



HEALTH QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2016
OF THE CONDITION AND AFFAIRS OF THE
Moda Health Plan, Inc.

NAIC Group Code 1313 1313 NAIC Company Code 47098 Employer's ID Number 93-0989307
(Current) (Prior)

Organized under the Laws of Oregon, State of Domicile or Port of Entry Oregon

Country of Domicile United States of America

Licensed as business type: HMDI

Is HMO Federally Qualified? Yes [] No []

Incorporated/Organized 11/03/1988 Commenced Business 12/28/1988

Statutory Home Office 601 SW Second Ave Portland, OR, US 97204
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 601 SW Second Ave
(Street and Number) Portland, OR, US 97204
(City or Town, State, Country and Zip Code) 503-228-6554
(Area Code) (Telephone Number)

Mail Address 601 SW Second Ave Portland, OR, US 97204
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 601 SW Second Ave
(Street and Number) Portland, OR, US 97204
(City or Town, State, Country and Zip Code) 503-228-6554
(Area Code) (Telephone Number)

Internet Website Address www.modahealth.com

Statutory Statement Contact Katherine Stalsberg 503-412-4030
(Name) (Area Code) (Telephone Number)
katie.stalsberg@modahealth.com 503-243-4495
(E-mail Address) (FAX Number)

OFFICERS

President Williams Ellis Johnson Treasurer David Wayne Evans
Secretary Thomas James Bikales

OTHER

DIRECTORS OR TRUSTEES

Molly Hering Bardonaro George Jim Darke Robert Glenn Gootes
David Wesley Howerton Carlton James McLeod

State of Oregon SS:
County of Multnomah

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

William Ellis Johnson Thomas James Bikales David Wayne Evans
President Secretary Treasurer

Subscribed and sworn to before me this 9th day of November 2016
Dianne M. Warren

- a. Is this an original filing? Yes [X] No []
b. If no,
1. State the amendment number _____
2. Date filed _____
3. Number of pages attached _____



STATEMENT AS OF SEPTEMBER 30, 2016 OF THE ODS Health Plan, Inc.

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	6,419,751		6,419,751	39,925,027
2. Stocks:				
2.1 Preferred stocks			0	0
2.2 Common stocks	75,157,323		75,157,323	51,699,976
3. Mortgage loans on real estate:				
3.1 First liens			0	0
3.2 Other than first liens			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)			0	0
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ encumbrances)			0	0
5. Cash (\$8,144,254), cash equivalents (\$0) and short-term investments (\$32,361,923)	40,506,177		40,506,177	(32,916,937)
6. Contract loans (including \$ premium notes)			0	0
7. Derivatives			0	0
8. Other invested assets	7,160,814	6,292,100	868,714	7,455,502
9. Receivables for securities			0	0
10. Securities lending reinvested collateral assets			0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	129,244,065	6,292,100	122,951,965	66,163,568
13. Title plants less \$ charged off (for Title insurers only)			0	0
14. Investment income due and accrued	22,210		22,210	233,240
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	4,072,457	443,162	3,629,295	11,539,094
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)			0	0
15.3 Accrued retrospective premiums (\$24,527,922) and contracts subject to redetermination (\$16,521,054)	232,909,718	212,925,123	19,984,595	8,134,306
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	309,198,786		309,198,786	348,571,490
16.2 Funds held by or deposited with reinsured companies			0	0
16.3 Other amounts receivable under reinsurance contracts			0	0
17. Amounts receivable relating to uninsured plans	69,449,495	66,760	69,382,735	27,915,374
18.1 Current federal and foreign income tax recoverable and interest thereon	24,249,574		24,249,574	69,117,940
18.2 Net deferred tax asset			0	0
19. Guaranty funds receivable or on deposit			0	0
20. Electronic data processing equipment and software	9,520,010	9,018,007	502,003	154,217
21. Furniture and equipment, including health care delivery assets (\$)			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23. Receivables from parent, subsidiaries and affiliates	38,626,431		38,626,431	19,556,819
24. Health care (\$5,755,589) and other amounts receivable	7,855,588	2,100,000	5,755,588	6,714,106
25. Aggregate write-ins for other than invested assets	1,220,809	450,975	769,834	633,536
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	826,369,143	231,296,127	595,073,016	558,733,690
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28. Total (Lines 26 and 27)	826,369,143	231,296,127	595,073,016	558,733,690
DETAILS OF WRITE-INS				
1101.			0	0
1102.			0	0
1103.			0	0
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Miscellaneous Prepaids	450,975	450,975	0	0
2502. Other Receivables	769,834		769,834	633,536
2503.			0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	1,220,809	450,975	769,834	633,536

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ 17,232,910 reinsurance ceded)	113,387,760	8,942,330	122,330,090	88,335,503
2. Accrued medical incentive pool and bonus amounts			0	0
3. Unpaid claims adjustment expenses	6,468,047	510,103	6,978,150	7,381,000
4. Aggregate health policy reserves, including the liability of \$ 0 for medical loss ratio rebate per the Public Health Service Act	32,430,444		32,430,444	37,244,171
5. Aggregate life policy reserves			0	0
6. Property/casualty unearned premium reserve			0	0
7. Aggregate health claim reserves			0	0
8. Premiums received in advance	24,043,723		24,043,723	14,103,432
9. General expenses due or accrued	15,125,228		15,125,228	21,237,871
10.1 Current federal and foreign income tax payable and interest thereon (including \$ on realized gains (losses))			0	0
10.2 Net deferred tax liability			0	0
11. Ceded reinsurance premiums payable	256,563,932		256,563,932	260,699,653
12. Amounts withheld or retained for the account of others	709,088		709,088	516,668
13. Remittances and items not allocated			0	0
14. Borrowed money (including \$ current) and interest thereon \$ (including \$ current)			0	0
15. Amounts due to parent, subsidiaries and affiliates	12,288,745		12,288,745	242,343
16. Derivatives			0	0
17. Payable for securities			0	2,052,968
18. Payable for securities lending			0	0
19. Funds held under reinsurance treaties (with \$ authorized reinsurers, \$ unauthorized reinsurers and \$ certified reinsurers)			0	0
20. Reinsurance in unauthorized and certified (\$) companies			0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates			0	0
22. Liability for amounts held under uninsured plans	64,579,430		64,579,430	59,661,194
23. Aggregate write-ins for other liabilities (including \$ current)	516,265	0	516,265	240,597
24. Total liabilities (Lines 1 to 23)	526,112,662	9,452,433	535,565,095	491,715,400
25. Aggregate write-ins for special surplus funds	XXX	XXX	22,532,360	29,678,901
26. Common capital stock	XXX	XXX	2,500,000	2,500,000
27. Preferred capital stock	XXX	XXX		
28. Gross paid in and contributed surplus	XXX	XXX	155,578,582	45,578,582
29. Surplus notes	XXX	XXX	73,000,000	153,000,000
30. Aggregate write-ins for other than special surplus funds	XXX	XXX	0	0
31. Unassigned funds (surplus)	XXX	XXX	(194,103,021)	(163,739,193)
32. Less treasury stock, at cost:				
32.1 shares common (value included in Line 26 \$)	XXX	XXX		
32.2 shares preferred (value included in Line 27 \$)	XXX	XXX		
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	59,507,921	67,018,290
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	595,073,016	558,733,690
DETAILS OF WRITE-INS				
2301. Unclaimed Property	516,265		516,265	240,597
2302.			0	0
2303.				
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	516,265	0	516,265	240,597
2501. Surplus appropriated for CY ACA Section 9010 Fee	XXX	XXX	22,532,360	29,678,901
2502.	XXX	XXX		0
2503.	XXX	XXX		0
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	22,532,360	29,678,901
3001.	XXX	XXX		0
3002.	XXX	XXX		0
3003.	XXX	XXX		0
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months	XXX	1,216,073	1,956,993	2,612,932
2. Net premium income (including \$ non-health premium income).....	XXX	666,134,022	576,560,289	777,092,615
3. Change in unearned premium reserves and reserve for rate credits.....	XXX			
4. Fee-for-service (net of \$ medical expenses).....	XXX			
5. Risk revenue	XXX			
6. Aggregate write-ins for other health care related revenues	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues	XXX	0	0	0
8. Total revenues (Lines 2 to 7)	XXX	666,134,022	576,560,289	777,092,615
Hospital and Medical:				
9. Hospital/medical benefits		444,417,182	425,780,862	792,203,468
10. Other professional services		45,459,894	49,901,229	92,911,630
11. Outside referrals		21,783,921	20,601,493	39,535,219
12. Emergency room and out-of-area		63,399,801	49,077,287	100,283,062
13. Prescription drugs		90,046,274	109,351,695	149,858,113
14. Aggregate write-ins for other hospital and medical	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts				0
16. Subtotal (Lines 9 to 15)	0	665,107,072	654,712,566	1,174,791,492
Less:				
17. Net reinsurance recoveries		21,782,138	92,748,164	395,974,365
18. Total hospital and medical (Lines 16 minus 17)	0	643,324,934	561,964,402	778,817,127
19. Non-health claims (net)				
20. Claims adjustment expenses, including \$4,657,158 cost containment expenses		17,232,081	3,074,495	27,047,760
21. General administrative expenses		43,232,681	46,345,195	34,732,646
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only)				0
23. Total underwriting deductions (Lines 18 through 22)	0	703,789,696	611,384,092	840,597,533
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	(37,655,674)	(34,823,803)	(63,504,918)
25. Net investment income earned		410,546	(356,884)	(85,450)
26. Net realized capital gains (losses) less capital gains tax of \$80,176		155,635	1,495,556	1,508,062
27. Net investment gains (losses) (Lines 25 plus 26)	0	566,181	1,138,672	1,422,612
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$) (amount charged off \$)]				
29. Aggregate write-ins for other income or expenses	0	40,683	(148,128)	(142,814)
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	(37,048,810)	(33,833,259)	(62,225,120)
31. Federal and foreign income taxes incurred	XXX	(3,572,027)	(2,904,220)	(12,695,690)
32. Net income (loss) (Lines 30 minus 31)	XXX	(33,476,783)	(30,929,039)	(49,529,430)
DETAILS OF WRITE-INS				
0601.	XXX			0
0602.	XXX			0
0603.	XXX			0
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	XXX	0	0	0
0701.	XXX			0
0702.	XXX			0
0703.	XXX			0
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX	0	0	0
1401.				0
1402.				0
1403.				0
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	0	0	0
2901. Miscellaneous Income		140,425	(49,886)	(34,512)
2902. Grants & Donations		(99,742)	(98,242)	(108,302)
2903.				0
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	40,683	(148,128)	(142,814)

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
CAPITAL AND SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	67,018,290	121,061,663	121,061,663
34. Net income or (loss) from Line 32.....	(33,476,783)	(30,929,039)	(49,529,430)
35. Change in valuation basis of aggregate policy and claim reserves.....			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ (491,994).....	27,855,456	4,257,813	11,573,225
37. Change in net unrealized foreign exchange capital gain or (loss).....			
38. Change in net deferred income tax.....	21,249,715	57,824,140	56,125,204
39. Change in nonadmitted assets.....	(53,138,757)	(149,094,128)	(165,212,372)
40. Change in unauthorized and certified reinsurance.....	0	0	0
41. Change in treasury stock.....	0	0	0
42. Change in surplus notes.....	(80,000,000)	50,000,000	93,000,000
43. Cumulative effect of changes in accounting principles.....			
44. Capital Changes:			
44.1 Paid in.....	0	0	0
44.2 Transferred from surplus (Stock Dividend).....	0	0	0
44.3 Transferred to surplus.....			
45. Surplus adjustments:			
45.1 Paid in.....	110,000,000	0	0
45.2 Transferred to capital (Stock Dividend).....			
45.3 Transferred from capital.....			
46. Dividends to stockholders.....			
47. Aggregate write-ins for gains or (losses) in surplus.....	0	0	0
48. Net change in capital & surplus (Lines 34 to 47).....	(7,510,369)	(67,941,214)	(54,043,373)
49. Capital and surplus end of reporting period (Line 33 plus 48)	59,507,921	53,120,449	67,018,290
DETAILS OF WRITE-INS			
4701.			0
4702.			0
4703.			0
4798. Summary of remaining write-ins for Line 47 from overflow page.....	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	0

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	625,206,839	479,045,495	948,864,463
2. Net investment income	739,997	204,394	485,323
3. Miscellaneous income	0	0	0
4. Total (Lines 1 to 3)	625,946,836	479,249,889	949,349,786
5. Benefit and loss related payments	568,999,125	496,340,428	1,016,483,793
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	103,555,039	79,830,797	46,503,910
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses)	(69,278,290)	3,921,307	3,313,143
10. Total (Lines 5 through 9)	603,275,874	580,092,532	1,066,300,846
11. Net cash from operations (Line 4 minus Line 10)	22,670,962	(100,842,643)	(116,951,060)
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	48,195,479	71,762,543	82,489,563
12.2 Stocks	9,711,036	14,820,793	15,280,257
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	(155,635)	232	0
12.7 Miscellaneous proceeds	0	1,365,174	337,888
12.8 Total investment proceeds (Lines 12.1 to 12.7)	57,750,880	87,948,742	98,107,708
13. Cost of investments acquired (long-term only):			
13.1 Bonds	13,839,528	43,839,802	57,816,075
13.2 Stocks	5,325,888	369,718	2,436,361
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	(32,359)
13.5 Other invested assets	0	1,664,898	1,334,000
13.6 Miscellaneous applications	2,052,968	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	21,218,384	45,874,418	61,554,077
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	36,532,496	42,074,324	36,553,631
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	(80,000,000)	50,000,000	93,000,000
16.2 Capital and paid in surplus, less treasury stock	110,000,000	0	0
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied)	(15,780,344)	9,298,695	(14,772,806)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	14,219,656	59,298,695	78,227,194
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	73,423,114	530,376	(2,170,235)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	(32,916,937)	(30,746,702)	(30,746,702)
19.2 End of period (Line 18 plus Line 19.1)	40,506,177	(30,216,326)	(32,916,937)

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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STATEMENT AS OF SEPTEMBER 30, 2016 OF THE ODS Health Plan, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year	216,267	123,189	88,473	0	0	0	0	4,605	0	0
2. First Quarter	143,266	72,796	63,207	0	0	0	0	7,263	0	0
3. Second Quarter	134,146	67,810	58,913	0	0	0	0	7,423	0	0
4. Third Quarter	123,888	65,906	50,418					7,564		
5. Current Year	0									
6. Current Year Member Months	1,216,073	630,087	519,602					66,384		
Total Member Ambulatory Encounters for Period:										
7. Physician	78,478	39,909	29,043					9,526		
8. Non-Physician	63,525	34,440	22,255					6,830		
9. Total	142,003	74,349	51,298	0	0	0	0	16,356	0	0
10. Hospital Patient Days Incurred	10,982	5,211	3,053					2,718		
11. Number of Inpatient Admissions	1,981	956	646					379		
12. Health Premiums Written (a)	675,520,955	322,346,458	243,496,734					106,379,044		3,298,719
13. Life Premiums Direct	0									
14. Property/Casualty Premiums Written	0									
15. Health Premiums Earned	675,520,955	322,346,458	243,496,734					106,379,044		3,298,719
16. Property/Casualty Premiums Earned	0									
17. Amount Paid for Provision of Health Care Services	673,164,072	331,232,225	236,298,485					93,822,151		11,811,211
18. Amount Incurred for Provision of Health Care Services	665,107,072	314,035,612	234,277,579					104,941,670		11,852,211

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ 106,379,044

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE ODS Health Plan, Inc.

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)	87,173,072	416,523,914	287,268	104,354,200	87,460,340	81,766,400
2. Medicare Supplement0	.0
3. Dental Only0	.0
4. Vision Only0	.0
5. Federal Employees Health Benefits Plan0	.0
6. Title XVIII - Medicare	4,409,790	89,412,362	16,643	16,671,979	4,426,433	5,569,103
7. Title XIX - Medicaid0	.0
8. Other health	2,809,161	9,002,048		1,000,000	2,809,161	1,000,000
9. Health subtotal (Lines 1 to 8)	94,392,023	514,938,324	303,911	122,026,179	94,695,934	88,335,503
10. Healthcare receivables (a)0	.0
11. Other non-health0	.0
12. Medical incentive pools and bonus amounts0	.0
13. Totals (Lines 9-10+11+12)	94,392,023	514,938,324	303,911	122,026,179	94,695,934	88,335,503

(a) Excludes \$ _____ loans or advances to providers not yet expensed.

6

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE ODS Health Plan, Inc.

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies

A. Accounting Practices

The accompanying financial statements have been prepared in conformity with accounting practices prescribed or permitted by the State of Oregon, Department of Consumer and Business Services, Insurance Division. The National Association of Insurance Commissioners' (NAIC) *Accounting Practices and Procedures* manual, March 2016 version has been adopted as a component of prescribed or permitted practices by the State of Oregon.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Oregon is shown below:

<u>NET INCOME</u>	State of <u>Domicile</u>	<u>2016</u>	<u>2015</u>
(1) Moda Health Plan, Inc. state basis (Page 4, Line 32, Columns 2 & 3)	OR	\$ (33,476,783)	\$ (49,529,430)
(2) State Prescribed Practices that increase/(decrease) NAIC SAP		-	-
(3) State Permitted Practices that increase/(decrease) NAIC SAP		-	-
(4) NAIC SAP (1-2-3=4)	OR	<u>\$ (33,476,783)</u>	<u>\$ (49,529,430)</u>
<u>SURPLUS</u>			
(5) Moda Health Plan, Inc. state basis (Page 3, Line 33, Columns 3 & 4)	OR	\$ 59,507,921	\$ 67,018,290
(6) State Prescribed Practices that increase/(decrease) NAIC SAP		-	-
(7) State Permitted Practices that increase/(decrease) NAIC SAP		-	-
(8) NAIC SAP (5-6-7=8)	OR	<u>\$ 59,507,921</u>	<u>\$ 67,018,290</u>

B. Use of Estimates in Preparation of Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires the use of management's estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

C. Accounting Policy

The Company uses the following accounting policies:

- 1) Short-term investments are stated at amortized cost.
- 2) Bonds not backed by other loans are stated at amortized cost.
- 3) Common stocks are stated at market.
- 4) Not applicable.
- 5) Not applicable.
- 6) Loan-backed securities are stated at either the amortized cost or the lower of amortized cost or fair market value. The retrospective adjustment method is used to value all securities.
- 7) The Company carries Dentists Benefits Insurance Company at statutory equity. BenefitHelp Solutions, Inc., ODS Community Health, Inc., ODS Plaza, Inc. and PayLess Drug Stores, Inc. are carried at GAAP equity. ODS Bend Property LLC and Propel Health, LLC are represented in other invested assets as these companies are Limited Liability Corporations. Refer to Note 22 for discussion regarding the inclusion of PayLess Drug Stores, Inc. in the Company's September 30, 2016 financial statements. Oregon Bend Property, LLC is a non-admitted asset due to its encumbrance associated with the Company's credit facility, discussed further in Note 11A.
- 8) The Company has ownership interests in limited liability corporations. The Company's ownership interests are valued based on the GAAP equity basis as described in SSAP No. 48 and are recorded in other invested assets.
- 9) Not applicable.
- 10) Not applicable.
- 11) Unpaid losses and loss adjustment expenses include an amount determined from individual case estimates and loss reports and an amount based on past experience, for losses incurred but not reported. Such liabilities are necessarily based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liability are continually reviewed and any adjustments are reflected in the period determined.
- 12) The Company has not modified its capitalization policy from the prior period.
- 13) Pharmaceutical rebate receivables are estimated based on contractual rebate terms.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE ODS Health Plan, Inc.

NOTES TO FINANCIAL STATEMENTS

2. Accounting Changes and Corrections of Errors
Not applicable.
3. Business Combinations and Goodwill
Not applicable.
4. Discontinued Operations
Not applicable.
5. Investments
 - A. Mortgage Loans
Not applicable.
 - B. Debt Restructuring
Not applicable.
 - C. Reverse Mortgages
Not applicable.
 - D. Loan Back Securities
 - 1) Prepayment assumptions were obtained from third party market sources.
 - 2) Not applicable.
 - 3) Not applicable.
 - 4) Not applicable.
 - 5) Not applicable.
 - E. Repurchase Agreements and/or Securities Lending Transactions
Not applicable.
 - F. Real Estate
Not applicable.
 - G. Investments in low-income housing tax credits (LIHTC)
Not applicable.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE ODS Health Plan, Inc.

NOTES TO FINANCIAL STATEMENTS

H. Restricted Assets

1) Restricted Assets (including Pledged)

Restricted Assets (including Pledged)	1	2	3	4	5	6
	Total Gross Restricted From Current Year	Total Gross Restricted From Prior Year	Increase/ (Decrease) (1 minus 2)	Total Current Year Admitted Restricted	Percentage Gross Restricted to Total Assets	Percentage Admitted Restricted to Total Admitted Assets
a. Subject to contractual obligation for which liability is not shown	-	-	-	-	-	-
b. Collateral held under security lending agreements	-	-	-	-	-	-
c. Subject to repurchase agreements	-	-	-	-	-	-
d. Subject to reverse repurchase agreements	-	-	-	-	-	-
e. Subject to dollar repurchase agreements	-	-	-	-	-	-
f. Subject to dollar reverse repurchase agreements	-	-	-	-	-	-
g. Placed under option contracts	-	-	-	-	-	-
h. Letter stock or securities restricted as to sale	-	-	-	-	-	-
i. FHLB capital stock	-	-	-	-	-	-
j. On deposit with states	17,480,359	2,400,775	15,079,584	17,480,359	2.12%	2.94%
k. On deposit with other regulatory bodies	-	-	-	-	-	-
l. Pledged collateral to FHLB	-	-	-	-	-	-
m. Pledged as collateral not captured in other categories	6,292,100	10,345,058	(4,052,958)	-	0.76%	0.00%
n. Other restricted assets	-	-	-	-	-	-
o. Total Restricted Assets	23,772,459	12,745,833	11,026,626	17,480,359	2.12%	2.94%

The Company has \$15 million on deposit with the State of Alaska and an additional \$2.48 million on deposit with the State of Oregon.

2) The Company's investment in Oregon Bend Property, LLC is restricted based on terms within the credit facility with US Bank; this \$6.29 million asset has been non-admitted within Other Assets section of the balance sheet. During the current year, the Company ended its sales-leaseback transaction as further discussed within Note 15; the Company no longer holds pledged assets as had been required by the lease agreement in the prior year.

3) Not applicable.

I. Working Capital Finance Investments

Not applicable.

J. Offsetting and Netting of Assets and Liabilities

Not applicable.

K. Structured Notes

Not applicable.

6. Joint Ventures, Partnerships and Limited Liability Companies

A. The Company has no investments in Joint Ventures, Partnerships or Limited Liability Companies that exceed 10% of its admitted assets.

B. The Company did not recognize any impairment write down for its investments in Joint Ventures, Partnerships and Limited Liability Companies during the statement periods.

7. Investment Income

A. No investment income earned by the Company has been excluded from the financial presentation of this statement.

B. Not applicable.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE ODS Health Plan, Inc.

NOTES TO FINANCIAL STATEMENTS

8. Derivative Instruments

Not applicable.

9. Federal Income Taxes

No Significant Changes.

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

A. The Company is a co-borrower on the US Bank credit facility with parent company Moda, Inc. and affiliated entity Oregon Dental Service. Refer to Note 11A for further details on this credit facility.

B. Not applicable.

C. Not applicable.

D. Receivables for related parties at September 30, 2016:

Moda, Inc.	\$	38,626,431
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Payables for related parties at September 30, 2016:

Oregon Dental Service	\$	4,580,607
ODS Community Health, Inc.	\$	2,177
Eastern Oregon Coordinated Care Organization, LLC	\$	7,704,137
BenefitHelp Solutions, Inc	\$	313
Ardon Health, LLC	\$	1,511

Amounts due to or from related parties are the result of the entity's allocation portion of the transactions described in Note 10F below as well as amounts due to or from the sweep account.

E. Not applicable.

F. Allocation of Corporate Expenses

Moda, Inc. provides certain administrative services including accounting, data processing, facilities, purchasing, legal and senior executive management. Moda, Inc. and Oregon Dental Service provide facilities to subsidiaries. The expenses incurred as a result of using the services and facilities, are estimated and allocated to the subsidiaries, including Moda Health Plan, Inc., in a manner which management believes is reasonable.

G. The Company owns 100% of the outstanding shares of Dentists Benefits Insurance Company (DBIC), a property and casualty insurer. The shares of DBIC are reported at a statutory value of \$12,309,989 on the Company's financial statements. The Company owns 100% of the outstanding shares of BenefitHelp Solutions, Inc., a third party administrator, and is reported using the equity method for a value of \$1,038,549. The Company owns 100% of the outstanding shares of ODS Community Health, Inc. and is reported using the equity method for a value of \$25,073,716. The Company owns 100% of the outstanding shares of ODS Plaza, Inc. and is reported using the equity method for a value of \$10,071,310. The Company owns 100% of ODS Bend Property LLC and is reported in Other Invested Assets with a value of \$6,292,099. The Company owns 14% of Propel Health, LLC and is reported in Other Invested Assets with a value of \$868,715. Effective September 30, 2016, Moda, Inc. contributed its ownership of PayLess Drug Stores, Inc. to Moda Health Plan, Inc. As such, PayLess Drug Stores, Inc. has been reflected within Moda Health Plan, Inc.'s common stock for the period ended September 30, 2016; PayLess Drug Stores, Inc. is reported using the equity method for a value of \$16,914,216.

H. Not applicable.

I. Not applicable.

J. Not applicable.

K. Not applicable.

L. Not applicable.

11. Debt

A.

1) In September 2011, Moda, Inc., ODS and MHP (co-borrowers) entered into a Credit Agreement with US Bank. This revolving credit facility note most recently amended and restated as of October 21, 2016.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE ODS Health Plan, Inc.

NOTES TO FINANCIAL STATEMENTS

- 2) This is a line of credit.
 - 3) The face amount of the line of credit is \$18,500,000.
 - 4) As of September 30, 2016, the line of credit had an outstanding balance of \$30 million, plus accrued and unpaid interest of approximately \$40,000. Subsequent to September 30, 2016, and as disclosed in Note 22, Moda Health Plan, Inc. has paid down \$11.5 million on the credit facility, bringing the balance to \$18,500,000 as of the recent amendment date of October 21, 2016.
 - 5) Interest accrues based on the monthly reset LIBOR rate plus 390 basis points.
 - 6) The effective interest rate is LIBOR rate plus 390 basis points.
 - 7) The obligations of the joint borrowers are secured by a Deed of Trust, which has encumbered ODS' property in LaGrande Oregon (estimated fair market value of \$5,890,000) and Moda Health Plan, Inc.'s interest in Oregon Bend Property, LLC, which is an 80% owner of a property in Bend, Oregon.
 - 8) There has been no interest paid on the new facility note in the current year.
 - 9) The only significant debt term not previously described above is the maturity date of December 31, 2016. No violations on the current agreement are noted.
 - 10) Not applicable for line of credit.
 - 11) Not applicable for line of credit.
 - 12) Not applicable for line of credit.
12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans
- A. Not applicable.
 - B. Not applicable.
 - C. Not applicable.
 - D. Not applicable.
 - E. Not applicable.
 - F. Not applicable.
 - G. Consolidated/Holding Company Plans
The Company participates in a qualified defined contribution plan sponsored by Moda, Inc., an affiliate. The Company has no legal obligation for benefits under the plan. Amounts are allocated to the Company based on headcount. The Company's share of net expense for the defined contribution plan for the period ended September 30, 2016 and 2015 was \$1,355,877 and \$1,979,769, respectively.
 - H. Not applicable.
 - I. Not applicable.
13. Capital and Surplus, Shareholders' Dividends Restrictions and Quasi-Reorganizations
- 1) 2,000,000 shares issued and outstanding; par value \$1.25 per share.
 - 2) Not applicable.
 - 3) Not applicable.
 - 4) Not applicable.
 - 5) Not applicable.
 - 6) Not applicable.
 - 7) Not applicable.
 - 8) Not applicable.
 - 9) Not applicable.
 - 10) The portion of unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses is \$27,855,456.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE ODS Health Plan, Inc.

NOTES TO FINANCIAL STATEMENTS

11) Surplus Notes

The Company issued the following surplus debenture or similar obligation in 2016 and prior years:

Date Issued	Interest Rate	Par Value (Face Amount of Note)	Carrying Value of Note	Interest And/Or Principal Paid Current Year	Total Interest And/Or Principal Paid	Unapproved Interest And/Or Principal	Date of Maturity
7/1/2012	4.500%	10,000,000	10,000,000	-	1,462,500	450,000	6/30/2017
12/15/2014	4.000%	50,000,000	50,000,000	-	1,500,000	2,083,333	12/15/2024
12/31/2015	4.000%	13,000,000	13,000,000	-	-	390,000	-
1311999 Total		73,000,000	73,000,000	-	2,962,500	2,923,333	XXX

In December 2009, the Company issued a surplus note to OEA Choice Welfare Benefit Trust (OEA) for \$18 million cash at an interest rate of 6% maturing June 30, 2012. \$8 million principal and \$2.7 million interest was paid on the OEA note and the remaining \$10 million was extended on July 1, 2012 at an interest rate of 4.5% maturing June 30, 2017.

In December 2014, the Company issued a surplus note to Oregon Health & Science University (OHSU) for \$50 million cash at an interest rate of 4% maturing December 15, 2024.

In December 2015 the Company also issued a surplus note to Oregon Dental Service (ODS) for \$13 million cash and securities at an interest rate of 4% with no specific maturity date.

During the current year, \$80 million of surplus notes from parent company were converted to contributed capital.

12) Not applicable.

13) Not applicable.

14) Liabilities, Contingencies and Assessments

A. Contingency Commitments

1) As referenced in Note 10A and 11A, the Company is a co-borrower on the US Bank credit facility, which has a \$30 million outstanding balance as of September 30, 2016, and was paid down to \$18.5 million as of October 21, 2016.

2a-b) Moda Health Plan, Inc. is a co-borrower on a line of credit with its affiliates, Moda, Inc. and ODS. The line of credit is secured by certain assets and in the event of a default those assets would be utilized to satisfy and outstanding balances. In the event the security did not satisfy the outstanding balance the three above mentioned entities would be responsible to satisfy the obligation. As a result, if there was a default on the line of credit all three entities could be responsible for repayment.

2c) Not applicable.

2d) Not applicable.

B. Assessments

Not applicable.

C. Gain Contingencies

Not applicable.

D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits

Not applicable.

E. Joint and Several Liabilities

F. All Other Contingencies

The Company, in common with the Insurance Industry in general, is subject to litigation in the normal course of their business. The Company's management does not believe that such litigation will have a material effect on its statutory basis financial statements. The Company has no assets that it considers to be impaired.

15) Leases

A. During the current year, the Company ended its sales-leaseback transaction and has resumed title of those assets, which are non-admitted EDP.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE ODS Health Plan, Inc.

NOTES TO FINANCIAL STATEMENTS

B. Not applicable.

16) Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentration of Credit Risk

Not applicable.

17) Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

Not applicable.

18) Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

A. ASO Plans

No significant changes.

B. ASC Plans

No significant changes.

C. Medicare of Similarly Structured Cost Based Reimbursement Contract

Not applicable.

19) Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not applicable.

20) Fair Value Measurements

A. 1. Fair Value Measurements at Reporting Date

a. Description for each class of asset of liability

	(Level 1)	(Level 2)	(Level 3)	Total
Assets at fair value				
Common Stock				
Mutual Funds	\$ 9,749,543	\$ -	\$ -	\$ 9,749,543
Total Common Stock	\$ 9,749,543	\$ -	\$ -	\$ 9,749,543
Total assets fair value	\$ 9,749,543	\$ -	\$ -	\$ 9,749,543

b. Not applicable.

2. Not applicable.

3. Not applicable.

4. Not applicable.

5. Not applicable.

B. Not applicable.

C. Aggregate Fair Value of all Financial Instruments

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)
Short-Term Investments	\$ 32,361,923	\$ 32,361,923	\$ -	\$ 32,361,923	\$ -	\$ -
Bonds	6,559,473	6,419,751	-	6,559,473	-	-
Common Stocks	9,749,543	9,749,543	9,749,543	-	-	-
Total	\$ 48,670,939	\$ 48,531,217	\$ 9,749,543	\$ 38,921,396	\$ -	\$ -

D. Not applicable.

21) Other Items

A. Extraordinary Items

Not applicable.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE ODS Health Plan, Inc.

NOTES TO FINANCIAL STATEMENTS

- B. Troubled Debt Restructuring: Debtors
Not applicable.
- C. Other Disclosures and Unusual Items
Not applicable.
- D. Business Interruption Insurance Recoveries
Not applicable.
- E. State Transferable Tax Credits
Not applicable.
- F. Subprime Mortgage Related Risk Exposure
Not applicable.
- G. Retained Assets
Not applicable.

22) Events Subsequent

Effective as of September 30, 2016, Moda, Inc. contributed its ownership of PayLess Drug Stores, Inc. to Moda Health Plan, Inc. As such, PayLess Drug Stores, Inc. has been reflected within Moda Health Plan, Inc.'s common stock for the period ended September 30, 2016.

Effective as of September 30, 2016, both PayLess Drug Stores, Inc. and ODS Community Health, Inc. are no longer encumbered assets on the credit facility discussed in Note 11A.

Subsequent to September 30, 2016, Moda Health Plan, Inc. paid down \$11.5 million on the revolving credit facility, bringing the balance down to its current amount of \$18,500,000.

23) Reinsurance

There were no significant changes since December 31, 2015.

24) Retrospectively Rated Contracts & Contracts Subject to Redetermination

- A. Not applicable.
- B. Not applicable.
- C. Not applicable.
- D. As of September 30, 2016, no medical loss ratio rebates were incurred or paid by the Company.
- E. Risk Sharing Provisions of the Affordable Care Act
 - 1. Did the reporting entity write accident and health insurance premium that is subject to the Affordable Care Act risk sharing provisions? Yes

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE ODS Health Plan, Inc.

NOTES TO FINANCIAL STATEMENTS

2. Impact of Risk Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities and Revenue for the Current Year

Permanent ACA Risk Adjustment Program

Assets	
1. Premium adjustments receivable due to ACA Risk Adjustment	\$ 16,521,054
Liabilities	
2. Risk adjustment user fees payable for ACA Risk Adjustment	\$ 264,879
3. Premium adjustments payable due to ACA Risk Adjustment	\$ 18,802,204
Operations (Revenue & Expense)	
4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment	\$ (2,281,150)
5. Reported in expenses as ACA Risk Adjustment user fees (incurred/paid)	\$ 120,104

Transitional ACA Reinsurance Program

Assets	
1. Amounts recoverable for claims paid due to ACA Reinsurance	\$ 16,312,807
2. Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability)	\$ 17,232,910
3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	\$ -
Liabilities	
4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premiums	\$ 5,707,225
5. Ceded reinsurance premiums payable due to ACA Reinsurance	\$ 3,305,018
6. Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance	\$ 7,480,158
Operations (Revenue & Expense)	
7. Ceded reinsurance premiums due to ACA Reinsurance	\$ 1,869,885
8. Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments	\$ 33,545,717
9. ACA Reinsurance contributions - not reported as ceded premium	\$ 5,100,986

Temporary ACA Risk Corridors Program

Assets	
1. Accrued retrospective premium due to ACA Risk Corridors	\$ 24,527,922
2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	\$ 94,650
Operations (Revenue & Expense)	
3. Effect of ACA Risk Corridors on net premium income (paid/received)	\$ 24,433,272
4. Effect of ACA Risk Corridors on change in reserves for rate credits	\$ -

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE ODS Health Plan, Inc.

NOTES TO FINANCIAL STATEMENTS

3. Roll-forward of prior year ACA risk-sharing provisions for the following asset (gross of any nonadmission) and liability balances, along with the reasons for adjustments to prior year balance.

	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments		Unsettled Balances as of the Reporting Date		
	1	2	3	4	5	6	7	8	9	10	
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Ref	Receivable	(Payable)
a. Permanent ACA Risk Adjustment Program											
1. Premium adjustments receivable											
2. Premium adjustments payable	\$ 3,427,857	\$ -	\$ 3,117,142	\$ -	\$ 310,715	\$ -	\$ 3,591,174	\$ -	A	\$ 3,901,889	\$ -
3. Subtotal ACA Permanent Risk Adjustment Program	\$ -	\$ (21,710,628)	\$ -	\$ (22,916,284)	\$ -	\$ 1,205,656	\$ -	\$ (1,817,711)	B	\$ -	\$ (612,055)
b. Transitional ACA Reinsurance Program											
1. Amounts recoverable for claims paid	\$ 107,780,029	\$ -	\$ 69,133,191	\$ -	\$ 38,646,838	\$ -	\$ (19,680,629)	\$ -	C	\$ 18,966,209	\$ -
2. Amounts recoverable for claims unpaid (contra liability)	\$ 24,405,520	\$ -	\$ 24,405,520	\$ -	\$ -	\$ -	\$ -	\$ -	D	\$ -	\$ -
3. Amounts receivable relating to uninsured plans	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	E	\$ -	\$ -
4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	F	\$ -	\$ -
5. Ceded reinsurance premiums payable	\$ -	\$ 7,440,746	\$ -	\$ 6,308,615	\$ -	\$ 1,132,131	\$ -	\$ -	G	\$ -	\$ 1,132,131
6. Liability for amounts held under uninsured plans	\$ -	\$ 10,376,710	\$ -	\$ 6,267,906	\$ -	\$ 4,108,804	\$ -	\$ -	H	\$ -	\$ 4,108,804
7. Subtotal ACA Transitional Reinsurance Program	\$ 132,185,549	\$ 17,817,456	\$ 93,538,711	\$ 12,576,521	\$ 38,646,838	\$ 5,240,935	\$ (19,680,629)	\$ -		\$ 18,966,209	\$ 5,240,935
c. Temporary ACA Risk Corridor Program											
1. Accrued retrospective premium	\$ 96,852,336	\$ -	\$ -	\$ -	\$ 96,852,336	\$ -	\$ 13,380,908	\$ -	I	\$ 110,233,244	\$ -
2. Reserve for rate credits or policy experience rating refunds	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	J	\$ -	\$ -
3. Subtotal ACA Risk Corridor Program	\$ 96,852,336	\$ -	\$ -	\$ -	\$ 96,852,336	\$ -	\$ 13,380,908	\$ -		\$ 110,233,244	\$ -
d. Total for ACA Risk Sharing Provisions	\$ 232,465,742	\$ (8,893,172)	\$ 96,655,853	\$ (10,339,763)	\$ 135,809,889	\$ 6,446,592	\$ (2,708,547)	\$ (1,817,711)		\$ 133,101,342	\$ 4,628,880

Explanations of Adjustments

A Adjustment to reflect the final amounts calculated by CMS

B Adjustment to reflect the final amounts calculated by CMS

C Adjustment to reflect the final amounts calculated by CMS

D

E

F

G

H

I Adjustment to reflect the final amounts calculated by the 2014 Risk Corridor Plan Level Form

25) Change in Incurred Claims and Claims Adjustment Expenses

Unpaid claims as of December 31, 2015 were \$88.3 million. As of September 30, 2016, approximately \$94.4 million has been paid for incurred claims attributable to insured events of prior years. Reserves remaining for prior years are now \$304 thousand as a result of re-estimation of unpaid claims. Therefore, there has been a \$6.4 million unfavorable prior-year development since December 31, 2015 to September 30, 2016. The change is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known.

26) Intercompany Pooling Arrangements

Not applicable.

27) Structured Settlements

Not applicable.

28) Health Care Receivables

A. Pharmaceutical Rebate Receivables

No significant changes.

B. Risk Sharing Receivables

Not applicable.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE ODS Health Plan, Inc.

NOTES TO FINANCIAL STATEMENTS

29) Participating Policies

Not applicable.

30) Premium Deficiency Reserves

Not applicable.

31) Anticipated Salvage and Subrogation

Not applicable.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change: _____
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [X] No []
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
Moda, Inc. has contributed its ownership of PayLess Drug Stores, Inc. to Moda Health Plan, Inc. Refer to footnotes for further discussion.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A []
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2015
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2012
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 12/04/2013
- 6.4 By what department or departments?
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [] No [] N/A [X]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No [X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [] No []
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 (c) Compliance with applicable governmental laws, rules and regulations;
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain: _____
- 9.2 Has the code of ethics for senior managers been amended? Yes [] No []
 9.21 If the response to 9.2 is Yes, provide information related to amendment(s). _____
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No []
 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s). _____

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No []
 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 38,626,431

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No []
 11.2 If yes, give full and complete information relating thereto: _____
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ _____
 13. Amount of real estate and mortgages held in short-term investments: \$ _____
 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [] No []
 14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ 0	\$ _____
14.22 Preferred Stock	\$ 0	\$ _____
14.23 Common Stock	\$ 37,995,626	\$ 65,407,780
14.24 Short-Term Investments	\$ 0	\$ _____
14.25 Mortgage Loans on Real Estate	\$ 0	\$ _____
14.26 All Other	\$ 7,455,502	\$ 868,714
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 45,451,128	\$ 66,276,494
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ _____	\$ _____

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No []
 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No []
 If no, attach a description with this statement.

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2\$0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2\$0
- 16.3 Total payable for securities lending reported on the liability page\$0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
U.S. Bank Custodial Services	555 SW Oak Street, Portland, OR 97204

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
18005	RWK, Inc.	1211 SW 5th Avenue, Suite 900, Portland, OR 97204
104559	Pacific Investment Management Company, LLC	840 Newport Center Drive, Newport Beach, CA 92660
107680	Prime Advisors, Inc.	22635 NE Marketplace Drive, Ste #160, Richmond, WA 98053

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []

- 18.2 If no, list exceptions:

GENERAL INTERROGATORIES

PART 2 - HEALTH

1. Operating Percentages:

1.1 A&H loss percent100.5 %

1.2 A&H cost containment percent0.7 %

1.3 A&H expense percent excluding cost containment expenses0.4 %

2.1 Do you act as a custodian for health savings accounts? Yes [] No [X]

2.2 If yes, please provide the amount of custodial funds held as of the reporting date \$

2.3 Do you act as an administrator for health savings accounts? Yes [] No [X]

2.4 If yes, please provide the balance of the funds administered as of the reporting date \$

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status	Direct Business Only							9 Deposit-Type Contracts	
		2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums & Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 Through 7		
1. Alabama..... AL	N								0	
2. Alaska..... AK	L	103,064,120							103,064,120	
3. Arizona..... AZ	N								0	
4. Arkansas..... AR	N								0	
5. California..... CA	L	2,900							2,900	
6. Colorado..... CO	N								0	
7. Connecticut..... CT	N								0	
8. Delaware..... DE	N								0	
9. District of Columbia..... DC	N								0	
10. Florida..... FL	N								0	
11. Georgia..... GA	N								0	
12. Hawaii..... HI	N								0	
13. Idaho..... ID	L								0	
14. Illinois..... IL	N								0	
15. Indiana..... IN	N								0	
16. Iowa..... IA	N								0	
17. Kansas..... KS	N								0	
18. Kentucky..... KY	N								0	
19. Louisiana..... LA	N								0	
20. Maine..... ME	N								0	
21. Maryland..... MD	N								0	
22. Massachusetts..... MA	N								0	
23. Michigan..... MI	N								0	
24. Minnesota..... MN	N								0	
25. Mississippi..... MS	N								0	
26. Missouri..... MO	N								0	
27. Montana..... MT	N								0	
28. Nebraska..... NE	N								0	
29. Nevada..... NV	N								0	
30. New Hampshire..... NH	N								0	
31. New Jersey..... NJ	N								0	
32. New Mexico..... NM	N								0	
33. New York..... NY	N								0	
34. North Carolina..... NC	N								0	
35. North Dakota..... ND	N								0	
36. Ohio..... OH	N								0	
37. Oklahoma..... OK	N								0	
38. Oregon..... OR	L	453,040,567	106,379,044						559,419,611	
39. Pennsylvania..... PA	N								0	
40. Rhode Island..... RI	N								0	
41. South Carolina..... SC	N								0	
42. South Dakota..... SD	N								0	
43. Tennessee..... TN	N								0	
44. Texas..... TX	L								0	
45. Utah..... UT	N								0	
46. Vermont..... VT	N								0	
47. Virginia..... VA	N								0	
48. Washington..... WA	L	13,034,324							13,034,324	
49. West Virginia..... WV	N								0	
50. Wisconsin..... WI	N								0	
51. Wyoming..... WY	N								0	
52. American Samoa..... AS	N								0	
53. Guam..... GU	N								0	
54. Puerto Rico..... PR	N								0	
55. U.S. Virgin Islands..... VI	N								0	
56. Northern Mariana Islands..... MP	N								0	
57. Canada..... CAN	N								0	
58. Aggregate Other Aliens..... OT	XXX	0	0	0	0	0	0	0	0	0
59. Subtotal.....	XXX	569,141,911	106,379,044	0	0	0	0	0	675,520,955	0
60. Reporting Entity Contributions for Employee Benefit Plans.....	XXX								0	
61. Totals (Direct Business)..... (a)	6	569,141,911	106,379,044	0	0	0	0	0	675,520,955	0
DETAILS OF WRITE-INS										
58001.....	XXX									
58002.....	XXX									
58003.....	XXX									
58998. Summary of remaining write-ins for Line 58 from overflow page.....	XXX	0	0	0	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above).....	XXX	0	0	0	0	0	0	0	0	0

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

Oregon Dental Association

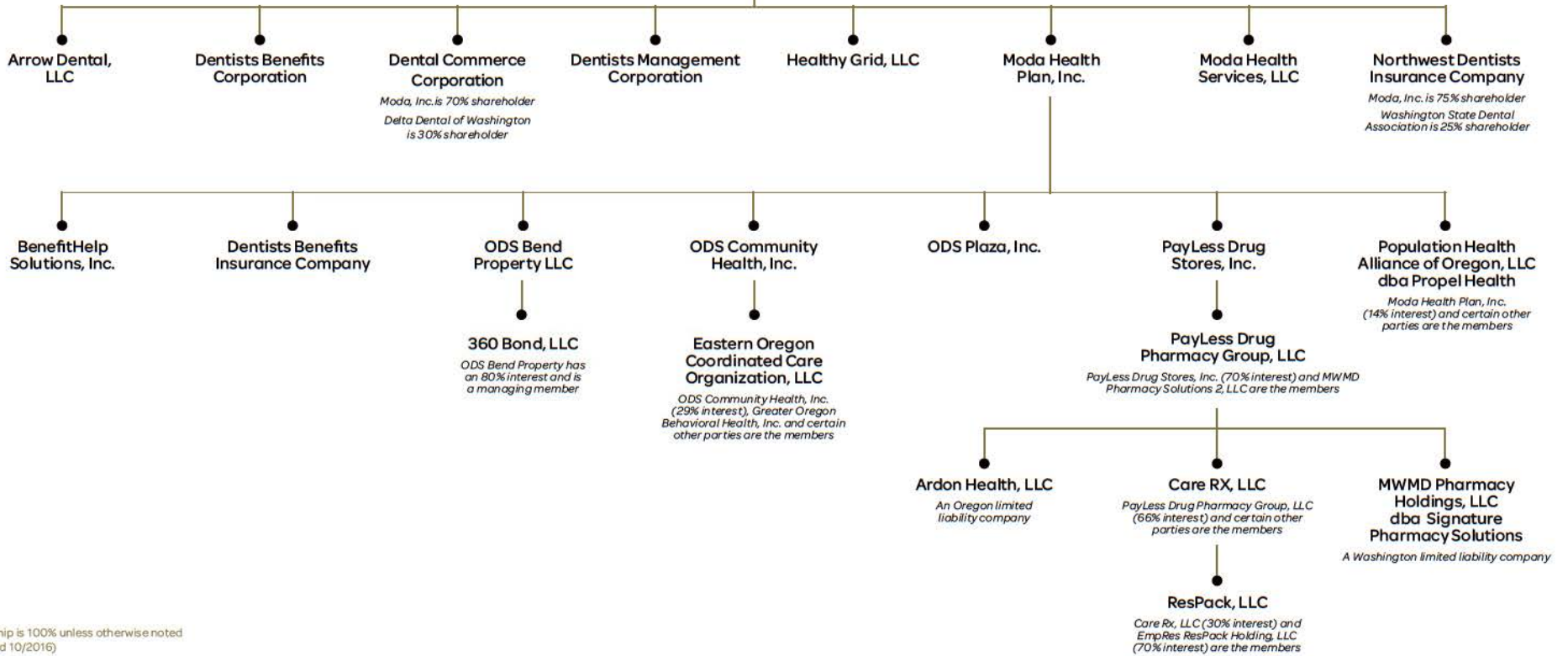
An Oregon non-profit corporation
Appoints Board of Directors for Moda, Inc.

Oregon Dental Service dba Delta Dental Plan of Oregon

An Oregon non-profit corporation

Moda, Inc.

An Oregon business corporation



Ownership is 100% unless otherwise noted
(updated 10/2016)

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE ODS Health Plan, Inc.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
1313	OREGON DENTAL GRP	54941	93-0438772				Oregon Dental Service	OR	UIP	Oregon Dental Association	Board of Directors		Oregon Dental Association	
			93-1083363				Moda, Inc.	OR	LDP	Oregon Dental Service	Ownership	100.000	Oregon Dental Association	
1313	OREGON DENTAL GRP	47098	93-0989307				Moda Health Plan, Inc.	OR		Moda, Inc.	Ownership	100.000	Oregon Dental Association	
1313	OREGON DENTAL GRP	18813	93-0890424				Dentists Benefits Insurance Company	OR	DS	Moda Health Plan, Inc.	Ownership	100.000	Oregon Dental Association	
			93-1135521				BenefitHelp Solutions, Inc.	OR	DS	Moda Health Plan, Inc.	Ownership	100.000	Oregon Dental Association	
			45-0528457				ODS Community Health, Inc.	OR	DS	Moda Health Plan, Inc.	Ownership	100.000	Oregon Dental Association	
			80-0835179				Eastern Oregon Coordinated Care Organization, LLC	OR	DS	ODS Community Health, Inc.	Ownership	29.000	Oregon Dental Association	
			83-0463200				ODS Plaza, Inc.	OR	DS	Moda Health Plan, Inc.	Ownership	100.000	Oregon Dental Association	
			26-0772139				ODS Bend Property LLC	OR	DS	Moda Health Plan, Inc.	Ownership	100.000	Oregon Dental Association	
			26-0233981				360 Bond, LLC	OR	DS	ODS Bend Property LLC	Ownership	80.000	Oregon Dental Association	
			93-1278451				PayLess Drug Stores, Inc.	OR	DS	Moda Health Plan, Inc.	Ownership	100.000	Oregon Dental Association	
			46-3324446				PayLess Drug Pharmacy Group, LLC	OR	DS	PayLess Drug Stores, Inc.	Ownership	70.000	Oregon Dental Association	
			94-3382598				CareRX, LLC	OR	DS	PayLess Drug Pharmacy Group, LLC	Ownership	66.000	Oregon Dental Association	
			46-3003497				Ardon Health, LLC	OR	DS	PayLess Drug Pharmacy Group, LLC	Ownership	100.000	Oregon Dental Association	
			47-2901744				WIND Pharmacy Holdings, LLC	WA	DS	PayLess Drug Pharmacy Group, LLC	Ownership	100.000	Oregon Dental Association	
			47-1546023				Population Health Alliance of Oregon, LLC	OR	DS	Moda Health Plan, Inc.	Ownership	14.000	Oregon Dental Association	
		32417	91-1444206				Northwest Dentists Insurance Company	WA	IA	Moda, Inc.	Ownership	75.000	Oregon Dental Association	
			46-3887256				Arrow Dental, LLC	OR	NIA	Moda, Inc.	Ownership	100.000	Oregon Dental Association	
			35-2410053				Dental Commerce Corporation	OR	NIA	Moda, Inc.	Ownership	70.000	Oregon Dental Association	
			93-0787508				Dentists Benefits Corporation	OR	NIA	Moda, Inc.	Ownership	100.000	Oregon Dental Association	
			93-0782340				Dentists Management Corporation	OR	NIA	Moda, Inc.	Ownership	100.000	Oregon Dental Association	
			46-1496608				Healthy Grid, LLC	OR	NIA	Moda, Inc.	Ownership	100.000	Oregon Dental Association	
			46-3132078				Moda Health Services, LLC	OR	NIA	Moda, Inc.	Ownership	100.000	Oregon Dental Association	

Asterisk	Explanation

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

Response

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? NO

Explanation:

1. Not applicable

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



OVERFLOW PAGE FOR WRITE-INS

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE ODS Health Plan, Inc.

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4+5+6+7+8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6+7+8+9+10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	7,455,502	7,366,193
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		.0
2.2 Additional investment made after acquisition		1,334,000
3. Capitalized deferred interest and other		.0
4. Accrual of discount		
5. Unrealized valuation increase (decrease)	(294,688)	(1,244,691)
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		.0
10. Deduct current year's other than temporary impairment recognized		.0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6+7+8+9+10)	7,160,814	7,455,502
12. Deduct total nonadmitted amounts	6,292,100	
13. Statement value at end of current period (Line 11 minus Line 12)	868,714	7,455,502

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	91,625,003	115,820,201
2. Cost of bonds and stocks acquired	19,165,416	60,252,436
3. Accrual of discount	15,841	42,790
4. Unrealized valuation increase (decrease)	28,575,780	11,389,718
5. Total gain (loss) on disposals	235,811	2,284,775
6. Deduct consideration for bonds and stocks disposed of	57,906,515	97,769,820
7. Deduct amortization of premium	134,262	395,097
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6+7+8+9)	81,577,074	91,625,003
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	81,577,074	91,625,003

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE ODS Health Plan, Inc.

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	20,377,061	41,481,285	23,550,214	(33,772)	20,711,140	20,377,061	38,274,360	35,659,336
2. NAIC 2 (a)	2,592,458	98,209	2,328,017	(5,267)	2,093,285	2,592,458	357,383	4,428,520
3. NAIC 3 (a)	0				100,056	0	0	201,327
4. NAIC 4 (a)	0				0	0	0	
5. NAIC 5 (a)	0				0	0	0	
6. NAIC 6 (a)	0				0	0	0	
7. Total Bonds	22,969,519	41,579,494	25,878,231	(38,039)	22,904,481	22,969,519	38,631,743	40,289,183
PREFERRED STOCK								
8. NAIC 1	0				0	0	0	0
9. NAIC 2	0				0	0	0	0
10. NAIC 3	0				0	0	0	0
11. NAIC 4	0				0	0	0	0
12. NAIC 5	0				0	0	0	0
13. NAIC 6	0				0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	22,969,519	41,579,494	25,878,231	(38,039)	22,904,481	22,969,519	38,631,743	40,289,183

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ _____ ; NAIC 2 \$ _____ ; NAIC 3 \$ _____ ; NAIC 4 \$ _____ ; NAIC 5 \$ _____ ; NAIC 6 \$ _____ .

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	32,361,923	XXX	32,361,923	1,847	388

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	364,150	506,464
2. Cost of short-term investments acquired	49,771,824	39,972,320
3. Accrual of discount	230	362
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals	418	191
6. Deduct consideration received on disposals	17,774,699	40,114,951
7. Deduct amortization of premium		236
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	32,361,923	364,150
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	32,361,923	364,150

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

NONE

Schedule DB - Part B - Verification - Futures Contracts

NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

NONE

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

NONE

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

NONE

SCHEDULE E - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	0
2. Cost of cash equivalents acquired	9,747,811	8,399,797
3. Accrual of discount	506	38
4. Unrealized valuation increase (decrease)		0
5. Total gain (loss) on disposals	108	(24)
6. Deduct consideration received on disposals	9,748,099	8,399,811
7. Deduct amortization of premium	326	0
8. Total foreign exchange change in book/adjusted carrying value		0
9. Deduct current year's other than temporary impairment recognized		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	0	0
11. Deduct total nonadmitted amounts		0
12. Statement value at end of current period (Line 10 minus Line 11)	0	0

Schedule A - Part 2 - Real Estate Acquired and Additions Made

NONE

Schedule A - Part 3 - Real Estate Disposed

NONE

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

NONE

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

NONE

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

NONE

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

NONE

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE ODS Health Plan, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
912828-2J-7	US TREASURY N/B		09/14/2016	BANK OF AMERICA		99,262	100,000	126	1
912828-2F-6	US TREASURY N/B		09/01/2016	US BANK		99,738	100,000	6	1
0599999, Subtotal - Bonds - U.S. Governments						199,000	200,000	132	XXX
220147-3C-4	CORPUS CHRISTI TX INDEP SCH DIST		09/01/2016	EXCHANGE		16,144	15,000	33	IFE
220147-3D-2	CORPUS CHRISTI TX INDEP SCH DIST		09/01/2016	EXCHANGE		145,294	135,000	300	IFE
48474F-LX-6	KING CITY WA		08/01/2016	MCTR		178,360	155,000	568	IFE
2499999, Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						339,798	305,000	901	XXX
313382-H6-9	FHLB		09/07/2016	WELLSFARGO		409,698	400,000	3,442	1
31418C-AF-1	FMA #M2705		07/15/2016	US BANK		1,805,998	1,744,531	1,454	1
3199999, Subtotal - Bonds - U.S. Special Revenues						2,215,696	2,144,531	4,896	XXX
124857-AR-4	CBS CORP		08/26/2016	US BANK		98,209	100,000	403	2FE
38141E-C2-3	GOLDMAN SACHS GROUP INC		09/01/2016	US BANK		106,997	100,000	631	IFE
617468-DQ-6	MORGAN STANLEY		09/22/2016	BROWNSTONE		107,104	100,000	1,583	IFE
91159H-HH-3	US BANKCORP		07/19/2016	US BANK		99,268	100,000	0	IFE
3899999, Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						411,578	400,000	2,627	XXX
8399997, Total - Bonds - Part 3						3,165,062	3,049,531	8,556	XXX
8399998, Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999, Total - Bonds						3,165,062	3,049,531	8,556	XXX
8999997, Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998, Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999, Total - Preferred Stocks						0	XXX	0	XXX
007590-77-5	WESTWOOD INCOME OPPORTUNITY		09/30/2016	DIVIDEND REINVESTMENT		632,403	9,286	0	1
921919-61-8	WHELFORD TOP INT ST IDI-AUM		09/13/2016	DIVIDEND REINVESTMENT		106,240	2,682	0	1
9299999, Subtotal - Common Stocks - Mutual Funds						11,978	XXX	0	XXX
9799997, Total - Common Stocks - Part 3						11,978	XXX	0	XXX
9799998, Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999, Total - Common Stocks						11,978	XXX	0	XXX
9899999, Total - Preferred and Common Stocks						11,978	XXX	0	XXX
9999999 - Totals						3,177,040	XXX	8,556	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues _____

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE ODS Health Plan, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
362135-20-0	QMA #563340		09/27/2016	VARIOUS		2,153	1,948	1,902	1,880	0	(15)	0	(5)	0	1,885	0	268	268	306	09/15/2031	
362135-40-0	QMA #563305		09/27/2016	VARIOUS		1,796	1,491	1,482	1,482	0	(1)	0	(1)	0	1,481	0	315	315	84	06/15/2032	
312828-2F-6	US TREASURY N/B		09/27/2016	US BANK		102,051	100,000	99,738	0	0	4	0	4	0	99,742	0	309	309	87	08/31/2021	
312828-85-8	US TREASURY N/B		09/28/2016	VARIOUS		365,991	380,000	363,991	102,894	0	(1,692)	0	(1,692)	0	361,787	0	3,963	3,963	5,979	01/31/2021	
312828-63-8	US TREASURY N/B		09/28/2016	BARCLAYS AMERICAN		106,023	100,000	103,730	103,405	0	(265)	0	(265)	0	103,141	0	2,883	2,883	1,983	11/15/2024	
312828-43-3	US TREASURY INFL IX NB		09/27/2016	US BANK		313,646	308,334	303,631	0	0	(19)	0	(19)	0	303,613	0	10,033	10,033	365	04/15/2020	
312828-40-2	US TREASURY N/B		09/27/2016	US BANK		423,938	400,000	427,860	0	0	(5,207)	0	(5,207)	0	422,653	0	1,285	1,285	10,870	09/15/2019	
312828-L3-2	US TREASURY N/B		09/27/2016	US BANK		506,680	500,000	504,990	0	0	(662)	0	(662)	0	504,318	0	2,361	2,361	7,407	08/31/2020	
312828-NM-6	US TREASURY N/B		09/28/2016	DMG		101,133	100,000	100,063	100,016	0	(7)	0	(7)	0	100,009	0	1,124	1,124	2,025	08/31/2017	
312828-SA-9	US TREASURY INFL IX NB		09/27/2016	US BANK		216,470	212,682	207,058	0	0	238	0	238	0	207,286	0	9,175	9,175	187	01/15/2022	
312828-VS-6	US TREASURY N/B		09/27/2016	US BANK		424,255	395,000	422,050	0	0	(2,112)	0	(2,112)	0	419,938	0	4,317	4,317	6,118	08/15/2023	
0599999	Subtotal - Bonds - U.S. Governments					2,561,495	2,469,315	2,536,496	309,687	0	(9,728)	0	(9,728)	0	2,525,863	0	35,833	35,833	35,191	XXX	XXX
50999M-M-3	KOREA LND & HOUSING C	R	09/27/2016	US BANK		200,700	200,000	200,938	0	0	(395)	0	(395)	0	200,539	0	161	161	2,479	08/02/2017	IFE
1099999	Subtotal - Bonds - All Other Governments					200,700	200,000	200,938	0	0	(395)	0	(395)	0	200,539	0	161	161	2,479	XXX	XXX
88272-RH-6	TEXAS ST		08/01/2016	MATURITY		155,000	155,000	174,921	157,743	0	(2,743)	0	(2,743)	0	155,000	0	0	0	6,200	08/01/2016	IFE
1799999	Subtotal - Bonds - U.S. States, Territories and Possessions					155,000	155,000	174,921	157,743	0	(2,743)	0	(2,743)	0	155,000	0	0	0	6,200	XXX	XXX
220147-S4-5	ORPUS CHRISTI TX INDEP SCH DIST		09/01/2016	EXRWKKE		161,438	150,000	175,787	165,283	0	(3,845)	0	(3,845)	0	161,438	0	0	0	7,833	08/15/2018	IFE
2499999	Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions					161,438	150,000	175,787	165,283	0	(3,845)	0	(3,845)	0	161,438	0	0	0	7,833	XXX	XXX
040580-Q0-8	ARIZONA SCH FACS BFD CIPS PARTN		09/27/2016	US BANK		152,619	150,000	151,704	0	0	(308)	0	(308)	0	151,396	0	1,223	1,223	1,967	07/01/2019	IFE
31289M-HH-5	FLMIC E84751		07/15/2016	MBS PNT		6	6	6	6	0	0	0	0	6	0	0	0	0	0	08/01/2016	
31289M-VJ-4	FLMIC 004817		09/27/2016	VARIOUS		54,178	58,229	61,904	62,336	0	(689)	0	(689)	0	61,647	0	2,531	2,531	2,369	09/01/2038	
31289M-QC-6	FLMIC 000607		09/27/2016	VARIOUS		66,609	59,493	63,337	63,374	0	(649)	0	(649)	0	62,725	0	2,884	2,884	2,426	30/01/2039	
31289M-HF-5	FLMIC 315630		09/28/2016	VARIOUS		79,547	75,405	77,067	0	0	(277)	0	(277)	0	76,344	0	3,203	3,203	2,783	07/01/2024	
31289M-L5-7	FLMIC 006347		09/28/2016	VARIOUS		86,573	79,336	80,732	80,665	0	(258)	0	(258)	0	80,427	0	6,146	6,146	2,913	06/01/2039	
31289M-QU-7	FLMIC 008466		09/28/2016	VARIOUS		92,427	87,510	89,028	89,902	0	(171)	0	(171)	0	88,730	0	3,697	3,697	2,511	11/01/2041	
313043-GE-8	FLB		09/28/2016	MORGAN STANLEY		107,676	100,000	101,563	101,559	0	(116)	0	(116)	0	101,442	0	6,234	6,234	2,185	12/13/2024	
313378-J7-7	FLB		09/28/2016	MORGAN STANLEY		102,638	100,000	102,664	0	0	(327)	0	(327)	0	102,337	0	301	301	1,021	09/18/2018	
313590-YN-9	FMA		09/27/2016	US BANK		112,235	110,000	112,781	0	0	(656)	0	(656)	0	112,124	0	110	110	2,120	09/18/2018	
31364J-B5-4	FMA 2014-MH A2		09/27/2016	US BANK		175,388	160,000	172,039	0	0	(881)	0	(881)	0	171,179	0	4,209	4,209	3,554	03/25/2024	
31371H-WG-7	FMA #269599		09/28/2016	VARIOUS		40,586	35,652	37,229	37,347	0	(394)	0	(394)	0	37,013	0	3,573	3,573	1,746	11/01/2037	
313749-IB-6	FLMIC 3838 AE		09/01/2016	MBS PNT		8,580	8,580	8,844	8,842	0	(62)	0	(62)	0	8,580	0	0	0	143	11/15/2018	
3137EA-QH-7	FLMIC		08/25/2016	MATURITY		400,000	400,000	414,676	402,223	0	(2,223)	0	(2,223)	0	400,000	0	0	0	8,000	08/25/2016	
31389M-AL-9	FMA #P7126		09/27/2016	VARIOUS		632,714	610,840	628,529	0	0	(2,445)	0	(2,445)	0	628,085	0	4,619	4,619	9,941	10/01/2027	
313821-DF-2	FMA 2001-55 PC		09/27/2016	VARIOUS		4,030	3,616	3,658	3,633	0	(3)	0	(3)	0	3,630	0	401	401	190	30/25/2031	
31383B-K7-5	FMA 2003-WE F		09/27/2016	VARIOUS		26,597	28,479	27,714	27,973	0	54	0	54	0	28,026	0	(1,430)	(1,430)	174	09/25/2042	
31407H-2K-0	FMA #835178		09/27/2016	VARIOUS		495,860	438,587	495,809	0	0	(9,073)	0	(9,073)	0	493,712	0	1,947	1,947	15,794	09/01/2035	
31410G-AG-8	FMA #888407		09/27/2016	VARIOUS		143,809	129,650	140,360	141,369	0	(1,952)	0	(1,952)	0	139,417	0	4,392	4,392	5,293	09/01/2036	
31410K-8F-6	FMA #890170		09/01/2016	MBS PNT		4,754	4,754	4,978	4,794	0	(41)	0	(41)	0	4,754	0	0	0	143	09/01/2019	
31410K-8F-6	FMA #889572		09/28/2016	VARIOUS		57,223	51,119	54,132	54,352	0	(537)	0	(537)	0	53,815	0	3,409	3,409	2,295	06/01/2038	
31410L-HL-2	FMA #890595		09/01/2016	VARIOUS		201,110	194,995	200,801	199,891	0	(1,220)	0	(1,220)	0	198,672	0	2,439	2,439	3,708	01/01/2024	
31411U-AU-5	FMA #914519		09/27/2016	VARIOUS		40,142	35,028	38,022	38,367	0	(506)	0	(506)	0	37,851	0	2,291	2,291	1,737	04/01/2037	
31412Q-DR-7	FMA #931712		09/01/2016	MBS PNT		2,423	2,423	2,487	2,444	0	(21)	0	(21)	0	2,423	0	0	0	85	08/01/2019	
31412Q-HH-8	FMA #931947		09/01/2016	MBS PNT		3,041	3,041	3,121	3,051	0	(10)	0	(10)	0	3,041	0	0	0	81	08/01/2019	
31412Q-02-5	FMA #932072		09/01/2016	MBS PNT		3,772	3,772	3,871	3,794	0	(22)	0	(22)	0	3,772	0	0	0	96	10/01/2019	
31414A-HZ-2	FMA #963076		09/28/2016	VARIOUS		58,831	53,320	57,123	57,365	0	(738)	0	(738)	0	56,627	0	3,203	3,203	2,388	12/01/2037	
31414D-20-2	FMA #963451		09/28/2016	VARIOUS		53,734	50,282	52,053	51,670	0	(383)	0	(383)	0	51,337	0	2,397	2,397	2,040	06/01/2023	
31414R-0K-9	FMA #973754		09/27/2016	VARIOUS		34,862	31,434	32,792	32,792	0	(139)	0	(139)	0	32,653	0	2,209	2,209	1,285	03/01/2038	
31418C-EJ-1	FMA #995737		09/27/2016	VARIOUS		60,203	54,388	58,794	59,231	0	(833)	0	(833)	0	58,398	0	1,805	1,805	2,214	02/01/2038	
31418C-JF-4	FMA #995862		09/27/2016	VARIOUS		51,233	46,275	48,588	48,830	0	(466)	0	(466)	0	48,364	0	2,869	2,869	1,885	07/01/2039	
31418H-BN-1	FMA #A4552		09/28/2016	VARIOUS		70,299	66,882	68,135	67,817	0	(207)	0	(207)	0	67,610	0	2,689	2,689	2,184	08/01/2024	
31417I-HZ-3	FMA #N0297		09/01/2016	MBS PNT		3,672	3,672	3,738	3,738	0	(67)	0	(67)	0	3,672	0	0	0	288	11/01/2019	
31417I-L-2	FMA #N0286		09/01/2016	MBS PNT		13,049															

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE ODS Health Plan, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
3199999	Subtotal - Bonds - U.S. Special Revenues					5,773,780	5,467,425	5,716,673	1,867,114	0	(30,008)	0	(30,008)	0	5,682,695	0	91,064	91,064	106,966	XXX	XXX
.00206R-CR-1	AT&T INC		09/27/2016	WELLSCOFF		103,023	100,000	102,360	0	0	(190)	0	(190)	0	102,170	0	853	853	1,781	02/27/2021	2FE
.002871-AT-6	ABBVIE INC		09/27/2016	SFND		102,023	100,000	101,427	0	0	(132)	0	(132)	0	101,295	0	728	728	2,181	05/14/2020	2FE
.052236-AA-1	BANK 2011-07C1 ASA		09/27/2016	VARIOUS		412,913	412,937	447,682	418,616	0	(5,317)	0	(5,317)	0	413,299	0	(365)	(365)	16,807	11/15/2016	1FE
.055231-AA-6	BANK 2014-INDL A		09/27/2016	US BANK		200,125	200,000	200,000	200,000	0	0	0	0	0	200,000	0	125	125	2,893	12/15/2029	1FE
.055231-AA-8	BANK 2015-ASFR A		09/27/2016	US BANK		150,084	150,000	149,719	149,838	0	116	0	116	0	149,954	0	140	140	2,006	01/15/2028	1FE
.060505-DI-9	BANK OF AMERICA CORP		09/27/2016	US BANK		101,802	100,000	121,638	102,381	0	(1,452)	0	(1,452)	0	100,909	0	893	893	5,646	03/15/2017	2FE
.060516-EX-3	BANK OF AMERICA CORP		09/27/2016	CS		102,013	100,000	101,841	0	0	(266)	0	(266)	0	101,575	0	438	438	1,827	01/15/2019	2FE
.07394H-SY-4	BSFH 200410		09/27/2016	VARIOUS		13,832	13,206	12,911	13,030	0	33	0	33	0	13,063	0	(231)	(231)	1,828	01/25/2035	1FE
.12665D-BS-8	CVS PASS-THROUGH TRUST		09/27/2016	VARIOUS		109,282	85,117	85,117	85,117	0	0	0	0	0	85,117	0	24,165	24,165	5,140	01/10/2032	2FE
.19074B-AE-1	CICI 2006-C1 A4		09/19/2016	MBS PNT		52,331	52,331	52,316	0	0	15	0	15	0	52,331	0	0	0	1,980	08/15/2048	2FE
.251011-AA-4	EMERSON ELECTRIC CO		09/27/2016	MILLENILUM		156,572	150,000	162,951	153,250	0	(1,318)	0	(1,318)	0	151,932	0	4,640	4,640	7,682	10/15/2017	2FE
.25273R-BH-1	ENERGY TRANSFER PARTNERS		09/27/2016	US BANK		100,643	100,000	99,946	99,955	0	33	0	33	0	99,988	0	675	675	1,979	06/15/2018	2FE
.254613-AA-7	FRANKLIN RESOURCES INC		09/27/2016	MILLENILUM		205,954	200,000	190,800	190,866	0	633	0	633	0	191,519	0	14,435	14,435	3,668	03/30/2025	1FE
.261591-AF-7	GENC 2007-C1 A1A		09/27/2016	VARIOUS		362,622	369,370	396,262	377,109	0	(10,452)	0	(10,452)	0	366,677	0	(4,055)	(4,055)	19,338	12/10/2049	1FE
.266203-LJ-0	GENERAL ELEC CAP CORP		09/27/2016	WELLSCOFF		169,478	150,000	163,125	163,125	0	(1,206)	0	(1,206)	0	155,791	0	13,687	13,687	10,083	01/08/2020	2FE
.273334-PP-7	GEORGIA POWER COMPAN		09/27/2016	MORGAN STANLEY		162,672	150,000	147,525	148,866	0	197	0	197	0	149,083	0	13,900	13,900	5,269	12/01/2019	1FE
.28148L-AB-2	GOLDMAN SACHS GROUP INC		09/27/2016	US BANK		125,766	125,000	123,665	0	0	151	0	151	0	124,016	0	1,750	1,750	5,566	04/23/2020	1FE
.446578-AA-2	HYATT 2015-HYT A		09/27/2016	US BANK		200,125	200,000	199,813	199,912	0	76	0	76	0	199,987	0	138	138	2,724	11/15/2029	1FE
.446670-CP-1	INCH 1997-3 A6		09/27/2016	VARIOUS		25,478	25,349	25,274	25,453	0	(22)	0	(22)	0	25,432	0	46	46	1,570	08/20/2028	1FE
.466231-HX-4	JANORAN CHASE & CO		09/27/2016	US BANK		306,018	300,000	298,830	0	0	152	0	152	0	298,982	0	7,036	7,036	7,034	10/29/2020	1FE
.466251-CY-3	JANCO 2004-LI2 A2		09/27/2016	VARIOUS		11,524	11,524	12,158	11,524	0	0	0	0	0	11,524	0	(12)	(12)	477	07/15/2041	1FE
.466251-AC-2	JANCO 2006-LI2P A3		09/27/2016	VARIOUS		89,140	89,131	79,658	88,136	0	920	0	920	0	89,055	0	85	85	3,570	06/15/2047	1FE
.49456A-AA-1	KINDER MORGAN FIN CO LLC		09/27/2016	US BANK		261,723	260,000	274,468	266,206	0	(6,578)	0	(6,578)	0	261,628	0	95	95	16,125	01/15/2018	2FE
.501044-CQ-4	KROGER CO		09/27/2016	WELLSCOFF		104,427	100,000	112,156	102,996	0	(1,344)	0	(1,344)	0	101,652	0	2,775	2,775	7,164	08/15/2017	2FE
.581557-BE-4	MESSON CORP		09/27/2016	SFND		162,401	150,000	150,000	150,000	0	0	0	0	0	150,000	0	12,401	12,401	5,900	03/15/2024	2FE
.517486-DO-6	MORGAN STANLEY		09/27/2016	MORGAN STANLEY		107,193	100,000	107,104	0	0	(12)	0	(12)	0	107,102	0	91	91	1,604	04/29/2024	1FE
.517471-DX-0	MORGAN STANLEY		09/27/2016	US BANK		151,448	150,000	148,305	0	0	264	0	264	0	148,569	0	2,878	2,878	1,848	01/27/2020	1FE
.51759F-AA-0	MSRR 2009-0310 AAA		09/27/2016	VARIOUS		165,866	164,230	168,768	0	0	(2,330)	0	(2,330)	0	166,491	0	(624)	(624)	7,304	08/12/2045	1FE
.51765A-AA-2	MSC 2015-ILF1 A		09/27/2016	US BANK		129,934	130,178	130,178	130,178	0	0	0	0	0	130,178	0	(244)	(244)	1,674	08/14/2031	1FE
.582671-AA-8	OMFIT 2014-1A A		09/27/2016	VARIOUS		80,866	80,857	80,757	0	0	99	0	99	0	80,819	0	47	47	1,315	06/18/2024	1FE
.593004-AL-1	PECO ENERGY CO		09/27/2016	MKTX		132,279	125,000	133,056	127,364	0	(784)	0	(784)	0	126,580	0	5,699	5,699	7,189	03/01/2018	1FE
.744448-CB-5	PUBLIC SERVICE COLORADO		09/27/2016	MKTX		167,695	155,000	166,190	0	0	(1,010)	0	(1,010)	0	157,664	0	10,031	10,031	30,413	08/01/2018	1FE
.794229-OP-0	SLM 2005-9 AA		09/27/2016	US BANK		497,613	500,000	467,657	493,854	0	770	0	770	0	494,724	0	3,088	3,088	6,199	01/25/2028	1FE
.784543-AA-5	SLM 2006-9 A		09/27/2016	VARIOUS		118,816	118,816	118,334	118,371	0	32	0	32	0	118,619	0	197	197	2,293	04/25/2023	1FE
.808513-AD-7	CHARLES SCHWAB		09/27/2016	GOLDMAN SACHS		165,687	150,000	150,494	150,262	0	(38)	0	(38)	0	150,214	0	15,473	15,473	7,899	07/22/2020	1FE
.89236T-CQ-6	TOYOTA MOTOR CREDIT CORP		09/27/2016	MORGAN STANLEY		157,731	150,000	149,831	149,653	0	36	0	36	0	149,650	0	8,041	8,041	5,075	07/13/2022	1FE
.90349H-AD-6	US AIRWAYS 2012-2A PTT		09/27/2016	US BANK		217,400	199,086	194,836	195,095	0	330	0	330	0	195,425	0	21,974	21,974	7,586	06/03/2025	2FE
.91159H-HA-1	US BANCORP		09/27/2016	BANKMELON		166,506	150,000	149,678	149,810	0	24	0	24	0	149,833	0	16,673	16,673	5,225	05/24/2021	1FE
.91159H-HH-3	US BANCORP		09/27/2016	GOLDMAN SACHS		99,244	100,000	99,268	0	0	12	0	12	0	99,280	0	(36)	(36)	435	07/22/2026	1FE
.92343V-CQ-6	VERIZON COMMUNICATIONS		09/27/2016	JEFFERIES & COMPANY		106,749	100,000	106,429	0	0	(504)	0	(504)	0	105,925	0	824	824	1,650	03/15/2021	2FE
.92343V-CH-5	VERIZON COMMUNICATIONS		09/01/2016	US BANK		110,479	107,000	108,003	0	0	(134)	0	(134)	0	107,869	0	2,610	2,610	2,994	02/21/2020	2FE
.92937E-AB-0	WFBS 2013-C11 A2		09/27/2016	VARIOUS		100,423	100,000	100,183	0	0	(105)	0	(105)	0	100,078	0	345	345	1,794	08/15/2045	1FE
.929794-A5-5	WFOH 2006-C2B AFL		09/20/2016	MBS PNT		146,806	146,806	145,435	145,435	0	703	0	703	0	146,806	0	0	0	530	10/15/2045	1FE
.929790-CB-1	WFOH 2007-C3D A5		09/27/2016	US BANK		100,406	100,000	102,596	0	0	(1,933)	0	(1,933)	0	100,662	0	(256)	(256)	3,547	12/15/2043	1FE
.949746-FJ-4	WELLS FARGO & COMPANY		09/27/2016	US BANK		156,032	150,000	150,228	0	0	(18)	0	(18)	0	150,210	0	5,821	5,821	3,263	02/13/2023	1FE
.949779-AL-6	WFBS 2004-EE 3A2		09/27/2016	VARIOUS		214,109	213,302	208,801	209,338	0	617	0	617	0	209,955	0	4,154	4,154	5,063	12/25/2034	1FE
.090089-AA-1	AIR CANADA 2013-1A PTT		09/27/2016	US BANK		261,404	264,229	267,532	267,432	0	(257)	0	(257)	0	267,175	0	14,230	14,230	9,537	05/15/2025	1FE
.21659H-DD-6	COOPERATIVE RABOBANK		09/27/2016	US BANK		164,222	150,000	157,223	0	0	(684)	0	(684)	0	156,539	0	7,683	7,683	3,746	02/08/2022	1FE
.21923P-AA-8	COPIR 2007-1A 1A5		09/27/2016	VARIOUS		39,682	39,683	35,354	35,679	0	969</										

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE ODS Health Plan, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)	
30261A-AB-8	US AG STAMFORD CT	R	09/27/2016	US BANK		291,688	290,000	289,975	282,359	0	(3,115)	0	(3,115)	0	279,244	0	12,444	12,444	21,339	08/17/2022	2F	
92783F-AA-5	VIRGINIA JUST 2013-1A TR	R	09/27/2016	VARIOUS		173,617	167,608	167,608	167,608	0	0	0	0	0	167,608	0	6,009	6,009	7,769	10/23/2023	2M	
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					9,151,101	8,796,260	9,002,518	6,847,515	0	(30,346)	0	(30,346)	0	8,874,409	0	276,891	276,891	303,879	XXX	XXX	
8399997	Total - Bonds - Part 4					18,003,494	17,238,000	17,807,329	9,347,342	0	(77,065)	0	(77,065)	0	17,589,944	0	403,549	403,549	462,548	XXX	XXX	
8399998	Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999	Total - Bonds					18,003,494	17,238,000	17,807,329	9,347,342	0	(77,065)	0	(77,065)	0	17,589,944	0	403,549	403,549	462,548	XXX	XXX	
8999997	Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
8999998	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999	Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
9799997	Total - Common Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
9799998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999	Total - Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
9899999	Total - Preferred and Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
9999999	Totals					18,003,494	XXX	17,807,329	9,347,342	0	(77,065)	0	(77,065)	0	17,589,944	0	403,549	403,549	462,548	XXX	XXX	

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open
NONE

Schedule DB - Part B - Section 1 - Futures Contracts Open
NONE

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made
NONE

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open
NONE

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By
NONE

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To
NONE

Schedule DL - Part 1 - Reinvested Collateral Assets Owned
NONE

Schedule DL - Part 2 - Reinvested Collateral Assets Owned
NONE

Schedule E - Part 2 - Cash Equivalents - Investments Owned End of Current Quarter

NONE