO FINANCIAL STATEMENTS

NOTE 18

Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

A. ASO Plans:

The gain from operations from Administrative Services Only (ASO) uninsured plans and the uninsured portion of partially insured plans was as follows during 2017:

	(1) ASO Uninsured Plans	(2) Uninsured Portion of Partially Insured Plans	(3) Total ASO
a. Net reimbursement for administrative Expenses (including administrative fees) in excess of actual expenses	1,615,796		1,615,796
b. Total net other income or expenses (including interest paid to or received from plans)			
c. Net gain or (loss) from operations	1,615,796		1,615,796
d. Total claim payment volume	138,242,970		138,242,970

B-C ASC Plans
None

Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

None

NOTE 20

Fair Value Measurement

A.

(1) Fair Value Measurements at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Total
a. Assets at fair value				
Common Stock – Bond Mutual Funds	4,568,192			4,568,192
Common Stock – Parent, Affiliates & Subsidiaries			266,773,837	266,773,837
Total assets at fair value	4,568,192		266,773,837	271,342,029

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Total
b. Liabilities at fair value				
Total liabilities at fair value				

(2) Fair Value Measurements in (Level 3) of the Fair Value hierarchy: Assets

Description for each class of asset or liability	Ending Balance as of Prior Quarter End	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance for Current Quarter End
a. Assets										
Common Stock Affiliates	262,415,145			4,358,692						266,773,837
Total Assets	262,415,145			4,358,692						266,773,837

(3) The Plan recognizes transfers between fair value levels at the end of the reporting period.

(4) Fair values are based on quoted market prices when available. When market prices are not available, fair value is generally estimated using discounted cash flow analyses, incorporating current market inputs for similar financial instruments with comparable terms and credit quality (matrix pricing). In instances where there is little or no market activity for the same or similar instruments, the Plan estimates fair value using methods, models, and assumptions that management believes market participants would use to determine a current transaction price. These valuation techniques involve some level of management estimation and judgment, which becomes significant with increasingly complex instruments or pricing models. Where appropriate, adjustments are included to reflect the risk inherent in a particular methodology, model, or input used.

The Plan's financial assets and liabilities carried at fair value have been classified, for disclosure purposes, based on a hierarchy defined by Financial Accounting Standards Board Accounting Standards Codification 820-10 and SSAP 100. The hierarchy gives the highest ranking to fair values determined using unadjusted quoted prices in active markets for identical assets and liabilities (Level 1) and the lowest ranking to fair values determined using methodologies and models with unobservable inputs (Level 3). An asset's or a liability's classification is based on the lowest level input that is significant to its measurement. For example, a Level 3 fair value measurement may include inputs that are both observable (Levels 1 and 2) and unobservable (Level 3).

The levels of the fair value hierarchy are as follows:

- Level 1 – Values are unadjusted quoted prices for identical assets and liabilities in active markets accessible at the measurement date.
- Level 2 – Inputs include quoted prices for similar assets or liabilities in active markets, quoted prices from those willing to trade in markets that are not active, or other inputs that are observable or can be corroborated by market data for the term of the instrument. Such inputs include market interest rates and volatilities, spreads, and yield curves.
- Level 3 – Certain inputs are unobservable (supported by little or no market activity) and significant to the fair value measurement. Unobservable inputs reflect the Plan's best estimate of what hypothetical market participants would use to determine a transaction price for the asset or liability at the reporting date.

(5) Fair Value Measurements for Derivative Instruments

None

B. Other Fair Value Disclosures

None

C.

Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)
Bonds	317,652,373	310,428,182	71,034,493	246,617,890		
Common Stock	271,342,029	271,342,029	4,568,192		266,773,837	

D.

Not Practicable to Estimate Fair Value

None

NOTES TO FINANCIAL STATEMENTS

Note 21 – Other Items

None

Note 22 – Events Subsequent

None

Note 23 – Reinsurance

No significant changes

Note 24 – Retrospectively rated contracts and contracts subject to Redetermination

A. – D. No significant changes

E. Risk Sharing Provisions of the Affordable Care Act

(1) Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions (YES/NO)? Yes [X] No []

(2) Impact of Risk Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities and Revenue for the Current Year

AMOUNT

a. Permanent ACA Risk Adjustment Program

Assets

1. Premium adjustments receivable due to ACA Risk Adjustment 76,385

Liabilities

2. Risk adjustment user fees payable for ACA Risk Adjustment

3. Premium adjustments payable due to ACA Risk Adjustment 45,020,000

Operations (Revenue & Expense)

4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment (9,720,000)

5. Reported in expenses as ACA risk adjustment user fees (incurred/paid)

b. Transitional ACA Reinsurance Program

Assets

1. Amounts recoverable for claims paid due to ACA Reinsurance 16,908,631

2. Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability)

3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance

Liabilities

4. Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium 933,004

5. Ceded reinsurance premiums payable due to ACA Reinsurance 419,240

6. Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance

Operations (Revenue & Expense)

7. Ceded reinsurance premiums due to ACA Reinsurance

8. Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments

9. ACA Reinsurance contributions – not reported as ceded premium

c. Temporary ACA Risk Corridors Program

Assets

1. Accrued retrospective premium due to ACA Risk Corridors 12,271

Liabilities

2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors

Operations (Revenue & Expense)

3. Effect of ACA Risk Corridors on net premium income (paid/received)

4. Effect of ACA Risk Corridors on change in reserves for rate credits

NOTES TO FINANCIAL STATEMENTS

(3) Roll forward of prior year ACA risk sharing provisions for the following asset (gross of any nonadmission) and liability balances along with the reasons for adjustments to prior year balance.

	Accrued During the Prior Year on Business Written Before Dec. 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before Dec. 31 of the Prior Year		Differences		Adjustments		Ref	Unsettled Balances as of the Reporting Date	
	1	2	3	4	Prior Year	Prior Year	7	8		9	10
					Accrued Less Payments (Col 1 - 3)	Accrued Less Payments (Col 2 - 4)					
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)		Receivable	(Payable)
a. Permanent ACA Risk Adjustment Program											
1. Premium adjustments receivable	930,183		853,778		76,385				A	76,385	
2. Premium adjustments (payable)		(35,300,000)				(35,300,000)			B		(35,300,000)
3. Subtotal ACA Permanent Risk Adjustment Program	930,183	(35,300,000)	853,778		76,385	(35,300,000)				76,385	(35,300,000)
b. Transitional ACA Reinsurance Program											
1. Amounts recoverable for claims paid	15,736,408		1,124,368		14,612,129		2,296,502		C	16,908,631	
2. Amounts recoverable for claims unpaid (contra liability)	3,542,878				3,542,878		(3,542,878)		D		
3. Amounts receivable relating to uninsured plans									E		
4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium									F		
5. Ceded reinsurance premiums payable									G		
6. Liability for amounts held under uninsured plans									H		
7. Subtotal ACA Transitional Reinsurance Program	19,279,378		1,124,368		18,155,007		(1,246,376)			16,908,631	
c. Temporary ACA Risk Corridors Program											
1. Accrued retrospective premium	17,429		5,158		12,271				I	12,271	
2. Reserve for rate credits or policy experience rating refunds									J		
3. Subtotal ACA Risk Corridors Program	17,429		5,158		12,271					12,271	
d. Total for ACA Risk Sharing Provisions	20,226,988	(35,300,000)	1,983,305		18,243,663	(35,300,000)	(1,246,376)			16,997,267	(35,300,000)

Explanations of Adjustments

- A.
- B.
- C. Adjustments to reflect actual payments received and updated 2016 calculation
- D. Release of IBNR
- E.
- F.
- G.
- H.
- I.
- J.

(4) Roll-Forward of Risk Corridors Asset and Liability Balances by Program Benefit Year

Risk Corridors Program Year	Accrued During the Prior Year on Business Written Before Dec. 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before Dec. 31 of the Prior Year		Differences		Adjustments		Ref	Unsettled Balances as of the Reporting Date	
	1	2	3	4	Prior Year	Prior Year	7	8		9	10
					Accrued Less Payments (Col 1 - 3)	Accrued Less Payments (Col 2 - 4)					
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)		Receivable	(Payable)
a. 2014											
1. Accrued retrospective premium	17,429		5,158		12,271				A	12,271	
2. Reserve for rate credits or policy experience rating refunds									B		
b. 2015											
1. Accrued retrospective premium									C		
2. Reserve for rate credits or policy experience rating refunds									D		
c. 2016											
1. Accrued retrospective premium									E		
2. Reserve for rate credits or policy experience rating refunds									F		
d. Total for Risk Corridors	17,429		5,158		12,271					12,271	

NOTES TO FINANCIAL STATEMENTS

Explanations of Adjustments

- A.
- B.
- C.
- D.
- E.
- F.

24E(4)d (Columns 1 through 10) should equal 24E(3)c3 (Column 1 through 10 respectively)

(5) ACA Risk Corridors Receivable as of Reporting Date

Risk Corridors Program Year	1 Estimated Amount to be Filed or Final Amount Filed with CMS	2 Non-accrued Amounts for Impairment or Other Reasons	3 Amounts received from CMS	4 Asset Balance (Gross of Non-admissions) (1-2-3)	5 Non-admitted Amount	6 Net Admitted Asset (4 - 5)
a. 2014	1,902,681	1,600,213	290,197	12,271		12,271
b. 2015						
c. 2016						
d. Total (a + b + c)	1,902,681	1,600,213	290,197	12,271		12,271

24E(5)d (Column 4) should equal 24E(3)c1 (Column 9)

24E(5)d (Column 6) should equal 24E(2)c1

Note 25 – Change in Incurred Losses and Claim Adjustment Expenses

Reserves for incurred claims as of December 31, 2016 were \$108.3 million. As of March 31, 2017, \$84.7 million has been paid for incurred claims attributable to insured events of prior years. Reserves remaining for prior years are now \$17.6 million as a result of reestimation of unpaid claims.

Year to date, the Plan recorded decreases of approximately \$6.0 million in medical and hospital expenses related to 2016, due to lower than estimated claims. This had a favorable impact on 2017 net income.

Note 26 – Intercompany Pooling Arrangements

None

Note 27 – Structured Settlements

Not Applicable for Health Entities

Note 28 – Health Care Receivables

No significant changes

Note 29 – Participating Policies

None

Note 30 – Premium Deficiency Reserves

None

Note 31 – Anticipated Salvage and Subrogation

None

STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN
GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No [X]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change: _____
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
 If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A []
 If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. _____ 12/31/2016
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. _____ 12/31/2012
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). _____ 10/14/2013
- 6.4 By what department or departments?
 State of Oregon Division of Financial Regulation
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [X] No [] N/A []
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No [X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN
GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No []
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 (c) Compliance with applicable governmental laws, rules and regulations;
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain: _____
- 9.2 Has the code of ethics for senior managers been amended? Yes [] No [X]
 9.21 If the response to 9.2 is Yes, provide information related to amendment(s). _____
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No [X]
 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s). _____

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [X] No []
 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: _____ \$ _____

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No [X]
 11.2 If yes, give full and complete information relating thereto: _____
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: _____ \$ _____
13. Amount of real estate and mortgages held in short-term investments: _____ \$ _____
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [X] No []
 14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ _____	\$ _____
14.22 Preferred Stock	\$ _____	\$ _____
14.23 Common Stock	\$ 262,415,145	\$ 266,773,837
14.24 Short-Term Investments	\$ _____	\$ _____
14.25 Mortgage Loans on Real Estate	\$ _____	\$ _____
14.26 All Other	\$ _____	\$ _____
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 262,415,145	\$ 266,773,837
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ _____	\$ _____

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No [X]
 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No []
 If no, attach a description with this statement.

STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN
GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2\$
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2\$
- 16.3 Total payable for securities lending reported on the liability page\$

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
The Northern Trust Company	50 S. LaSalle Street, Chicago, IL 60603

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Metropolitan West Asset Management LLC	U

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets?..... Yes [X] No []

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets?..... Yes [] No [X]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
106654	Metropolitan West Asset Managementn LLC	5493004MDKGGX001Y283	Securities Exchange Commission	OS

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []

- 18.2 If no, list exceptions:

STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN
GENERAL INTERROGATORIES

PART 2 - HEALTH

1. Operating Percentages:

1.1 A&H loss percent91.4 %

1.2 A&H cost containment percent1.0 %

1.3 A&H expense percent excluding cost containment expenses7.4 %

2.1 Do you act as a custodian for health savings accounts? Yes [] No [X]

2.2 If yes, please provide the amount of custodial funds held as of the reporting date \$

2.3 Do you act as an administrator for health savings accounts? Yes [] No [X]

2.4 If yes, please provide the balance of the funds administered as of the reporting date \$

STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status	Direct Business Only							9 Deposit-Type Contracts
		2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums & Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 Through 7	
1. Alabama AL	N								
2. Alaska AK	N								
3. Arizona AZ	N								
4. Arkansas AR	N								
5. California CA	N								
6. Colorado CO	N								
7. Connecticut CT	N								
8. Delaware DE	N								
9. District of Columbia DC	N								
10. Florida FL	N								
11. Georgia GA	N								
12. Hawaii HI	N								
13. Idaho ID	N								
14. Illinois IL	N								
15. Indiana IN	N								
16. Iowa IA	N								
17. Kansas KS	N								
18. Kentucky KY	N								
19. Louisiana LA	N								
20. Maine ME	N								
21. Maryland MD	N								
22. Massachusetts MA	N								
23. Michigan MI	N								
24. Minnesota MN	N								
25. Mississippi MS	N								
26. Missouri MO	N								
27. Montana MT	N								
28. Nebraska NE	N								
29. Nevada NV	N								
30. New Hampshire NH	N								
31. New Jersey NJ	N								
32. New Mexico NM	N								
33. New York NY	N								
34. North Carolina NC	N								
35. North Dakota ND	N								
36. Ohio OH	N								
37. Oklahoma OK	N								
38. Oregon OR	L	329,302,297						329,302,297	
39. Pennsylvania PA	N								
40. Rhode Island RI	N								
41. South Carolina SC	N								
42. South Dakota SD	N								
43. Tennessee TN	N								
44. Texas TX	N								
45. Utah UT	N								
46. Vermont VT	N								
47. Virginia VA	N								
48. Washington WA	L	7,043,864						7,043,864	
49. West Virginia WV	N								
50. Wisconsin WI	N								
51. Wyoming WY	N								
52. American Samoa AS	N								
53. Guam GU	N								
54. Puerto Rico PR	N								
55. U.S. Virgin Islands VI	N								
56. Northern Mariana Islands MP	N								
57. Canada CAN	N								
58. Aggregate Other Aliens OT	XXX								
59. Subtotal	XXX	336,346,161						336,346,161	
60. Reporting Entity Contributions for Employee Benefit Plans	XXX								
61. Totals (Direct Business)	(a) 2	336,346,161						336,346,161	
DETAILS OF WRITE-INS									
58001.	XXX								
58002.	XXX								
58003.	XXX								
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX								
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX								

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

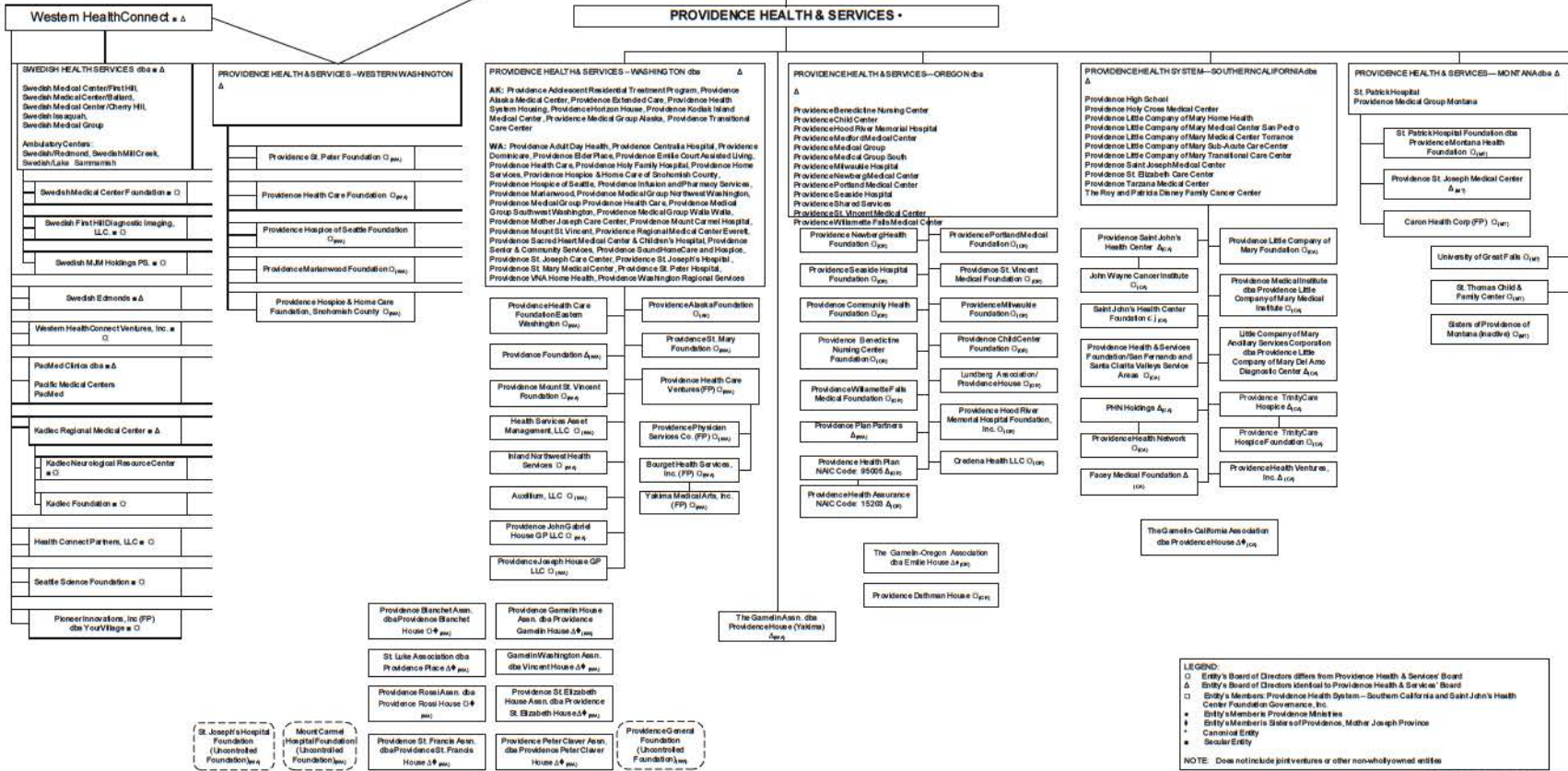
STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN

LEGAL STRUCTURE - PROVIDENCE HEALTH & SERVICES AND WESTERN HEALTHCONNECT

Founding Participating Entity
 SISTERS OF PROVIDENCE, MOTHER JOSEPH PROVINCE
 Appoints Providence Ministries Sponsor

Participating Entity
 Little Company of Mary Sisters, American Province

Providence Ministries *
 Public Juridic Person
 Member (Sponsors) Reserved Rights over PH & S



STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
			91-1549796				Providence Health & Services	WA	LDP					N	
			51-0216589				Providence Health Systems-Southern California	CA	NI A	Providence Health & Services	Ownership	100.000	Providence Health & Services	N	
			51-0216589				Little Company of Mary Health Services	CA	NI A	Providence Health Systems-Southern California	Ownership	100.000	Providence Health & Services	N	
			33-0844408				Little Company of Mary Ancillary Services Corporation	CA	NI A	Providence Health Systems-Southern California	Ownership	100.000	Providence Health & Services	N	
			51-0216589				Little Company of Mary Hospital	CA	NI A	Providence Health Systems-Southern California	Ownership	100.000	Providence Health & Services	N	
			95-1916057				Providence High School	CA	NI A	Providence Health Systems-Southern California	Ownership	100.000	Providence Health & Services	N	
			51-0216589				Providence Holy Cross Medical Center	CA	NI A	Providence Health Systems-Southern California	Ownership	100.000	Providence Health & Services	N	
			51-0216589				Providence St Joseph Medical Center /St Elizabeth care ctr	CA	NI A	Providence Health Systems-Southern California	Ownership	100.000	Providence Health & Services	N	
			51-0216589				San Pedro Peninsula Hospital	CA	NI A	Providence Health Systems-Southern California	Ownership	100.000	Providence Health & Services	N	
			91-3264339				TrinityCare Hospice	CA	NI A	Providence Health Systems-Southern California	Ownership	100.000	Providence Health & Services	N	
			33-0122216				Providence Ventures, Inc	CA	NI A	Providence Health Systems-Southern California	Ownership	100.000	Providence Health & Services	N	
			33-0261016				TrinityCare Hospice Foundation	CA	NI A	Providence Health Systems-Southern California	Ownership	100.000	Providence Health & Services	N	
			51-0224944				Little Company of Mary Community Health Foundation	CA	NI A	Providence Health Systems-Southern California	Ownership	100.000	Providence Health & Services	N	
			81-0231793				Providence Health & Services	MT	NI A	Providence Health & Services	Ownership	100.000	Providence Health & Services	N	
			81-0233495				St. Thomas Child & Family Center	MT	NI A	Providence Health System-Montana	Ownership	100.000	Providence Health & Services	N	
			81-0463482				St. Joseph's Medical Center (Polson)	MT	NI A	Providence Health System-Montana	Ownership	100.000	Providence Health & Services	N	
			81-0231777				University of Great Falls	MT	NI A	Providence Health System-Montana	Ownership	100.000	Providence Health & Services	N	
			23-7056976				St. Patrick Hospital Foundation	MT	NI A	Providence Health System-Montana	Ownership	100.000	Providence Health & Services	N	
			51-0216587				Providence Health & Services - Oregon	OR	LDP	Providence Health & Services - Oregon	Ownership	100.000	Providence Health & Services	N	
.4768	Providence Health Group	95006	99-0963097				Providence Health Plan	OR	NI A	Providence Health & Services - Oregon	Ownership	100.000	Providence Health & Services	N	
.4768	Providence Health Group	15200	95-0928701				Providence Health Assurance	OR	DS	Providence Health Plan	Ownership	100.000	Providence Health & Services	N	
			91-1943495				Providence Benedictine Nursing Center	OR	NI A	Providence Health & Services - Oregon	Ownership	100.000	Providence Health & Services	N	
			93-0396989				Providence Child Care	OR	NI A	Providence Health & Services - Oregon	Ownership	100.000	Providence Health & Services	N	
			93-1265038				Providence Hood River Memorial Hospital	OR	NI A	Providence Health & Services - Oregon	Ownership	100.000	Providence Health & Services	N	
			93-0386912				Providence Medford Medical Center	OR	NI A	Providence Health & Services - Oregon	Ownership	100.000	Providence Health & Services	N	
			93-1097258				Providence Medical Group	OR	NI A	Providence Health & Services - Oregon	Ownership	100.000	Providence Health & Services	N	
			93-1280224				Providence Medical Group (South) - Medford	OR	NI A	Providence Health & Services - Oregon	Ownership	100.000	Providence Health & Services	N	
			93-0924302				Providence Milwaukie Hospital	OR	NI A	Providence Health & Services - Oregon	Ownership	100.000	Providence Health & Services	N	
			93-1146501				Providence Newberg Hospital	OR	NI A	Providence Health & Services - Oregon	Ownership	100.000	Providence Health & Services	N	
			93-0813677				Providence Oregon Management Corporation	OR	NI A	Providence Health & Services - Oregon	Ownership	100.000	Providence Health & Services	N	
			93-0386906				Providence Portland Medical Center	OR	NI A	Providence Health & Services - Oregon	Ownership	100.000	Providence Health & Services	N	
			93-0796090				Providence Seaside Hospital	OR	NI A	Providence Health & Services - Oregon	Ownership	100.000	Providence Health & Services	N	
			93-0823489				Providence Shared Services	OR	NI A	Providence Health & Services - Oregon	Ownership	100.000	Providence Health & Services	N	
			93-0386929				Providence St. Vincent Medical Center	OR	NI A	Providence Health & Services - Oregon	Ownership	100.000	Providence Health & Services	N	
			93-0575882				Providence St. Vincent Medical Foundation	OR	NI A	Providence Health & Services - Oregon	Ownership	100.000	Providence Health & Services	N	
			94-3079515				Providence Milwaukie Foundation	OR	NI A	Providence Health & Services - Oregon	Ownership	100.000	Providence Health & Services	N	
			93-0800140				Providence Child Center Foundation	OR	NI A	Providence Health & Services - Oregon	Ownership	100.000	Providence Health & Services	N	
			93-0821990				Providence Hood River Memorial Hospital Foundation	OR	NI A	Providence Health & Services - Oregon	Ownership	100.000	Providence Health & Services	N	
			93-0889144				Providence Newberg Health Foundation	OR	NI A	Providence Health & Services - Oregon	Ownership	100.000	Providence Health & Services	N	

STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
			98-0927320				Providence Seaside Hospital Foundation	OR	NI A	Providence Health & Services - Oregon	Ownership	100.000	Providence Health & Services	N	
			98-0992907				Providence Community Health Foundation	OR	NI A	Providence Health & Services - Oregon	Ownership	100.000	Providence Health & Services	N	
			91-1940286				Providence Benedictine Nursing Center Foundation	OR	NI A	Providence Health & Services - Oregon	Ownership	100.000	Providence Health & Services	N	
			99-1231494				Providence Portland Medical Foundation	OR	NI A	Providence Health & Services - Oregon	Ownership	100.000	Providence Health & Services	N	
			51-0216595				Providence Health System-Washington	WA	NI A	Providence Health & Services	Ownership	100.000	Providence Health & Services	N	
			91-0594994				Providence Washington Regional Services	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			91-1211963				St. Mary Medical Center	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			91-1211963				Sacred Heart Medical Center	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			91-1211963				St. Joseph Care Center	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			91-1211963				Emilie Court	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			91-1211963				VNA Home Health Care Services	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			91-1211963				Holy Family Hospital	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			91-1211963				Mount Carmel Hospital	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			91-1211963				St. Joseph Hospital of Chewelah	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			91-1211963				Holy Family Adult Day Health	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			91-1211963				Dominicare	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			91-1211963				Providence Health Care	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			92-0016429				Providence Alaska Medical Center	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			92-0094231				Providence Extended Care Center	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			92-0118807				Providence Imaging Center	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			92-0162237				Providence Kodiak Island Health Center	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			91-1784793				Providence Kodiak Island Medical Center	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			91-1788690				Providence Physician Service Organization	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			92-0165487				Providence Residential Treatment Center	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			92-0165511				Providence Seward Medical Center - Mgmt Agree ONLY	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			61-1442487				Providence Seward Medical Center - Mgmt Agree ONLY	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			91-0787963				Yakima Medical Arts, Inc	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			91-1354431				Bourget Health Services, Inc	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			90-0155714				Providence Health Care Ventures, Inc	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			94-3078543				Providence Foundation	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			92-0093995				Providence Alaska Foundation	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	
			91-1211963				SHC Children's Foundation	WA	NI A	Providence Health System-Washington	Ownership	100.000	Providence Health & Services	N	

Asterisk	Explanation
----------	-------------

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

Response

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? NO

Explanation:

1.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN
OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Liabilities Line 23

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
2304. Accrued Lease Payable	1,537,664		1,537,664	1,521,344
2305. Unclaimed Property	83,226		83,226	200,445
2306. Other Liabilities	43,877		43,877	16,229
2307. ASO refunds to groups				222,654
2397. Summary of remaining write-ins for Line 23 from overflow page	1,664,767		1,664,767	1,960,672

STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	59,559,734	63,120,494
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation	890,190	3,560,760
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4+5+6+7-8)	58,669,544	59,559,734
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)	58,669,544	59,559,734

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest and commitment fees		
9. Total foreign exchange change in book value/recorded investment including accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6+7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6+7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	575,192,036	568,658,737
2. Cost of bonds and stocks acquired	152,200,310	1,260,971,682
3. Accrual of discount	186,895	618,010
4. Unrealized valuation increase (decrease)	4,445,990	241,295,221
5. Total gain (loss) on disposals	(373,106)	3,086,617
6. Deduct consideration for bonds and stocks disposed of	149,287,915	1,497,327,214
7. Deduct amortization of premium	592,999	1,333,320
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		777,697
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7+8-9)	581,771,211	575,192,036
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	581,771,211	575,192,036

STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN
SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
 During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	262,166,933	175,220,074	169,900,574	2,123,616	269,610,049			262,166,933
2. NAIC 2 (a)	49,459,847	3,299,213	4,491,255	(1,615,966)	46,651,839			49,459,847
3. NAIC 3 (a)	863,518			(863,519)	(1)			863,518
4. NAIC 4 (a)								
5. NAIC 5 (a)								
6. NAIC 6 (a)								
7. Total Bonds	312,490,298	178,519,287	174,391,829	(355,869)	316,261,887			312,490,298
PREFERRED STOCK								
8. NAIC 1								
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock								
15. Total Bonds and Preferred Stock	312,490,298	178,519,287	174,391,829	(355,869)	316,261,887			312,490,298

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ 4,781,671 ; NAIC 2 \$ 1,051,034 ; NAIC 3 \$; NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	2,729,106	XXX	2,732,702		6,675

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	4,214,417	
2. Cost of short-term investments acquired	23,255,552	216,853,804
3. Accrual of discount	5,767	44,520
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals	204	5,215
6. Deduct consideration received on disposals	24,731,011	212,650,278
7. Deduct amortization of premium	15,823	38,844
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	2,729,106	4,214,417
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	2,729,106	4,214,417

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

NONE

Schedule DB - Part B - Verification - Futures Contracts

NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

NONE

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

NONE

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

NONE

STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN

SCHEDULE E - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of cash equivalents acquired	3,103,204	121,047,192
3. Accrual of discount	396	21,523
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		(1,103)
6. Deduct consideration received on disposals		121,054,652
7. Deduct amortization of premium		12,960
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	3,103,600	
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	3,103,600	

Schedule A - Part 2 - Real Estate Acquired and Additions Made

NONE

Schedule A - Part 3 - Real Estate Disposed

NONE

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

NONE

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

NONE

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

NONE

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

NONE

STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN
SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
21H030-62-5	GINNIE MAE 11 POOL		01/18/2017	J. P. MORGAN SECURITIES LLC		1,840,191	1,820,000		
21H030-63-3	GINNIE MAE 11 POOL		02/15/2017	J. P. MORGAN SECURITIES LLC		1,829,953	1,820,000		
21H030-64-1	GINNIE MAE 11 POOL		03/14/2017	J. P. MORGAN SECURITIES LLC		1,804,928	1,820,000		
21H032-62-1	GINNIE MAE 11 POOL		01/18/2017	GOLDMAN, SACHS AND CO. 8944407		3,435,806	3,310,000		
21H032-63-9	GINNIE MAE 11 POOL		02/14/2017	GOLDMAN, SACHS AND CO. 8944407		3,428,555	3,310,000		
21H032-64-7	GINNIE MAE 11 POOL		03/15/2017	GOLDMAN, SACHS AND CO. 8944407		3,381,760	3,310,000		
21H040-64-0	GINNIE MAE 11 POOL		03/21/2017	VARIOUS		1,702,131	1,615,000		
912828-12-4	US TREASURY N/B 2 15/11/26		01/31/2017	VARIOUS		3,492,043	3,640,000	15,176	
912828-15-1	US TREASURY N/B 2 31/12/21		01/12/2017	VARIOUS		28,637,900	28,520,000	13,059	
912828-19-9	US TREASURY N/B 1.25 31/12/18		01/05/2017	J. P. MORGAN SECURITIES LLC		2,548,191	2,545,000	791	
912828-19-8	US TREASURY N/B 2.25 15/02/27		03/31/2017	VARIOUS		14,718,002	15,135,000	28,908	
912828-19-5	US TREASURY N/B 1.875 28/02/22		03/01/2017	VARIOUS		5,106,738	5,125,000	416	
912828-19-7	US TREASURY N/B 1.25 31/03/19		03/29/2017	MERRILL LYNCH PIERCE FENNER & SMITH		2,543,807	2,545,000		
0599999 Subtotal - Bonds - U.S. Governments						74,470,005	74,515,000	58,350	XXX
01F030-41-3	FANNIE MAE POOL		12/31/2016	J. P. MORGAN SECURITIES LLC		2,568,066	2,500,000		
01F030-42-1	FANNIE MAE POOL		01/17/2017	VARIOUS		4,376,872	4,255,000		
01F030-43-9	FANNIE MAE POOL		02/10/2017	VARIOUS		4,371,190	4,255,000		
01F030-44-7	FANNIE MAE POOL		03/10/2017	VARIOUS		4,328,133	4,255,000		
01F030-62-9	FANNIE MAE POOL		01/10/2017	VARIOUS		1,805,731	1,815,000		
01F030-63-7	FANNIE MAE POOL		02/08/2017	VARIOUS		1,804,747	1,815,000		
01F030-64-5	FANNIE MAE POOL		03/06/2017	VARIOUS		1,785,517	1,815,000		
01F040-61-0	FANNIE MAE POOL		12/31/2016	RBC CAPITAL MARKETS, LLC		2,863,115	2,250,000		
01F040-62-8	FANNIE MAE POOL		01/12/2017	RBC CAPITAL MARKETS, LLC		4,858,275	4,505,000		
01F040-63-6	FANNIE MAE POOL		02/07/2017	BNP PARIBAS SECURITIES CORPORATION		4,832,372	4,605,000		
01F040-64-4	FANNIE MAE POOL		03/07/2017	BNP PARIBAS SECURITIES CORPORATION		4,803,511	4,605,000		
01F042-61-6	FANNIE MAE POOL		01/04/2017	VARIOUS		6,066,595	5,640,000		
01F042-62-4	FANNIE MAE POOL		01/12/2017	VARIOUS		6,078,000	5,640,000		
01F042-63-2	FANNIE MAE POOL		02/06/2017	VARIOUS		6,056,916	5,640,000		
01F042-64-0	FANNIE MAE POOL		03/07/2017	VARIOUS		10,414,724	9,765,000		
31284J-2F-0	FREDDIE MAC 3		12/31/2016	RBC CAPITAL MARKETS, LLC		(6,300)	(5,421)		
31298M-1U-4	FREDDIE MAC 2.5		01/10/2017	DAVITA CAPITAL MARKETS AMERICA INC.		380,000	388,151		
3199999 Subtotal - Bonds - U.S. Special Revenues						86,837,484	63,841,730	584	XXX
00206R-06-5	AT&T INC 3.8 15/03/22		01/18/2017	MERRILL LYNCH PIERCE FENNER & SMITH		777,622	756,000	10,214	2FE
025380-EE-5	AMERICAN EXPRESS CREDIT 2.2 03/03/20		02/28/2017	MERRILL LYNCH PIERCE FENNER & SMITH		598,376	600,000		1FE
031162-01-5	AMGEN INC 3.125 01/05/25		01/27/2017	MERRILL LYNCH PIERCE FENNER & SMITH		496,160	500,000	3,306	2FE
060510-01-4	BANK OF AMERICA CORP 5.65 01/05/18		03/07/2017	MERRILL LYNCH PIERCE FENNER & SMITH		1,037,536	995,000	20,145	2FE
072863-HE-3	BAYLOR SCOTT & WHITE HCL 2.85 15/11/26		03/02/2017	MORGAN STANLEY AND CO., LLC 8983105		565,360	605,000	4,988	1FE
128670-W-5	COUNTRYWIDE ASSET-BACKED CERTI 1.1817		02/07/2017	GOLDMAN, SACHS AND CO. 8944407		1,568,255	1,582,847	683	2FE
172867-W-2	CITIGROUP INC 2.15 30/07/18		03/07/2017	MERRILL LYNCH PIERCE FENNER & SMITH		997,895	995,000	2,377	2FE
381419-FM-1	GOLDMAN SACHS GROUP INC 6.15 01/04/18		03/22/2017	GOLDMAN, SACHS AND CO. 8944407		833,736	800,000	24,053	2FE
744533-BL-3	PUBLIC SERVICE OKLAHOMA 4.4 01/02/21		01/27/2017	DEUTSCHE BANK SECURITIES INC.		1,066,280	1,000,000		
76113A-AF-8	RESIDENTIAL ASSET SECURITIES C 1.2817		01/12/2017	MORGAN STANLEY AND CO., LLC 8983105		1,113,113	1,118,077	720	1FM
784425-JF-2	SJM STUDENT LOAN TRUST 1.6012		03/07/2017	MERRILL LYNCH PIERCE FENNER & SMITH		989,200	989,200		1FE
784425-FH-6	SJM STUDENT LOAN TRUST 1.2079		03/29/2017	MERRILL LYNCH PIERCE FENNER & SMITH		758,500	800,000	1,825	1FE
3899999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						10,738,033	10,739,124	68,911	XXX
8399997 Total - Bonds - Part 3						152,160,532	149,095,854	127,845	XXX
8399998 Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999 Total - Bonds						152,160,532	149,095,854	127,845	XXX
8999997 Total - Preferred Stocks - Part 3							XXX		XXX
8999998 Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999 Total - Preferred Stocks							XXX		XXX
82905-72-3	METROPOLITAN WEST FLOATING RATE INCOME FUND		02/28/2017	CHICAGO MUTUAL FUNDS	1,870,430	18,641			
82905-84-8	METROPOLITAN WEST HIGH YIELD BOND FUND		02/28/2017	CHICAGO MUTUAL FUNDS	2,196,300	20,598			
9299999 Subtotal - Common Stocks - Mutual Funds						39,779	XXX		XXX
9799997 Total - Common Stocks - Part 3							XXX		XXX
9799998 Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999 Total - Common Stocks						39,779	XXX		XXX

STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Desig- nation or Market Indicator (a)
9899999 - Total - Preferred and Common Stocks						39,779	XXX		XXX
9999999 - Totals						152,200,311	XXX	127,846	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
.21H030-61-7	GINNIE MAE II POOL		01/18/2017	J. P. MORGAN SECURITIES LLC		1,842,821	1,820,000	1,815,450	1,815,450						1,815,450		27,371	27,371		12/20/2046	
.21H030-62-5	GINNIE MAE II POOL		02/15/2017	J. P. MORGAN SECURITIES LLC		1,833,223	1,820,000	1,840,191							1,840,191		(6,967)	(6,967)		12/20/2046	
.21H030-63-3	GINNIE MAE II POOL		03/14/2017	J. P. MORGAN SECURITIES LLC		1,808,056	1,820,000	1,828,953							1,828,953		(21,897)	(21,897)		12/20/2046	
.21H032-61-3	GINNIE MAE II POOL		01/18/2017	GOLDMANN, SACHS AND CO.		3,440,978	3,310,000	3,405,550	3,405,550						3,405,550		35,427	35,427		03/20/2046	
.21H032-62-1	GINNIE MAE II POOL		02/14/2017	GOLDMANN, SACHS AND CO.		3,435,289	3,310,000	3,435,806							3,435,806		(517)	(517)		03/20/2046	
.21H032-63-9	GINNIE MAE II POOL		03/15/2017	GOLDMANN, SACHS AND CO.		3,388,225	3,310,000	3,428,565							3,428,565		(40,341)	(40,341)		03/20/2046	
.36179L-EL-5	GOVERNMENT NATIONAL MORTGAGE A 4.5		03/01/2017	MBS PAYDOWN		28,780	28,780	31,203	31,134		(8)		(8)		28,780				116	07/15/2041	
.36179R-7J-2	GOVERNMENT NATIONAL MORTGAGE A 3.5		03/01/2017	MBS PAYDOWN		133,201	133,201	140,964	140,855		(112)		(112)		133,201				654	04/20/2046	
.36179S-0M-0	GOVERNMENT NATIONAL MORTGAGE A 3.5		03/01/2017	MBS PAYDOWN		5,696	5,696	5,893	5,893		(1)		(1)		5,696				33	11/20/2046	
.36179S-3P-3	GOVERNMENT NATIONAL MORTGAGE A 3		03/01/2017	MBS PAYDOWN		19,662	19,662	19,908	19,808		(1)		(1)		19,662				84	12/20/2046	
.36202D-40-1	GOVERNMENT NATIONAL MORTGAGE A 6		03/01/2017	MBS PAYDOWN		8,760	8,760	8,867	8,845		(2)		(2)		8,760				96	03/20/2034	
.36202D-5S-6	GOVERNMENT NATIONAL MORTGAGE A 6		03/01/2017	MBS PAYDOWN		6,162	6,162	6,237	6,222		(1)		(1)		6,162				51	05/20/2034	
.36202E-JL-8	GOVERNMENT NATIONAL MORTGAGE A 5.5		03/01/2017	MBS PAYDOWN		6,026	6,026	5,998	5,003						6,026				48	07/20/2036	
.36202F-DC-7	GOVERNMENT NATIONAL MORTGAGE A 5		03/01/2017	MBS PAYDOWN		16,183	16,183	16,788	16,716		(13)		(13)		16,183				129	12/20/2039	
.36202G-SB-8	GOVERNMENT NATIONAL MORTGAGE A 5		03/01/2017	MBS PAYDOWN		17,048	17,048	17,627	17,552		(17)		(17)		17,048				311	08/15/2039	
.36202G-SZ-5	GOVERNMENT NATIONAL MORTGAGE A 5		03/01/2017	MBS PAYDOWN		13,504	13,504	13,336	13,860		(10)		(10)		13,504				111	08/15/2039	
.36202K-4Y-1	GOVERNMENT NATIONAL MORTGAGE A 5.5		03/01/2017	MBS PAYDOWN		5,778	5,778	6,039	6,023		(6)		(6)		5,778				55	12/15/2038	
.91282B-16-7	US TREASURY N/B 1.25 31/10/21		01/12/2017	GOLDMANN, SACHS AND CO.		9,557,822	9,820,000	9,625,131	9,629,519		1,283		1,283		9,630,802		(73,181)	(73,181)	25,093	10/31/2021	
.91282B-18-3	US TREASURY N/B 0.75 31/10/18		01/06/2017	VARIOUS		13,611,245	13,715,000	13,647,846	13,651,769		342		342		13,652,111		(40,866)	(40,866)	18,759	10/31/2018	
.91282B-12-4	US TREASURY N/B 2 15/11/26		03/15/2017	BARCLAYS CAPITAL		13,851,701	14,580,000	14,004,634	10,514,224		10,303		10,303		14,016,570		(164,869)	(164,869)	96,449	11/15/2026	
.91282B-16-5	US TREASURY N/B 1.75 30/11/21		01/03/2017	BARCLAYS CAPITAL		10,900,082	10,995,000	10,955,029	10,955,465		66		66		10,955,531		(55,449)	(55,449)	18,501	11/30/2021	
.91282B-18-1	US TREASURY N/B 2 31/12/21		01/04/2017	VARIOUS		6,558,006	6,650,000	6,552,872	6,552,874		(4)		(4)		6,552,870		15,136	15,136	1,680	12/31/2021	
.91282B-19-9	US TREASURY N/B 1.25 31/12/18		03/29/2017	MERRILL LYNCH PIERCE		2,545,749	2,545,000	2,545,191		(361)		(361)		2,547,820		(2,071)	(2,071)	7,821	12/31/2018		
.91282B-19-8	US TREASURY N/B 2.25 15/02/27		03/22/2017	FENNER & SMITH		1,275,286	1,230,000	1,252,564		71		71		1,252,635		22,650	22,650	2,886	02/15/2027		
.91282B-14-5	US TREASURY N/B 1.675 28/02/22		03/13/2017	MBS SECURITIES INC		2,587,557	2,620,000	2,615,916		27		27		2,615,943		(28,386)	(28,386)	1,869	02/28/2022		
.91282B-1L-9	TSY INFL IX N/B 0.375 19/07/25		01/25/2017	YORK		1,680,783	1,679,879	1,689,992	1,677,472		(311)		(311)		1,686,581		(5,798)	(5,798)	3,342	07/15/2025	
0599999	Subtotal - Bonds - U.S. Governments					78,587,423	79,445,679	78,921,163	58,475,354		11,255		11,255		78,927,179		(339,758)	(339,758)	177,888	XXX	XXX
1099999	Subtotal - Bonds - All Other Governments																			XXX	XXX
1799999	Subtotal - Bonds - U.S. States, Territories and Possessions																			XXX	XXX
2499999	Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions																			XXX	XXX
.01F022-41-0	FANNIE MAE POOL		01/10/2017	VARIOUS		2,674,320	2,665,000	2,665,416	2,665,416						2,665,416				8,904	11/25/2031	
.01F030-41-3	FANNIE MAE POOL		01/17/2017	VARIOUS		4,382,191	4,255,000	4,368,313	4,364,699						4,368,313		13,878	13,878		06/25/2031	
.01F030-42-1	FANNIE MAE POOL		02/10/2017	VARIOUS		4,378,337	4,255,000	4,376,872							4,376,872		1,465	1,465		06/25/2031	
.01F030-43-9	FANNIE MAE POOL		03/10/2017	VARIOUS		4,336,111	4,255,000	4,371,190							4,371,190		(35,079)	(35,079)		06/25/2031	
.01F030-44-7	FANNIE MAE POOL		03/23/2017	VARIOUS		1,639,205	1,600,000	1,627,500							1,627,500		11,705	11,705		07/25/2031	
.01F030-61-1	FANNIE MAE POOL		01/10/2017	VARIOUS		3,616,922	3,640,000	3,615,022	3,615,022						3,615,022		1,900	1,900		11/25/2046	
.01F030-62-9	FANNIE MAE POOL		02/08/2017	VARIOUS		1,808,256	1,815,000	1,805,731							1,805,731		2,524	2,524		11/25/2046	
.01F030-63-7	FANNIE MAE POOL		03/08/2017	VARIOUS		1,788,814	1,815,000	1,804,747							1,804,747		(15,933)	(15,933)		11/25/2046	
.01F032-61-7	FANNIE MAE POOL		01/05/2017	CREDIT SUISSE AG, NEW YORK BRANCH		1,669,180	1,625,000	1,664,355	1,664,355						1,664,355		4,824	4,824		06/25/2046	
.01F040-61-0	FANNIE MAE POOL		01/12/2017	BFC CAPITAL MARKETS, LLC		4,863,671	4,605,000	4,835,865	4,835,250						4,835,865		27,806	27,806		12/25/2045	
.01F040-62-8	FANNIE MAE POOL		02/07/2017	BNP PARIBAS SECURITIES CORPORATION		4,841,636	4,605,000	4,858,275							4,858,275		(16,639)	(16,639)		11/25/2045	

EOS

STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
.D1F042-63-6	FANNIE WAE POOL		03/07/2017	BNP PARIBAS SECURITIES CORPORATION		4,812,405	4,605,000	4,832,372							4,832,372		(19,967)	(19,967)		11/25/2045	
.D1F042-61-6	FANNIE WAE POOL		01/12/2017	VARIOUS		5,081,544	5,640,000	5,066,586							5,066,586		14,949	14,949		07/25/2044	
.D1F042-62-4	FANNIE WAE POOL		02/07/2017	VARIOUS		5,066,176	5,640,000	5,078,000							5,078,000		(11,824)	(11,824)		07/25/2044	
.D1F042-63-2	FANNIE WAE POOL		03/07/2017	YORK BRANCH		6,034,800	5,640,000	6,056,916							6,056,916		(22,116)	(22,116)		07/25/2044	
.3128KG-HJ-0	FREDDIE MAC 6		03/01/2017	MBS PAYDOWN		202	202	199							202				2	11/01/2036	
.3128KG-PK-6	FREDDIE MAC 6		03/01/2017	MBS PAYDOWN		28	28	28							28					11/01/2036	
.3128L5-RV-5	FREDDIE MAC 6		03/01/2017	MBS PAYDOWN		4,727	4,727	5,088			(11)				4,727				47	01/01/2038	
.3128MJ-X8-8	FREDDIE MAC 3.5		03/01/2017	MBS PAYDOWN		80,120	80,120	83,747			(42)				80,120				411	04/01/2046	
.3128MJ-X9-6	FREDDIE MAC 4		03/01/2017	MBS PAYDOWN		135,001	135,001	144,488			(154)				135,001				900	04/01/2046	
.3128MJ-Y6-1	FREDDIE MAC 3		03/01/2017	MBS PAYDOWN		29,170	29,170	30,171			(16)				29,170				146	11/01/2046	
.3128MJ-YC-8	FREDDIE MAC 3.5		03/01/2017	MBS PAYDOWN		36,565	36,565	38,338			(17)				36,565				194	05/01/2046	
.3128MJ-YH-7	FREDDIE MAC 3.5		03/01/2017	MBS PAYDOWN		82,440	82,440	86,648			(36)				82,440				413	06/01/2046	
.3128MJ-YT-1	FREDDIE MAC 3		03/01/2017	MBS PAYDOWN		32,185	32,185	33,416			(8)				32,185				156	09/01/2046	
.3128MJ-YV-0	FREDDIE MAC 3		03/01/2017	MBS PAYDOWN		28,107	28,107	29,142			(7)				28,107				138	10/01/2046	
.3128MJ-Z8-9	FREDDIE MAC 3		03/01/2017	MBS PAYDOWN		4,785	4,785	4,780							4,785				24	12/01/2046	
.3128MJ-ZF-0	FREDDIE MAC 3		03/01/2017	MBS PAYDOWN		16,944	16,944	16,757			2				16,944				65	01/01/2047	
.3128MH-Y0-3	FREDDIE MAC 2.5		03/16/2017	VARIOUS		1,534,873	1,541,755	1,545,439			(110)				1,545,271		(10,398)	(10,398)	11,339	12/01/2031	
.3128MH-Y0-4	FREDDIE MAC 2.5		03/01/2017	MBS PAYDOWN		5,135	5,135	5,139							5,135				16	01/01/2032	
.3128PH-CE-9	FREDDIE MAC 5.5		03/01/2017	MBS PAYDOWN		7,233	7,233	7,392			(3)				7,233				66	07/01/2022	
.3128PH-EG-2	FREDDIE MAC 5.5		03/01/2017	MBS PAYDOWN		3,283	3,283	3,292							3,283				30	09/01/2022	
.3128PH-HB-4	FREDDIE MAC 5.5		03/01/2017	MBS PAYDOWN		1,382	1,382	1,377							1,382				13	11/01/2022	
.3132ZF-6Z-5	FREDDIE MAC 4		03/01/2017	MBS PAYDOWN		40,074	40,074	41,495			(15)				40,074				188	09/01/2041	
.3132ZH-BX-6	FREDDIE MAC 4		03/01/2017	MBS PAYDOWN		17,029	17,029	18,011			(18)				17,029				149	09/01/2042	
.3132P-76-1	FREDDIE MAC 4		03/01/2017	MBS PAYDOWN		29,639	29,639	31,348			(35)				29,639				239	11/01/2042	
.3132JN-OT-7	FREDDIE MAC 4		03/01/2017	MBS PAYDOWN		42,457	42,457	44,905			(48)				42,457				282	08/01/2043	
.3133SA-HP-6	FREDDIE MAC 3.5		03/01/2017	MBS PAYDOWN		51,221	51,221	54,158			(28)				51,221				278	10/01/2045	
.3133SA-PZ-5	FREDDIE MAC 3.5		03/01/2017	MBS PAYDOWN		73,052	73,052	77,178			(39)				73,052				416	03/01/2046	
.31349U-CY-8	FREDDIE MAC 2.75		03/01/2017	MBS PAYDOWN		10,325	10,325	10,477			(14)				10,325				32	10/01/2034	
.3138EP-9C-2	FANNIE WAE 4		03/01/2017	MBS PAYDOWN		53,299	53,299	56,056			(39)				53,299				383	01/01/2041	
.3138EP-ST-2	FANNIE WAE 2.984		03/01/2017	MBS PAYDOWN		2,005	2,005	2,071			(11)				2,005				10	05/01/2027	
.3138EP-AS-9	FANNIE WAE 4		03/01/2017	MBS PAYDOWN		36,993	36,993	38,957			(40)				36,993				213	09/01/2046	
.3138EP-DK-3	FANNIE WAE 4.5		03/01/2017	MBS PAYDOWN		27,380	27,380	29,982			(47)				27,380				194	10/01/2046	
.3138EP-DL-1	FANNIE WAE 4.5		03/01/2017	MBS PAYDOWN		23,237	23,237	25,446			(58)				23,237				175	02/01/2046	
.3138EP-DM-9	FANNIE WAE 4.5		01/09/2017	VARIOUS		412,249	383,529	419,964			(26)				419,491		(7,242)	(7,242)	2,231	03/01/2046	
.3138EP-DN-7	FANNIE WAE 4.5		01/04/2017	VARIOUS		74,799	69,709	76,331			(22)				76,240		(1,441)	(1,441)	406	12/01/2034	
.3138EP-TF-7	FANNIE WAE 4		03/01/2017	MBS PAYDOWN		94,304	94,304	99,269			(74)				94,304				414	09/01/2046	
.3138LA-UJ-7	FANNIE WAE 3.55		03/01/2017	MBS PAYDOWN		2,417	2,417	2,648			(6)				2,417				15	03/01/2024	
.3138LA-UJ-9	FANNIE WAE 3.5		03/01/2017	MBS PAYDOWN		3,934	3,934	4,231			(4)				3,934				24	09/01/2030	
.3138LA-UJ-2	FANNIE WAE 3.62		03/01/2017	MBS PAYDOWN		3,058	3,058	3,342			(14)				3,058				20	09/01/2030	
.3138LC-H8-6	FANNIE WAE 3.42		03/01/2017	MBS PAYDOWN		6,006	6,006	6,436			(3)				6,006				35	11/01/2035	
.3138LC-LG-8	FANNIE WAE 3.34		03/01/2017	MBS PAYDOWN		3,087	3,087	3,259			(12)				3,087				18	11/01/2030	
.3138LC-TG-0	FANNIE WAE 3.63		03/01/2017	MBS PAYDOWN		3,097	3,097	3,345			(3)				3,097				20	02/01/2031	
.3138LD-YF-4	FANNIE WAE 2.95		03/01/2017	MBS PAYDOWN		3,378	3,378	3,435			(1)				3,378				18	05/01/2031	
.3138W7-PH-8	FANNIE WAE 4		03/01/2017	MBS PAYDOWN		1,235	1,235	1,285			(1)				1,235				9	08/01/2043	
.3138X0-DM-0	FANNIE WAE 4		03/01/2017	MBS PAYDOWN		151,803	151,803	161,619			(171)				151,803				961	05/01/2044	
.3140IG-Y2-4	FANNIE WAE 2.578		03/01/2017	MBS PAYDOWN		3,372	3,372	3,395							3,372				10	04/01/2033	
.3140IH-2R-2	FANNIE WAE 2.712		03/01/2017	MBS PAYDOWN		1,591	1,591	1,608			(1)				1,591				8	06/01/2033	
.3140J2-4P-4	FANNIE WAE 5		03/01/2017	MBS PAYDOWN		28,077	28,077	27,900			4				28,077				248	02/01/2034	
.3140J4-N8-4	FANNIE WAE 3.302		03/01/2017	MBS PAYDOWN		5,293	5,293	5,309			(1)				5,293				26	10/01/2039	
.3140J9-W4-6	FANNIE WAE 3.191		03/01/2017	MBS PAYDOWN		10,254	10,254	10,399			(2)				10,254				34	12/01/2033	
.3140J3-JN-8	FANNIE WAE 5		03/01/2017	MBS PAYDOWN		6,031	6,031	5,857							6,031				36	11/01/2034	
.3140J5-0P-2	FANNIE WAE 2.665		03/01/2017	MBS PAYDOWN		8,986	8,986	9,211			(7)				8,986				43	09/01/2034	
.3140J5-0R-6	FANNIE WAE 2.665		03/01/2017	MBS PAYDOWN		6,264	6,264	6,411			(3)				6,264				28	09/01/2034	
.3140J5-LG-2	FANNIE WAE 3.5		03/01/2017	MBS PAYDOWN		82,982	82,982	87,021			(35)				82,982				397	12/01/2045	
.3140EV-JC-2	FANNIE WAE 3.5		03/01/2017	MBS PAYDOWN		66,289	66,289	69,427			(30)				66,289				328	02/01/2046	
.31410F-SA-9	FANNIE WAE 5		03/01/2017	MBS PAYDOWN		7,657	7,657	8,480			(24)				7,657				70	02/01/2037	

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STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
..31410F-9K-2	FANNIE WAE 5		03/01/2017	MBS PAYDOWN		9,758	9,758	10,794	30,714		(23)		(23)		9,758				80	02/01/2036	
..31410G-9H-0	FANNIE WAE 5		03/01/2017	MBS PAYDOWN		11,338	11,338	11,178	31,272		1		1		11,338				65	11/01/2021	
..31410B-FH-8	FANNIE WAE 6		03/01/2017	MBS PAYDOWN		3,140	3,140	3,090	3,097		3		3		3,140				40	07/01/2037	
..31410J-KA-5	FANNIE WAE 6		03/01/2017	MBS PAYDOWN		2,819	2,819	3,065	3,036		(2)		(2)		2,819				19	10/01/2037	
..31410P-BV-9	FANNIE WAE 6		03/01/2017	MBS PAYDOWN		613	613	653	648						613				6	07/01/2038	
..31416V-S2-1	FANNIE WAE 6		03/01/2017	MBS PAYDOWN		12,235	12,235	13,240	33,119		(16)		(16)		12,235				105	02/01/2037	
..31418B-V5-9	FANNIE WAE 3.5		03/01/2017	MBS PAYDOWN		99,992	99,992	105,007	104,845		(62)		(62)		99,992				521	02/01/2036	
..31418C-BJ-2	FANNIE WAE 2.5		03/01/2017	MBS PAYDOWN		50,164	50,164	51,771	51,753		(32)		(32)		50,164				206	09/01/2026	
..38375C-NZ-9	GOVERNMENT NATIONAL MORTGAGE A 3.5		03/01/2017	MBS PAYDOWN		14,681	14,681	15,440	15,348		(26)		(26)		14,681				86	07/20/2023	
..42702B-AA-3	CANADA GOVERNMENT 0.875 14/02/17	A	02/14/2017	MATURITY		707,000	707,000	706,432	706,938		62		62		707,000				3,093	02/14/2017	IFE
3199999 Subtotal - Bonds - U.S. Special Revenues						63,300,372	60,938,676	63,433,538	21,527,262		(1,533)		(1,533)		63,353,054		(52,684)	(52,684)	26,029	XXXX	XXXX
..D0206P-CL-4	AT&T INC 2.45 30/06/20		01/18/2017	MERRILL LYNCH PIERCE		753,195	756,000	741,083	745,337		151		151		745,488		7,707	7,707	1,183	06/30/2020	2FE
..D04375-C3-8	ACCREDITED MORTGAGE LOAN TRUST 1.4783		03/27/2017	MBS PAYDOWN		14,817	14,817	14,702	14,703		23		23		14,817				37	04/25/2035	IFML
..D04421-UP-6	ACE SECURITIES CORP. 0.983		03/27/2017	MBS PAYDOWN		142,245	142,245	131,488	131,575		1,583		1,583		142,245				259	12/25/2035	IFML
..D0764H-CC-9	AGIS ASSET BACKED SECURITIES 1.4983		03/27/2017	MBS PAYDOWN		29,607	29,607	29,486	29,487		45		45		29,607				72	09/25/2034	IFML
..D0764H-EL-7	AGIS ASSET BACKED SECURITIES 1.2783		03/27/2017	MBS PAYDOWN		31,644	31,644	31,534	31,536		38		38		31,644				58	03/25/2035	IFML
..D0764H-HD-2	AGIS ASSET BACKED SECURITIES 1.0283		03/27/2017	MBS PAYDOWN		83,446	83,446	81,976	81,994		99		99		83,446				104	12/25/2035	IFML
..D3063H-AA-5	AMERIGOLD LLC TRUST 3.8473		03/11/2017	MBS PAYDOWN		7,817	7,817	7,817	7,817						7,817				50	01/14/2029	IFML
..D30725-SH-9	AMERIGOLD MORTGAGE SECURITIES 1.1983		03/27/2017	MBS PAYDOWN		49,050	49,050	48,248	48,423		154		154		49,050				110	07/25/2034	IFML
..D4542B-LX-8	ASSET BACKED FUNDING CERTIFICA 1.3883		03/27/2017	MBS PAYDOWN		20,638	20,638	20,614	20,614		8		8		20,638				42	12/25/2034	IFML
..D829-AF-2	COMM MORTGAGE TRUST 0.6261		03/01/2017	MBS PAYDOWN				701			(23)		(23)						30	06/10/2046	IFE
..D96951-AA-0	CYS PASS-THROUGH TR 2014 4.163 11/08/36		03/10/2017	MBS PAYDOWN		4,503	4,503	4,458	4,459		150		150		4,503				31	08/11/2036	2FE
..D96970-VN-5	COUNTRYWIDE ASSET-BACKED CERTI 1.1817		03/27/2017	MBS PAYDOWN		137,810	137,810	136,539	136,539		197		197		137,810				197	08/25/2036	IFE
..D96973-PP-9	COUNTRYWIDE ASSET-BACKED CERTI 2.0533		03/27/2017	MBS PAYDOWN		181,494	181,494	178,079	178,120		738		738		181,494				604	04/25/2035	IFML
..D9231A-HD-8	CENTEX HOME EQUITY 1.0283		03/27/2017	MBS PAYDOWN		94,169	94,169	91,944	91,991		295		295		94,169				165	06/25/2036	IFML
..D9231R-AF-3	CITIGROUP COMMERCIAL MORTGAGE 1.4496		03/01/2017	MBS PAYDOWN				955			(26)		(26)						44	11/10/2046	IFE
..D22549-AE-3	CREDIT SUISSE MORTGAGE TRUST 5.361		01/01/2017	MBS PAYDOWN		229,703	229,703	230,669	230,663		(961)		(961)		229,703				1,026	02/15/2040	IFML
..D25466A-AG-6	DISCOVER BANK 3.1 04/06/20		02/28/2017	G.L.D.M.N. SACHS AND CO.		812,036	800,000	599,403	599,573		20		20		599,593		12,443	12,443	4,598	06/04/2020	2FE
..D3136A-TZ-2	FANNIEMAE-ACES 0.7188		03/01/2017	FENNER & SMITH				3,038			(37)		(37)						87	02/25/2022	
..D3136B-PZ-0	FANNIEMAE-ACES 0.6104		03/01/2017					1,630			(118)		(118)						84	08/25/2022	
..D3136A-YI-4	FANNIEMAE-ACES 0.1464		03/01/2017					940			(31)		(31)						25	07/25/2024	
..D3136H-LC-1	FANNIEMAE-ACES 0.5533		03/01/2017					750			(22)		(22)						25	09/25/2024	
..D31370-WK-5	FREDDIE MAC - STACR 1.7783		03/27/2017	MBS PAYDOWN		51,368	51,368	51,060	51,368						51,368				139	02/25/2024	IFE
..D36231-G3-7	GSMP TRUST 1.1311		02/27/2017	MBS PAYDOWN		27,530	27,530	27,459	27,460		70		70		27,530				27	11/25/2035	IFML
..D38378H-2L-6	GOVERNMENT NATIONAL MORTGAGE A 0.6682		03/01/2017	MBS PAYDOWN		7,632	7,632	7,632	7,632		(231)		(231)		7,632				325	09/16/2055	
..D38378H-9K-5	GOVERNMENT NATIONAL MORTGAGE A 0.7241		03/01/2017	MBS PAYDOWN		9,605	9,605	9,605	9,605		(262)		(262)		9,605				708	04/16/2056	
..D31282-AK-8	HIGHWOODS REALTY LIMITED 5.85 15/03/17		03/15/2017	MATURITY		750,000	750,000	763,478	756,038		(6,038)		(6,038)		750,000				21,938	03/15/2017	2FE
..D37084-DS-2	HOME EQUITY ASSET TRUST 1.8111		02/27/2017	MBS PAYDOWN		88,612	88,612	88,437	88,439		173		173		88,612				217	11/25/2034	IFML
..D37084-JS-6	HOME EQUITY ASSET TRUST 1.5211		01/25/2017	MBS PAYDOWN		9,847	9,847	9,845	9,845		1		1		9,847				10	07/25/2035	IFML
..D37084-MG-8	HOME EQUITY ASSET TRUST 1.4983		03/27/2017	MBS PAYDOWN		130,788	130,788	130,632	130,693		67		67		130,788				272	11/25/2035	IFML
..D6637H-AE-3	J.P. MORGAN CHASE COMMERCIAL MOR 1.857		03/01/2017	MBS PAYDOWN				338			(13)		(13)						18	06/15/2045	IFE
..D6638H-HS-0	J.P. MORGAN CHASE COMMERCIAL MOR 1.8974		03/01/2017	MBS PAYDOWN				1,387			(41)		(41)						50	07/15/2045	IFE
..D6639H-AS-6	J.P. MORGAN CHASE COMMERCIAL MOR 1.4267		03/01/2017	MBS PAYDOWN				1,112			(37)		(37)						42	04/15/2046	IFE
..D6640B-AC-8	J.P. MORGAN CHASE COMMERCIAL MOR 3.5		03/01/2017	MBS PAYDOWN		16,252	16,252	16,338	16,335		(1)		(1)		16,252				67	05/25/2043	IFML
..D6640J-AT-4	J.P. MORGAN CHASE COMMERCIAL MOR 0.5339		03/01/2017	MBS PAYDOWN				635			(15)		(15)						45	01/15/2046	IFE
..D6642E-BA-3	J.P. MORGAN CHASE COMMERCIAL MOR 1.0894		03/01/2017	MBS PAYDOWN				824			(24)		(24)						25	08/15/2047	IFE
..D7643L-NU-6	MASTR ASSET BACKED SECURITIES 1.0083		03/27/2017	MBS PAYDOWN		27,208	27,208	27,064	27,066		67		67		27,208				41	02/25/2036	IFML
..D7644C-JH-4	MORGAN STANLEY HOME EQUITY LOAN 1.0083		03/27/2017	MBS PAYDOWN		191,824	191,824	186,968	187,026		844		844		191,824				348	12/25/2035	IFML
..D7676A-AA-6	MORGAN STANLEY BAML TRUST 1.5731		03/01/2017	MBS PAYDOWN				7,056			(35)		(35)						192	08/15/2045	IFE
..D27180-AA-3	MURPHY ST INV TRUST 1.4 647 09/03/17		03/09/2017	MATURITY		326,000	326,000	324,783	326,000						326,000				4,124	03/09/2017	IFE
..D33089-AA-7	NAVIENT STUDENT LOAN TRUST 1.4183		03/27/2017	MBS PAYDOWN		17,293	17,293	17,669	17,670		9		9		17,293				39	03/25/2083	IFE
..D33089-AA-1	NAVIENT STUDENT LOAN TRUST 1.3983		03/27/2017	MBS PAYDOWN		17,985	17,985	17,372	17,373		9		9		17,985				27	03/25/2083	IFE
..D40336-AA-0	NAVIENT STUDENT LOAN TRUST 1.3783		03/27/2017	MBS PAYDOWN		28,252	28,252	27,122	27,134		19		19		28,252				63	10/27/2036	IFE
..D48521-KT-7	NEW CENTURY HOME EQUITY LOAN 1.4283		03/27/2017	MBS PAYDOWN		232,177	232,177	230,748	230,765		682		682		232,177				538	06/25/2035	IFML

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STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)	
..65477U-AC-4	NISSAN AUTO RECEIVABLES OWNER 1.05		03/15/2017	MBS PAYDOWN		150,278	150,278	150,143	150,169		2		2		150,278				262	10/15/2019	IFE	
..65478V-AB-3	NISSAN AUTO RECEIVABLES OWNER 1.05		03/15/2017	MBS PAYDOWN		182,027	182,027	182,013	182,016		2		2		182,027				392	04/15/2019	IFE	
..65539H-ED-9	HOLMUR HOME EQUITY LOAN INC 1.4461		01/25/2017	MBS PAYDOWN		5,146	5,146	5,126	5,146						5,146				7	08/25/2035	IFNL	
..68389F-DI-9	OPTION ONE MORTGAGE LOAN TRUST 1.6111		02/27/2017	MBS PAYDOWN		14,982	14,982	14,383	14,391		571		571		14,982				41	02/25/2033	IFNL	
..76113A-AF-8	RESIDENTIAL ASSET SECURITIES C 1.2817		03/27/2017	MBS PAYDOWN		269,773	269,773	268,663	20,795		227		227		269,773				476	02/25/2036	IFNL	
..78442Z-AE-6	SLM STUDENT LOAN TRUST 1.2412		03/15/2017	MBS PAYDOWN		28,497	28,497	27,285	27,355		50		50		28,497				76	03/15/2027	IFE	
..78442G-NH-5	SLM STUDENT LOAN TRUST 1.5879		01/25/2017	MBS PAYDOWN		79,847	79,847	78,849	78,808		21		21		79,847				292	04/27/2026	IFE	
..78444C-AD-5	SLM STUDENT LOAN TRUST 1.4179		01/25/2017	MBS PAYDOWN		51,760	51,760	50,037	50,098		34		34		51,760				167	10/25/2024	IFE	
..78449F-AA-7	SLM STUDENT LOAN TRUST 1.5283		03/27/2017	MBS PAYDOWN		23,290	23,290	22,314	22,327		16		16		23,290				57	01/25/2045	IFE	
..784461-AA-1	SLM STUDENT LOAN TRUST 1.4783		03/27/2017	MBS PAYDOWN		35,713	35,713	34,307	34,358		65		65		35,713				94	01/25/2029	IFNL	
..78448A-AA-1	SLM STUDENT LOAN TRUST 1.3283		03/27/2017	MBS PAYDOWN		35,319	35,319	33,842	33,913		69		69		35,319				79	06/25/2043	IFNL	
..78448E-AB-1	SLM STUDENT LOAN TRUST 1.1583		03/27/2017	MBS PAYDOWN		135,657	135,657	135,105	135,142		128		128		135,657				280	07/28/2021	IFE	
..80280U-DE-4	SANTANDER BANK NA 2 12/01/16		03/29/2017	CORPORATE ACTIONS		446,220	444,000	440,735	442,323		401		401		442,723		3,497	3,497	6,339	01/12/2018	IFE	
..83162C-SJ-3	SMALL BUSINESS ADMINISTRATION 3.88		03/01/2017	MBS PAYDOWN		14,847	14,847	14,847	14,847						14,847				298	03/01/2019	IFE	
..83162C-G0-7	SMALL BUSINESS ADMINISTRATION 3.19		01/01/2017	MBS PAYDOWN		24,161	24,161	24,161	24,161						24,161				385	07/01/2019	IFE	
..83162C-TC-7	SMALL BUSINESS ADMINISTRATION 2.86		03/01/2017	MBS PAYDOWN		24,889	24,889	24,889	24,889						24,889				356	03/01/2020	IFE	
..83162C-TJ-2	SMALL BUSINESS ADMINISTRATION 2.44		01/01/2017	MBS PAYDOWN		27,282	27,282	27,282	27,282						27,282				333	07/01/2020	IFE	
..83162C-TH-5	SMALL BUSINESS ADMINISTRATION 1.97		03/01/2017	MBS PAYDOWN		46,987	46,987	46,987	46,987						46,987				463	09/01/2020	IFE	
..86358E-RA-6	STRUCTURED ASSET INVESTMENT LO 1.4311		01/25/2017	MBS PAYDOWN		40,027	40,027	40,025	40,025		1		1		40,027				46	03/25/2035	IFNL	
..86358E-RH-8	STRUCTURED ASSET INVESTMENT LO 1.4383		03/27/2017	MBS PAYDOWN		106,189	106,189	104,638	104,672		143		143		106,189				229	04/25/2035	IFNL	
..86358E-UA-2	STRUCTURED ASSET INVESTMENT LO 1.4983		03/27/2017	MBS PAYDOWN		17,193	17,193	14,227	16,149		304		304		17,193				48	07/25/2035	IFNL	
..86358L-FR-0	STRUCTURED ASSET MORTGAGE INNE 3.4236		03/01/2017	MBS PAYDOWN		117	117	116	116						117				1	02/19/2035	IFNL	
..86358L-LZ-0	STRUCTURED ASSET MORTGAGE INNE 1.0889		03/27/2017	MBS PAYDOWN		737	737	770	773		9		9		737		(366)	(366)	2	12/25/2035	IFNL	
..88231U-HB-3	TOYOTA AUTO RECEIVABLES OWNER 1.02		03/15/2017	MBS PAYDOWN		229,782	229,782	229,758	229,759		1		1		229,782				404	10/15/2018	IFE	
..90693D-MA-4	UNITED AIR 2014-2 A PTT 3.75 03/03/28		03/03/2017	MBS PAYDOWN		14,896	14,896	14,747	14,771		3		3		14,896				279	03/03/2028	IFE	
..92880P-AL-8	WF-BES COMMERCIAL MORTGAGE TRU 0.8219		03/01/2017	MBS PAYDOWN				705			(24)		(24)						25	06/15/2046	IFE	
..92922F-D2-1	WAMU MORTGAGE PASS-THROUGH CER 1.0883		03/25/2017	MBS PAYDOWN		50,351	50,351	47,771	47,804		92		92		50,351				95	01/25/2045	IFNL	
..92922F-NH-4	WAMU MORTGAGE PASS-THROUGH CER 2.0141		03/01/2017	MBS PAYDOWN		208	208	195	195						208				1	04/25/2044	IFNL	
..92930P-AF-9	WF-BES COMMERCIAL MORTGAGE TRU 2.0866		03/01/2017	MBS PAYDOWN				1,115			(39)		(39)						49	11/15/2045	IFE	
..92930T-AF-9	WF-BES COMMERCIAL MORTGAGE TRU 1.4838		03/01/2017	MBS PAYDOWN				1,251			(18)		(18)						33	06/15/2045	IFE	
..94982D-WH-2	WELLS FARGO ALTERNATIVE LOAN 1.5911		02/27/2017	MBS PAYDOWN		12,003	12,003	11,989	11,990		33		33		12,003				15	10/25/2035	IFNL	
..EJ0327-85-2	UBS AG JERSEY BRANCH 7.25 22/02/22		02/22/2017	ISSUER at 100,000		945,000	945,000	985,274	949,062	(114)			(114)		948,948		(3,948)	(3,948)	68,513	02/22/2022	IFE	
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					7,400,131	7,389,045	7,444,477	6,987,136		(601)		(601)		7,380,787		19,333	19,333	118,289	XXX	XXX	
4899999	Subtotal - Bonds - Hybrid Securities																			XXX	XXX	
5599999	Subtotal - Bonds - Parent, Subsidiaries and Affiliates																			XXX	XXX	
8199999	Subtotal - Bonds - SVO Identified Funds																			XXX	XXX	
8399997	Total - Bonds - Part 4					149,287,926	147,774,600	149,799,178	86,989,754		9,121		9,121		149,661,030			(373,109)	(373,109)	322,206	XXX	XXX
8399998	Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999	Total - Bonds					149,287,926	147,774,600	149,799,178	86,989,754		9,121		9,121		149,661,030			(373,109)	(373,109)	322,206	XXX	XXX
8499999	Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated)						XXX													XXX	XXX	
8599999	Subtotal - Preferred Stocks - Parent, Subsidiaries and Affiliates						XXX													XXX	XXX	
8999997	Total - Preferred Stocks - Part 4						XXX													XXX	XXX	
8999998	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999	Total - Preferred Stocks						XXX													XXX	XXX	
9099999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)						XXX													XXX	XXX	
9199999	Subtotal - Common Stocks - Parent, Subsidiaries and Affiliates						XXX													XXX	XXX	

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STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Desig- nation or Market In- dicator (a)	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recog- nized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value								
9299999	Subtotal - Common Stocks - Mutual Funds						XXX													XXX	XXX	
9399999	Subtotal - Common Stocks - Money Market Mutual Funds						XXX													XXX	XXX	
9799997	Total - Common Stocks - Part 4						XXX													XXX	XXX	
9799998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999	Total - Common Stocks						XXX													XXX	XXX	
9899999	Total - Preferred and Common Stocks						XXX													XXX	XXX	
9999999	Totals					149,287,926	XXX	149,799,178	86,989,754		9,121			9,121		149,661,030		(373,109)	(373,109)	32,206	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues _____

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

NONE

Schedule DB - Part B - Section 1 - Futures Contracts Open

NONE

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

NONE

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

NONE

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

NONE

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

NONE

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

NONE

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

NONE

STATEMENT AS OF MARCH 31, 2017 OF THE PROVIDENCE HEALTH PLAN

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
US Bank - 100100-0632 Portland, OR								XXX
US Bank - 100102-0000 Portland, OR					62,599,604	46,532,334	67,164,228	XXX
US Bank - 100302-0650 Portland, OR					(7,079,569)	(8,472,851)	(5,538,702)	XXX
US Bank - 100302-0670 Portland, OR					(2,122,724)	(1,618,560)	(1,150,432)	XXX
US Bank - 100302-0671 Portland, OR					(3,873,518)	(2,435,015)	(1,672,236)	XXX
Albina Bank - 100600-0000 Portland, OR			51		245,121	245,140	245,160	XXX
US Bank - 100900-0650 Portland, OR					90,077	163,275	373,368	XXX
US Bank - 100900-0670 Portland, OR					10,273,553	7,853,193	6,681,614	XXX
US Bank - 100900-0671 Portland, OR					5,540,858	2,816,114	8,492,835	XXX
US Bank - 100900-0700 Portland, OR					86,429	44,066	138,720	XXX
US Bank - 100900-0710 Portland, OR					78,467	78,296	80,854	XXX
US Bank - 100900-0720 Portland, OR					88,263	128,627	842,973	XXX
US Bank - 100900-0730 Portland, OR					1,926,722	1,634,196	375,243	XXX
US Bank - 100900-0740 Portland, OR					26,005	31,963	22,000	XXX
US Bank - 100900-0750 Portland, OR					165,547	56,377	531,134	XXX
US Bank - 100900-0760 Portland, OR					4,605	3,382	23,461	XXX
US Bank - 100900-0770 Portland, OR					444,105	419,882	82,209	XXX
Northern Trust - 100903-0606 Chicago, IL			11,908		5,954,301	4,675,754	6,545,372	XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX						XXX
0199999. Totals - Open Depositories	XXX	XXX	11,969		74,447,846	52,156,173	83,237,801	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX						XXX
0399999. Total Cash on Deposit	XXX	XXX	11,969		74,447,846	52,156,173	83,237,801	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash	XXX	XXX	11,969		74,447,846	52,156,173	83,237,801	XXX

