

# Section 1332 of the Patient Protection and Affordable Care Act (PPACA) State Innovation Waivers – Reinsurance Waiver Quarterly Report

**Reporting Instructions:** Use the following template to capture data for quarterly 1332 waiver grant reporting, as specified in 45 CFR 155.1324(a), and referenced in your grant specific terms and conditions (STC). Quarterly reports are due 60 days following the end of each calendar quarter.

STATE:

A. GRANTEE INFORMATION			
<b>1. Reporting Period End Date</b> June 30, 2021		<b>2. Report Due Date</b> Aug 31, 2021	
<b>3. 1st Quarterly Report</b> <input type="radio"/>	<b>2nd Quarterly Report</b> <input checked="" type="radio"/>	<b>3rd Quarterly Report</b> <input type="radio"/>	<b>4. Federal Agency and Organization Element to Which Report is Submitted</b> Consumer Information & Insurance Oversight (CCIIO)
<b>5. Federal Grant Number Assigned by Federal Agency</b> SIWIW180003-01-60	<b>6a. DUNS Number</b> 809579451		<b>6b. EIN</b> 93-0952020
<b>7. Recipient Organization Name</b> Oregon Department of Consumer and Business Services			
<b>Address Line 1</b> 350 Winter Street NE			
<b>Address Line 2</b>			
<b>Address Line 3</b>			
<b>City</b> Salem	<b>State</b> Oregon		<b>Zip Code</b> 97301
<b>Zip Extension</b> 3875		<b>8. Grant Period Start Date</b> 01/01/2018	
<b>9. Grant Period End Date</b> 12/31/2022			
<b>10. Other Attachments (attach other documents as needed or as instructed by the awarding Federal agency)</b>			

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<b>B. REPORT CERTIFICATION</b>	
<b>11. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purposes set forth in the award documents.</b>	
<b>11a. Typed or printed name and title of Authorized Certifying Official</b>  Kirsten L. Anderson, Deputy Administrator Division of Financial Regulation	<b>11b. Signature of Authorized Certifying Official</b>
<b>11c. Telephone (area code, number, and extension)</b>  (503) 507-2454	<b>11d. E-mail address</b> Kirsten.L.Anderson@Oregon.gov
<b>11e. Date report submitted (month/day/year)</b>  08/20/2021	
<b>C. PROGRESS OF SECTION 1332 WAIVER - General</b>	
<b>12. Provide an update on progress made in implementing and/or operating the approved 1332 waiver.</b>	
<p>For the second quarter of 2021 the Oregon Reinsurance Program (ORP) has begun processing the health care claims for the seven eligible health insurance carriers on the Individual market. Next, the ORP conducted two public meetings for the consideration of the insurance industry and public at large. The first meeting, held on June 30, 2021 and sponsored by the Division of Financial Regulation , informed the public and insurance carriers concerning how the health care claims should be processed for the 2020 program year. Second, on July 20, 2021, we held the Public Forum in addition to the PPACA 1332 Waiver Extension informational meeting for the 2023-2027 program years. Finally, enrollment numbers remain stable and individual market premium pricing has been finalized for the 2022 program year.</p> <p><b>Enrollment report</b>            A total of 171,007 members were enrolled in individual ACA compliant plans, both on and off market, for the enrollment period ending June 30, 2021. Next, interest from health insurers looking to get into the individual market has grown in recent years. Oregon has had at least three carriers inquire about entering the Individual market.</p> <p><b>Outreach</b>            The ORP held two public meetings to gain consumer and industry input for the PPACA 1332 Waiver</p>	

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Extension for the State of Oregon DCBS. A total of 137 consumers attended both meetings virtually. Next the annual health care claims seminar was held on June 20, 2021 to instruct carriers on how to process their claims for the 2020 program year.

### **Rates**

Rates for the individual market rose slightly by a average of 1.5% for the 2022 Program year.

### **Timeline and Key Dates:**

**01/01/2021: Begin preparing Draft for 2020 PPACA 1332 Annual Report**  
**03/31/2021: PPACA 1332 Annual and 4th quarter Report due**  
**05/31/2021: PPACA 1332 1st quarter 2021 report due**  
**06/20/2021: ORP Health Care Claims Seminar 2021**  
**06/29/2021: ORP Advisory Group Waiver Extension**  
**06/30/2021: Insurers pay all healthcare claims due for reimbursement**  
**06/30/2021: Public meeting on claims processing for 2020 program year**  
**07/15/2021: Insurers Submit all healthcare claims for reimbursements to DCBS**  
**07/20/2021: PPACA 1332 Annual Public Forum and Waiver Extension seminar**  
**08/01/2021: Begin Administrative rules for ORP Parameters 2022-2023**  
**08/18/2021: Public Meeting for Waiver Extension Industry Counsel**  
**08/31/2021: PPACA 1332 2nd quarter report due**  
**09/15/2021: Pass Through Funding Report Due SLCP and total non-group premium data**  
**11/29/2021: 3rd Quarter PPACA 1332 Due**  
**11/30/2021: Healthcare claims reimbursement scheduled for repayment.**  
**01/01/2022: Begin annual and 4th quarter report 2021**  
**01/03/2022: Administrative rules for 2022-2023 Parameters approved**  
**02/15/2022: PPACA 1332 Extension Waiver 2023-2027 due**

**13. Describe any implementation and/or operational challenges, including plans for and results of associated corrective actions. After the first quarter, only report on changes and/or updates, as appropriate.**

### **Public Forum(s)**

The attendance from the community has been low for the past 2 years. Trying to engage the community for this program has been a challenge during the COVID pandemic. Even with website postings, email and signage, the community displays little interest.

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<b>D. POST-AWARD FORUM</b> <i>(for Quarter Post-Award Forum was held)</i>
<p><b>14. Was the date, time, and location of the Post-Award Forum advertised 30 days in advance?</b></p> <p><input checked="" type="radio"/> <b>Yes</b></p> <p><input type="radio"/> <b>No</b></p>
<p><b>15. State website address where Post-Award Forum was advertised</b></p> <p><a href="https://dfr.oregon.gov/business/reg/health/Documents/reinsurance-program/20210720-postaward-forum-presentation.pdf">https://dfr.oregon.gov/business/reg/health/Documents/reinsurance-program/20210720-postaward-forum-presentation.pdf</a></p>
<p><b>16. Date Post-Award Forum took place</b></p> <p>L&amp;I Building 350 Winter Street NE Salem, Oregon and Virtually</p>
<p><b>17. Summary of Post-Award Forum, held in accordance with §155.1320(c), including all public comments received and actions taken in response to concerns or comments.</b></p> <p> </p> <p><b>The annual public forum was conducted on July 20, 2021 virtually. All Oregon government buildings were closed due to a state mandated closure (Covid-19). This meeting had a two-fold purpose, to inform the public of the federal grant for the 2021 program year and the extension of the PPACA 1332 Empowerment and State Innovation Waiver for program years 2023-2027. Each presentation gave a complete assessment of current enrollment, eligible insurers, past, and current and future budget analysis including both state and Federal support. The meeting was scheduled for two hours and no questions were asked at the end of each presentation.</b></p>
<p><b>18. Other Attachments (attach other documents as needed pertaining to Post-Award Form</b></p> <p>   </p>