

Department of Consumer & Business Services  
**Division of Financial Regulation– 5**  
 350 Winter St. NE  
 Salem, Oregon 97301-3883  
 Phone (503) 947-7983

**LISTING OF CURRENT FILING FORMS AS OF May 31, 2018**  
 (red = recent changes)

General forms common to more than one line of business				
Form number	Last revised	Form Name	Required with filing	P=Paper B=Both paper & serff
PC TD-1	1/2009	NAIC Property & Casualty Transmittal Document	Y	P
PC FFS-1	3/2007	Form Filing Schedule	Y	P
PC RRFS-1	3/2007	Rates/Rule Filing Schedule	Y	P
LH TD-1	7/2009	NAIC Life, Accident & Health, Annuity, Credit Transmittal Document	Y	P
LH FFA-1	3/2007	Form Filing Attachment	Y	P
LHRFA-1	3/2007	Rate Filing Attachment	Y	P
<a href="#">440-3894</a>	6/2017	Certification of Compliance	Y	B
<a href="#">440-3899</a>	12/2014	Readability Certification	Y	B

Other Forms				
Form Number	Last revised	Form subject	Required with Filing	P=Paper B=Both Paper & SERFF
<a href="#">440-2441</a>	9/2016	Transmittal & Standards for Association, Union Trust, Trust, or a Qualified Discretionary Group for Life	Y	B
<a href="#">440-2441 A</a>	6/2017	Transmittal & Standards for Group Health Coverage to be issued to an Association, or Trust Group	Y	B
<a href="#">440-2441 B</a>	9/2016	Transmittal & Standards for Blanket Health Coverage to be issued to an Association, or Trust Group	Y	B
<a href="#">440-2441 C</a>	7/2002	Appendix C Worksheet for Discretionary Group Coverages	Y	B
<a href="#">440-2441 D</a>	9/2016	Transmittal & Standards for Group Health Coverage to be issued to a Discretionary Group	Y	B
<a href="#">440-2443</a>	9/2016	Checklist for Credit Life and Health Standards	N	B
<a href="#">440-2443 D</a>	9/2016	Transmittal & Checklist for Credit Life and Health Rate Deviations	Y	B
<a href="#">440-2445</a>	9/2016	Standards for Stop-loss/Excess loss Form & Rate Filings	N	B
<a href="#">440-3605</a>	9/2016	Transmittal & Standards for Title Forms & Rates	Y	B
<a href="#">440-3637</a>	6/2013	Changes to Business Operations that Require a Filing	Y	B
<a href="#">440-4868</a>	9/2015	Transmittal & Product Standards for Service Contract Obligors	Y	B
<a href="#">440-4869</a>	9/2015	Transmittal & Product Standards for Vehicle Protection Products	Y	B

**\*\*Note\*\*** The edition dates from September and October 2016 were updating the Division name and updating URL links within our documents only.

<b>Health</b>				
Form Number	Last revised	Form subject	Required w/ Filing	P=Paper B=Both Paper & SERFF
<a href="#">440-2442 H</a>	9/2016	Standards for Health Applications	Y	B
<a href="#">440-2446</a>	9/2016	Standard Provisions for Blanket Health and Student Health Blanket	Y	B
<a href="#">440-2447</a>	5/2017	Standard Provisions for Long & Short Term Disability	Y	B
<a href="#">440-2448</a>	10/2016	Standard Provisions for Large Group Health Benefit Plans	Y	B
<a href="#">440-2448M</a>	9/2016	Standard Provisions for Multiple Employer Welfare Arrangements	Y	B
<a href="#">440-2451</a>	1/2017	Standard Provisions for Long Term Care	Y	B
<a href="#">440-2452</a>	9/2016	Standard Provisions for Medicare Supplement	Y	B
<a href="#">440-2452M</a>	2/2017	Standard Provisions for Standardized 2010 Medicare Supplement	Y	B
<a href="#">440-2462</a>	4/2005	Appendix A Worksheet for other than Health Benefit Plan Rates	Y	B
<a href="#">440-2896</a>	5/2018	Transmittal & Requirements for Modification and Discontinuance of Health Benefit Plans	Y	B
<a href="#">440-3136B</a>	4/2018	Standard Provisions for Small Employer Health Benefit Plan FORM filings	Y	B
<a href="#">440-3146</a>	4/2018	Standard Provisions for Individual Health Benefit Plan FORM filings	Y	B
<a href="#">440-3147</a>	3/2010	Appendix B Worksheet for Individual Health Benefit Plan Rates	Y	B
<a href="#">440-3172A</a>	9/2016	Standard Provisions Dental and Vision	Y	B
<a href="#">440-3172B</a>	3/2017	Standard Provisions for Limited Benefits Expense Based Policies and Event Based Policies for Hospital Indemnity, Intensive Care, Organ & Tissue Transplant	Y	B
<a href="#">440-3172C</a>	2/2017	Standard Provisions for Specified Disease & Sickness	Y	B
<a href="#">440-3172D</a>	1/2017	Standard Provisions for Individual and Group Short Term Care	Y	B
<a href="#">440-3172E</a>	9/2017	Standard Provisions for Individual and Group Short Term Major Medical	Y	B
<a href="#">440-3297</a>	9/2016	Standard Provisions for Student Health Benefit Plans	Y	B
<a href="#">440-3308H</a>	9/2016	Transmittal & Product Standards for ALL Health Advertisements	Y	B
<a href="#">440-4763</a>	4/2014	Oregon Standard Group Profile Form	N	
<a href="#">440-4838</a>	8/2008	Long Term Care Partnership Certification Form	Y	B
<a href="#">440-4872</a>	3/2017	Standard Provisions for Individual and Small Group Health Benefit Plan Rate Filings	Y	B
<a href="#">440-4884</a>	10/2016	Standards for Accident Only	Y	B
<a href="#">440-4953</a>	4/2018	Standards for filing Health Benefit Plan Binders	Y	B
<a href="#">440-4978</a>	3/2018	Standard Provisions for Exchange Certified Pediatric Dental (ACA compliant) Forms	Y	B
<a href="#">440-4980</a>	4/2018	Standard Provisions for Dental Binders	Y	B
<a href="#">440-5352</a>	1/2018	Standard Provisions for Group or Individual Limited Wraparound Coverage and Similar Supplemental Coverage	Y	B

<b>Life</b>				
<b>Form Number</b>	<b>Last revised</b>	<b>Form subject</b>	<b>Required w/ Filing</b>	<b>P=Paper B=Both Paper &amp; SERFF</b>
<a href="#">440-2442</a>	10/2016	Standards for Life, AD&D, and Annuity Applications	N	B
<a href="#">440-2453</a>	9/2016	Standards for Prior Approval Accelerated Death Benefits	N	B
<a href="#">440-2454-A</a>	11/2011	Appendix I-A and I-B	N	B
<a href="#">440-2454-E</a>	9/2016	Supplement A (Equity Index or Two-tiered Annuity)	N	B
<a href="#">440-2454-F</a>	9/2016	Standards for Prior Approval Individual Fixed Deferred Annuities	N	B
<a href="#">440-2454-G</a>	9/2016	Standards for Prior Approval Group Deferred Fixed & Variable Annuities	N	B
<a href="#">440-2454-I</a>	9/2016	Standards for Prior Approval Fixed or Variable Immediate Annuities	N	B
<a href="#">440-2454-P</a>	9/2016	Supplement B- Deferred Paid up Annuity Benefits	N	B
<a href="#">440-2454-X</a>	9/2016	Standards for Prior Approval Individual Variable Annuities	N	B
<a href="#">440-2455</a>	9/2016	Standards for Group Life	N	B
<a href="#">440-2456-A</a>	9/2004	Appendix B-1 & B-2	N	B
<a href="#">440-2456-F</a>	9/2016	Standards for Small Face Amount Individual Life	N	B
<a href="#">440-2456I</a>	9/2016	Standards for Current Assumption Whole Life	N	B
<a href="#">440-2456-U</a>	9/2016	Standards for Flexible Premium Adjustable Life	N	B
<a href="#">440-2456-W</a>	9/2016	Standards for Individual Whole Life	N	B
<a href="#">440-2456-X</a>	9/2016	Standards for Variable Life	N	B
<a href="#">440-2457</a>	9/2016	Standards for Individual Term Life	N	B
<a href="#">440-2457x</a>	9/2016	Standards for Filing Individual Life Rate Changes or Adding Payment Plans to Individual Term Life	N	B
<a href="#">440-3308</a>	9/2016	Transmittal & Standards for Life & Annuity Advertisements	Y	B
<a href="#">440-3433</a>	9/2016	Standards for Life Settlement Contracts	Y	B
<a href="#">440-3602</a>	9/2016	Standards for Supplemental Riders, Endorsements, or Amendments	N	B
<a href="#">440-3602I</a>	9/2016	Guidelines for Life Illustrations	N	B
<a href="#">440-3631</a>	9/2016	Standards for Accidental Death & Dismemberment	N	B

<b>Property &amp; Casualty</b>				
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<a href="#">440-3604</a>	9/2016	Standards for Crop/Hail and Aircraft	N	B
<a href="#">440-3607</a>	9/2016	Histogram	N	B
<a href="#">440-3609</a>	9/2016	Standards for Motor Vehicle Rates & Rules	N	B
<a href="#">440-3610</a>	9/2016	Standards for Personal and Commercial Liability Forms, Rates & Rules	N	B

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<a href="#">440-3612</a>	9/2016	Rating Organization Loss Cost Filing Standards for Personal Lines Rates and Rules Filing	N	B
<a href="#">440-3611</a>	9/2016	Rating Organization Loss Cost Standards for Commercial Lines Rates and Rules Filing	N	B
<a href="#">440-3613</a>	9/2016	Reference Filing Adoption Form	Y	B
<a href="#">440-3614</a>	9/2016	Adoption of Rating Organization Prospective Loss Costs Summary of Supporting Information Form	Y	B
<a href="#">440-3615</a>	9/2016	Standards for Motor Vehicle Forms	Y	B
<a href="#">440-3615A</a>	9/2016	Standards for Motor Vehicle Applications	Y	B
<a href="#">440-3616</a>	9/2016	Standards for GAP	Y	B
<a href="#">440-3617</a>	9/2016	Standards for Boiler & Machinery or Mechanical Breakdown Forms, Rates & Rules	N	B
<a href="#">440-3618</a>	9/2016	Standards for Monoline & Package Property	N	B
<a href="#">440-3620</a>	9/2016	Standards for Mortgage Guaranty Forms & Rates	N	B
<a href="#">440-3621</a>	9/2016	Standards for Surety and Fidelity Forms & Rates	N	B
<a href="#">440-3623</a>	9/2016	Service Contract and VPP Reimbursement Liability	Y	B
<a href="#">440-3624</a>	9/2016	Trip Travel Forms and Rates	Y	B
<a href="#">440-3625</a>	9/2016	Standards for Involuntary Unemployment & Family Emergency Leave	Y	B
<a href="#">440-3625c</a>	9/2016	Credit Default and Credit Personal Property	Y	B
<a href="#">440-3626</a>	9/2016	Vehicle Rental Company Auto Insurance	Y	B
<a href="#">440-3627</a>	9/2016	Personal and Commercial Inland Marine, Burglary and Theft	Y	B
<a href="#">440-4123</a>	10/2016	Legal Expense Organizations	Y	B
<a href="#">440-5056</a>	10/2016	Standards for Pet Insurance Forms, Rates & Rules	Y	B
<a href="#">440-5057</a>	10/2016	Standards for Collateral Protection, Residual Value and Vendor Single interest forms	Y	B

<b>Workers Compensation</b>				
<b>Form Number</b>	<b>Last revised</b>	<b>Form subject</b>	<b>Required w/ Filing</b>	<b>P=Paper B=Both Paper &amp; SERFF</b>
<a href="#">440-3614w</a>	9/2016	Workers' Compensation Summary of Supporting Information Form	Y	B
<a href="#">440-3622</a>	10/2016	Other Workers Compensation Rates, Plans, Rules or Rating Values	N	B
<a href="#">440-3628</a>	1/2017	Workers' Compensation Rate Filing Appendix to Filing Information	Y	B
<a href="#">440-3631w</a>	3/2002	Supplemental Standards for Alternative Workers' Compensation Accident and Disability	N	B
<a href="#">440-7236</a>	10/2016	Standards for Workers Compensation Large Deductible and Large Risk Alternative Rating Plans Including Forms, Rates, and Rules	N	B