

# **QUARTERLY STATEMENT**

AS OF SEPTEMBER 30, 2016 OF THE CONDITION AND AFFAIRS OF THE

LifeWise Health Plan of Oregon, Inc.

NAIC Group Code	0962 rent Period)	0962 (Prior Period)	NAIC Company Code	84930	Employer's ID N	Number	93-0931709
Organized under the Laws		Oregon	SI	ate of Domicile	e or Port of Entry	Or	egon
Country of Domicile	3.5			ited States			
Licensed as business type: Life, Accident & Health [ X ] Dental Service Corporation [ ] Other [ ]		Property/Casualty Vision Service Cor	[ ]	Health Maintenance	al & Dental Service or Indemnity [ ] nce Organization [ ] y Qualified? Yes [ ] No [ ]		
Incorporated/Organized		/07/1986	Commenced B	usiness		01/02/1987	
Statutory Home Office		2020 SW 4th Street, (Street and Num				OR, US 97201	
Main Administrative Office	2020 S			Portland.	(City or Town, State, OR, US 97201		03-295-6707
Mail Address	2020 SW 4	(Street and Number) th Street, Suite 100 d Number or P.O. Box)		(City or Town, Stat	e, Country and Zip Code) Portland, OR, U	(Area Coo JS 97201	de) (Telephone Number)
Primary Location of Books a		2020 SW 4th St			(City or Town, State, Coun and, OR, US 97201	5	03-295-6707
Internet Web Site Address		(Street an	d Number)	City or Town w.lifewiseor.co	s, State, Country and Zip Code)	(Area Cod	de) (Telephone Number)
Statutory Statement Contac	t .	Walter Nelson F		W.IIIOWIDGOT.CC	425-918	3-4684	
2000 12.0 ± 10 ± 10 ± 10 ± 10 ± 10 ± 10 ± 10 ±		(Name)			(Area Code) (Telephone	e Number) (Exten	sion)
walt	.foster@premer (E-Mail Address)				425-918-5182 (FAX Number)	E	
	(a mon / naccos)		OFFICERS		(i no (veillee))		
Name		Title	OFFICERS	Nam	Δ.	19	Title
Majd Fowzi El-Azm	á .	President & C	EO	John Hayes	T-1,000		cretary
Sharilyn Ann Campb		Treasurer		John Hayes	s rierce ,	360	retary
4: ————————————————————————————————————			OTHER OFFIC	EDC	***		
David John Braza		Senior Vice Pres		James Dwayr	o Havene	Vice E	President
David Antony Lechn		Vice Preside		lizabeth Baier			ce President
Kirsten Connell Kem		Executive Vice Pr		ohn Phillip Co			ce President
Kent Steven Marqua John Mercier Espino			CTORS OR TR	RUSTEES Majd Fowzi		David Ant	ony Lechner
State of County of	Washington	SS					
The officers of this reporting er above, all of the herein describ his statement, together with re and of the condition and affairs been completed in accordance differ; or, (2) that state rules a knowledge and belief, respectively the required, that is an exercise requisions in lieu of orin additional president & (SVP - HCI & Cr	ed assets were the lated exhibits, so to fine said report with the NAIC Apr regulations receively. Furthermore the copy (except for to the enclosed Braza & CEO	e absolute property of inedules and explanation ting entity as of the resonal Statement Instruction of the statement of the s	the said reporting entity, to one therein contained, are porting period stated abo- fuctions and Accounting in porting not related to ac- station by the described	ree and clear from nexed or referred tve, and of its in Practices and Pro- counting practic officers also inco	om any liens or claims there and to, is a full and true sta come and deductions there rocedures manual except es and procedures, accordudes the related correct distance of statement. The electron	reon, except as atement of all the refrom for the p to the extent the rding to the be onding electron	herein stated, and the her assets and liabilitie heriod ended, and hav hat: (1) state law ma set of their information nic filing with the NAIC e requested by variou
//2	20,6			25	a le this an original fil-	a2	Yes [X] No [
Subscribed and sworn to	before me this				a. Is this an original filin b. If no:	91	ing I w I un I I
14th day	November 1	10W			State the amendment of the control of the cont		·
Irla Morrow, Notary Publi Abgust 1, 2017	ic in and for th	e State of Washington	1		3. Number of pages a	ittached	3





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AS OF SEPTEMBER 30, 2016 OF THE CONDITION AND AFFAIRS OF THE

LifeWise Health Plan of Oregon, Inc.

	962 , 0962 nt Period) (Prior Period)	NAIC Company Coo	de 84930	Employer's ID N	lumber 93-09	31709	
Organized under the Laws of		on .	State of Domicil	e or Port of Entry	Oregon		
Country of Domicile	5 20 1000		United States				
icensed as business type:	Life, Accident & Health [X] Dental Service Corporation [ Other [ ]	Property/Casualt	y[]	[ ] Hospital, Medical & Dental Service		n[] s[]No[]	
ncorporated/Organized	08/07/1986	Commenced	Business	111111	01/02/1987		
Statutory Home Office	2020 SW 4th Str (Street and		,		R, US 97201 Country and Zip Code)		
Main Administrative Office	2020 SW 4th Street, St		Portland,	OR, US 97201	503-295-	6707	
	(Street and Number		(City or Town, Star	te, Country and Zip Code)	(Area Code) (Telep	hone Number)	
Mail Address	2020 SW 4th Street, Suite (Street and Number or P.O. Bo			Portland, OR, U (City or Town, State, Count			
Primary Location of Books an	d Records 2020 SW 4tl	h Street, Suite 1000		and, OR, US 97201	503-295-		
nternet Web Site Address	(Stre	et and Number)	(City or Town	n, State, Country and Zip Code)	(Area Code) (Telep	hone Number)	
Statutory Statement Contact	Walter Nelse		ww.iiiewiseor.c	425-918	-4684		
	(Nam		\$(	(Area Code) (Telephone			
	ster@premera.com (E-Mail Address)	××		425-918-5182 (FAX Number)			
	,,	OFFICER	S	,			
Name	Title	11/2/21/21/21/21/21	Nam	e	Title		
Majd Fowzi El-Azma	President		John Haye	s Pierce,	Secretary		
Sharilyn Ann Campbell	,Treasu	ırer	SERVICE AND ADDRESS OF				
		OTHER OFFI	VINCENSON PRODUCTION				
David John Braza David Antony Lechner	Senior Vice I Vice Pres		James Dwayi Elizabeth Baier		Vice Presider Senior Vice Presi		
Kirsten Connell Kemp	Executive Vice		John Phillip Co		Senior Vice Presi		
Kent Steven Marquard John Mercier Espinola	James Michae	RECTORS OR T	RUSTEES Majd Fowzi		David Antony Led	hner	
bove, all of the herein described his statement, together with relat ind of the condition and affairs of leen completed in accordance w liffer; or, (2) that state rules or nowledge and belief, respectivel	being duly sworn, each depose assets were the absolute property ed exhibits, schedules and explainthes as of the said reporting entity as of the the NaIC Annual Statement I regulations require differences in y. Furthermore, the scope of the poyl (except for formatting differences).	y of the said reporting entity nations therein contained, e reporting period stated at instructions and Accounting in reporting not related to attestation by the describe	r, free and clear from the free and clear from the free and of its in the free and Practices and Practices and practiced officers also income and clear free	om any liens or claims there ed to, is a full and true stal come and deductions there rocedures manual except t es and procedures, accorr cludes the related correspo	eon, except as herein s tement of all the assets efrom for the period en to the extent that: (1) ding to the best of the onding electronic filing	stated, and the stated, and liabilities and liabilities and has state law meir information with the NAI	
David John B President & 0				Sha	arilyn Ann Campbell Treasurer		
(SVP - HCI & Chie	f Actuary)						
				a. Is this an original filing	g? Yes [	X ] No [ ]	
Subscribed and sworn to b	efore me this			b. If no:	ā		
14thday of	November, 2016			State the amendme     Date filed			
				3. Number of pages a	tached		

Erin Morrow, Notary Public in and for the State of Washington August 1, 2017

### **ASSETS**

	AS	SEIS			
	_		Current Statement Date		4
		1	2	3	December 31
		Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	Prior Year Net Admitted Assets
1.	Bonds	39,534,582	0	39,534,582	74,911,275
2.	Stocks:				
	2.1 Preferred stocks			0	0
	2.2 Common stocks			0	0
3.	Mortgage loans on real estate:				
	3.1 First liens			0	0
	3.2 Other than first liens			0	0
4	Real estate:				
	4.1 Properties occupied by the company (less				
	\$ encumbrances)			0	0
	4.2 Properties held for the production of income				
	(less \$encumbrances)			0	0
		<del></del>			
	4.3 Properties held for sale (less				
	\$encumbrances)	<del>mula amula d</del>		0	0
5.	Cash (\$3,126,834 ),				
	cash equivalents (\$0 )				
	and short-term investments (\$293,400 )	3,420,234		3,420,234	7,213,248
6.	Contract loans (including \$premium notes)			0	0
7.	Derivatives	0		0	0
8.	Other invested assets	0		0	0
9.	Receivables for securities	2,317,503	747000	2,317,503	502
10.	Securities lending reinvested collateral assets			0	0
		0	0	0	0
		45,272,319	0	45,272,319	82,125,025
	Title plants less \$charged off (for Title insurers	10,212,010	·······	.,,0,2,2,2,010	52,125,525
10.			CONT. NO.	0	0
4.4	only)	283,686		283,686	518,867
	Investment income due and accrued	203,000	*****	203,000	510,007
15.	Premiums and considerations:				
	15.1 Uncollected premiums and agents' balances in the course of				
	collection	1,172,221	9,091	1,163,130	566,724
	15.2 Deferred premiums, agents' balances and installments booked but				
	deferred and not yet due (including \$earned				
	but unbilled premiums)			0	0
	15.3 Accrued retrospective premiums (\$17,236 ) and				
	contracts subject to redetermination (\$ 13,545 )	30,781	0	30,781	397,687
16.	Reinsurance:			111111111	
	16.1 Amounts recoverable from reinsurers	5.794.083	0	5,794,083	14,476,666
	16.2 Funds held by or deposited with reinsured companies	0	0	0	0
	16.3 Other amounts receivable under reinsurance contracts	0	0	0	0
17	그런 가이 사이 얼마를 못 못했다. 보고 이렇게 되었어 보고 있는 것이 되었어 하셨다면 되었다. 그는 것이 없는 것이 없는 그는 것이 없는 것이 없는 것이 없는 것이다.	1,550,506	0	1,550,506	2,075,672
	Current federal and foreign income tax recoverable and interest thereon		0	5,869,296	4,606,881
		51.363	0		4,000,001
			0	51,363	
	가입을 잃었다면 있다면 하면	0	(A)	0	0
	Electronic data processing equipment and software	0	0	0	0
21.	Furniture and equipment, including health care delivery assets				
	(\$)	189,026	189,026	0	0
22.	Net adjustment in assets and liabilities due to foreign exchange rates		0	0	0
23.	Receivables from parent, subsidiaries and affiliates	314,949	0	314,949	131,923
24.	Health care (\$869,995 ) and other amounts receivable	869,995	0	869,995	2,341,076
25.	Aggregate write-ins for other-than-invested assets	588 , 159	51,562	536,597	24,677
	Total assets excluding Separate Accounts, Segregated Accounts and				
	Protected Cell Accounts (Lines 12 to 25)	61,986,384	249,679	61,736,705	107, 265, 198
27	From Separate Accounts, Segregated Accounts and Protected		***************************************	towatical	
355	Cell Accounts.	0	0	0	0
20		61,986,384	249,679	61,736,705	107 265 109
20.	Total (Lines 26 and 27)	01,300,304	249,079	01,730,705	107,265,198
	DETAILS OF WRITE-INS				
1101.	FO TORRES STANDARD STANDARD STANDARD FOR FOREST FOR FOREST FOR FOREST FO	Name of Sales	75 V	0	0
1102.	A DESTRUMENT MICHAEL MICHAEL MICHAEL FIRE FIRE FIRE FIRE FIRE FIRE FIRE FIRE			0	0
1103.		<u> </u>		0	0
1198.	Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199.	Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)	0	0	0	0
2501.	Deposits, prepaid expenses and miscellaneous	588,159	51,562	536,597	24,677
	Risk adjustment receivable			0	0
2503.	1902년 NG			N.S. 5000 F.A	0 2000 000
	Summary of remaining write-ins for Line 25 from overflow page	0	0	0	٥
	Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	588,159	51,562	536,597	24,677
_000.	rotale (Lines 230 i tillough 2303 plus 2390) (Line 23 above)	300,139	31,302	330,331	24,011

LIABILITIES, CAPITAL AND SURPLUS

			Current Period		Prior Year
		1 Covered	2 Uncovered	3 Total	4 Total
1. CI	laims unpaid (less \$807,561 reinsurance ceded)	19,007,370	1,988,288	20,995,658	29,394,414
2. A	ccrued medical incentive pool and bonus amounts	0	0	0	826
3. Ur	npaid claims adjustment expenses	465,480		465,480	851,986
4. Ag	ggregate health policy reserves including the liability of				
\$	98,465 for medical loss ratio rebate per the Public Health				
Se				d- de nativola disparabilità del	30,332,782
3		0	0		0
				0	0
	ggregate health claim reserves	0	0	0	0
	200 000 000 000 000 000 000 000 000 000	4,364,101			6,213,071
	eneral expenses due or accrued	1,812,889		1,812,889	5,447,560
	urrent federal and foreign income tax payable and interest thereon (including	0		0	
		0	0		6.508
		262.756	0	262,756	1,106,424
	eded reinsurance premiums payable	40	0	202,736	1,100,424
	and the first property of the control of the contro	0	0	0	0
	prowed money (including \$ current) and				
	terest thereon \$ (including				
	production and account of the second of		ander wearing of	0	0
		6.794.418	0		6.204.284
	erivatives	0	0	0	0
		2,308,345			2,054,752
		0	0	0	0
	unds held under reinsurance treaties (with \$		-		
	uthorized reinsurers.\$ unauthorized reinsurers				
	nd \$certified reinsurers)			0	0
	einsurance in unauthorized and certified (\$ )				
				0	0
21. Ne	et adjustments in assets and liabilities due to foreign exchange rates			0	0
	27 A T T T T T T T T T T T T T T T T T T	787 ,897		787 ,897	701,464
23. Ag	ggregate write-ins for other liabilities (including \$1,364,027	Charles and the second second second	NAMED TO A STATE OF THE STATE O	V. V.N.O. S.	
	The state of the s	1,364,027		1,364,027	3,533,184
24. To	otal liabilities (Lines 1 to 23)	45,987,976	1,988,288	47 ,976 ,264	85,847,255
25. Ag	ggregate write-ins for special surplus funds	xxx	xxx		2,770,584
26. Co	ommon capital stock	xxx	xxx	2,002,050	2,002,050
27. Pr	referred capital stock	XXX	XXX	0	0
28. Gi	ross paid in and contributed surplus	XXX	XXX	29,408,579	29,408,579
29. St	urplus notes	XXX	XXX		0
		XXX	XXX	0	0
	nassigned funds (surplus)	XXX	XXX	(17,650,188)	(12,763,270)
	ess treasury stock, at cost:				
	.1shares common (value included in Line 26				
		XXX	XXX		0
	.2shares preferred (value included in Line 27	(089998)	(governe)		1000
\$			XXX		0
	otal capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX		21,417,943
34. To	otal liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	61,736,705	107,265,198
DE	ETAILS OF WRITE-INS			And Charles of Control	
301. Mi	sc Accounts Payable	798,290		798,290	1,405,167
302. Un	nclaimed Property	565,737		565,737	366,836
303. Mi	iscellaneous unapplied receipts	- III		0	3,184
	ummary of remaining write-ins for Line 23 from overflow page	0	0	0	1,757,997
NAMES AND	otals (Lines 2301 through 2303 plus 2398) (Line 23 above)	1,364,027	0	1,364,027	3,533,184
501. Su	ubsequent year ACA health insurer fee	xxx	xxx		2,770,584
502		XXX	XXX		0
503		xxx	xxx		0
598. St	ummary of remaining write-ins for Line 25 from overflow page	xxx	xxx	0	0
	otals (Lines 2501 through 2503 plus 2598) (Line 25 above)	xxx	XXX	0	2,770,584
	tance Ton anordin Tono bine 1990) (Time 19 more)	45			1.70
001		xxx	XXX		0
002	-	XXX	XXX		٥
003		xxx	xxx		0
098. St	ummary of remaining write-ins for Line 30 from overflow page	xxx	xxx	0	0

### STATEMENT OF REVENUE AND EXPENSES

		Current Ye	Current Year To Date		Prior Year Ended December 31
		1 Uncovered	2 Total	3 Total	4 Total
1.	Member Months	XXX	347,729	640,523	844,723
	Net premium income (including \$ non-health premium income)_	xxx	107,891,370	170 . 445 . 680	.197 ,371 ,103
3.	Change in unearned premium reserves and reserve for rate credits	xxx	307, 262	(16,903,873)	947,716
4.	Fee-for-service (net of \$medical expenses)	xxx		0	0
5.	Risk revenue	xxx		0	0
6.	Aggregate write-ins for other health care related revenues	xxx	0	0	0
7.	Aggregate write-ins for other non-health revenues	xxx	0	0	0
8.	Total revenues (Lines 2 to 7)	XXX	108,198,632	153,541,807	198,318,819
1.7	al and Medical:	New York Common			1 Voca verballande and
	AND THE PROPERTY OF THE PROPER	7,424,617	78,401,445	133,694,869	174 ,782 ,826
	Other professional services	0	0	0	
11.	A4011 (11 / 12 / 12 / 12 / 12 / 12 / 12 / 1	0	0	0	0
	The state of the s	1,337,585	14,124,446 13,603,517	19,332,828 17,330,893	25,914,582 24,735,921
13.		0	TOTAL STREET,		
14.	Aggregate write-ins for other hospital and medical.  Incentive pool, withhold adjustments and bonus amounts.		0 (826)	(520)	
16.		8,762,202	106,128,582	170,358,070	225,433,106
Less:					
17.	Net reinsurance recoveries	0	4,933,864	13,794,503	19,168,215
18.	Total hospital and medical (Lines 16 minus 17)	8,762,202	101,194,718	156,563,567	206,264,891
19.	Non-health claims (net)	0	0	0	0
20.	Claims adjustment expenses, including \$ 4,702,714 cost containment expenses.	0	11,314,386	13,430,214	17,801,088
21.	General administrative expenses	0	15,678,779	25,493,241	32,592,730
22.	Increase in reserves for life and accident and health contracts (including				
	\$increase in reserves for life only)		(6,117,462)	(7,135,320)	(1,654,437)
23.	Total underwriting deductions (Lines 18 through 22)	8,762,202	122,070,421	188,351,702	255,004,272
24.	Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	(13,871,789)	(34,809,895)	(56,685,453)
25.	Net investment income earned		1,386,848	1,713,984	2,456,834
	Net realized capital gains (losses) less capital gains tax of \$	- thora - the thora	1,863,476	36,102	29,679
	Net investment gains (losses) (Lines 25 plus 26)	0	3,250,324	1,750,086	2,486,513
28.	Net gain or (loss) from agents' or premium balances charged off [(amount recovered			2	
20	\$	0	22.050	0	0
	Aggregate write-ins for other income or expenses	0	23,059	(62,859)	(57,559)
30.	Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	xxx	(10,598,406)	(33, 122, 668)	(54,256,499)
31.	Federal and foreign income taxes incurred	xxx	(2,809,129)	(10,516,484)	(18,516,778)
32.	Net income (loss) (Lines 30 minus 31)	XXX	(7,789,277)	(22,606,184)	(35,739,721)
	DETAILS OF WRITE-INS				
0601.		xxx		0	0
0602.		xxx		0	0
0603.		XXX		0	0
0698.	Summary of remaining write-ins for Line 6 from overflow page	xxx	0	0	0
0699.	Totals (Lines 0601 through 0603 plus 0698) (Line 6 above)	XXX	0	0	0
0701.		XXX		0	0
0702.		XXX	10700100 10700100	0	0
0703.	· · · · · · · · · · · · · · · · · · ·	XXX		0	0
	Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	
	Totals (Lines 0701 through 0703 plus 0798) (Line 7 above)	XXX	0	0	0
1401.				0	0
1402.				0	0
1403.	Common of consistent wife in fact in 445		0	0	0
1498.	Summary of remaining write-ins for Line 14 from overflow page	0	0	0	
2901.	Totals (Lines 1401 through 1403 plus 1498) (Line 14 above)  Miscel laneous income (expense)	U	23,059	(62,859)	(57,559)
2901.	miscerraneous illuone (expense)		23,059	(62,639)	(57,559)
2902.				0	
	Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2000.	Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)	0	23,059	(62,859)	(57,559)

STATEMENT OF REVENUE AND EXPENSES (Continued)

	STATEMENT OF REVENUE AND EX	PENSES (	Continue	3
		Current Year To Date	Prior Year To Date	Prior Year Ended December 31
	CAPITAL & SURPLUS ACCOUNT			
201				
33.	Capital and surplus prior reporting year	######################################	PAGE SERVICE	
34.	Net income or (loss) from Line 32	(7,789,277)	(22,606,184)	(35,739,721
35.	Change in valuation basis of aggregate policy and claim reserves		0	0
36.	Change in net unrealized capital gains (losses) less capital gains tax of \$	14,871	(15,021)	(34,124
37.	Change in net unrealized foreign exchange capital gain or (loss)	0	0	0
38.	Change in net deferred income tax	65,875	(880,8)	(402,970
39.	Change in nonadmitted assets	51,029	(47,529)	(161,193
40.	Change in unauthorized and certified reinsurance	0		0
41.	Change in treasury stock		0	0
42.	Change in surplus notes	0	0	0
43.	Cumulative effect of changes in accounting principles		0	0
44.	Capital Changes:			
200			0	
	44.1 Paid in		0	0
	44.2 Transferred from surplus (Stock Dividend)		0	0
	44.3 Transferred to surplus		0	0
45.	Surplus adjustments:			
	45.1 Paid in		4,600,000	17,000,000
	45.2 Transferred to capital (Stock Dividend)	0		0
	45.3 Transferred from capital		0	0
46.	Dividends to stockholders		0	0
47.	Aggregate write-ins for gains or (losses) in surplus	0	0	0
48.	Net change in capital and surplus (Lines 34 to 47)	(7,657,502)	(18,076,822)	(19,338,008
49.	Capital and surplus end of reporting period (Line 33 plus 48)	13,760,441	22,679,129	21,417,943
45.	STERVEN IN STEVENIE	13,700,441	22,019,129	21,417,540
	DETAILS OF WRITE-INS			
4701.			0	0
4702.			0	0
4703.				
4798.	Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799.	Totals (Lines 4701 through 4703 plus 4798) (Line 47 above)	0	0	

### **CASH FLOW**

		1 Current Year To Date	2 Prior Year To Date	Prior Year Ended December 31
	Cash from Operations	10 5410	10 04.0	Document of
1	Premiums collected net of reinsurance.	89.879.722	169 .947 .138	220.755.394
	Net investment income	1,764,144	1.937.360	2.796.886
	Miscellaneous income	0	000, 100, 1	0
	Total (Lines 1 to 3)	91,643,866	171.884.498	223 .552 .280
	Benefit and loss related payments	99,463,179	146,490,101	207.724.193
	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	33,403,113	0	207,724,133
	Commissions, expenses paid and aggregate write-ins for deductions	30.294.485	39,048,280	51,398,529
	Dividends paid to policyholders	33333333	0	0
	Federal and foreign income taxes paid (recovered) net of \$tax on capital		######################################	
	gains (losses).	(1,546,714)	(12,046,413)	(19.284.877
10	Total (Lines 5 through 9)	128,210,950	173,491,968	239.837.845
	Net cash from operations (Line 4 minus Line 10)	(36,567,084)	(1,607,470)	(16.285.565
	Cash from Investments	(50,501,004)	(1,007,470)	(10,205,505
12	Proceeds from investments sold, matured or repaid:			
	12.1 Bonds	63,336,351	13,298,788	20,499,682
	12.2 Stocks	0	0	
	12.3 Mortgage loans	0	0	
	12.4 Real estate	0	0	
	12.5 Other invested assets	0	0	
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	
	12.7 Miscellaneous proceeds	0	2.797.242	(
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	63,336,351	16,096,030	20,499,682
13	Cost of investments acquired (long-term only):			
10.	13.1 Bonds	28 .278 .830		24,211,178
	13.2 Stocks	0	0	
	13.3 Mortgage loans	0	0	(
	13.4 Real estate	0	0	(
	13.5 Other invested assets	0	0	(
	13.6 Miscellaneous applications	0	0	(
	13.7 Total investments acquired (Lines 13.1 to 13.6)	28.278.830	14.266.815	24.211.178
14	Net increase (or decrease) in contract loans and premium notes	0	0	,
	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	35,057,521	1.829.215	(3.711.496
10.	Cash from Financing and Miscellaneous Sources	05,001,021	1,020,210	(3,711,400
10	Cash provided (applied):			
10.	16.1 Surplus notes, capital notes	0	0	
	16.2 Capital and paid in surplus, less treasury stock	0	4,600,000	17,000,000
	16.3 Borrowed funds	0	0.00,000	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
	16.4 Net deposits on deposit-type contracts and other insurance liabilities		0	
	16.5 Dividends to stockholders	0	0	
	16.6 Other cash provided (applied)	(2,283,451)	5.969.177	4.876.185
17	Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5	(2,200,401)	5,005,111	4,070,100
1017	plus Line 16.6)	(2,283,451)	10,569,177	21,876,185
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS	1202200342	ENGINEER STORY	CLOOM STATE
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(3,793,014)		1,879,124
19.	Cash, cash equivalents and short-term investments:	28737 129 131	W 18	
	19.1 Beginning of year	7,213,248	5,334,124	5,334,124
	19.2 End of period (Line 18 plus Line 19.1)	3,420,234	16, 125, 046	7,213,248

### **EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION**

	1	Comprehe (Hospital & N	fedical)	4	5	6	7	8	9	10
	Total	2 Individual	3 Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other
Total Members at end of:	**************************************							11.00.0000	100000000000000000000000000000000000000	
1. Prior Year	66,007	37,577	20,080	888	0	7,462			0	
2. First Quarter	39,977	18,121	17,400	842	0	3,614		0	0	
3. Second Quarter	37,893	17,210	16,796	798	0	3,089	0	0	0	0.000
Third Quarter      Current Year	34,069	16 , 174	14,318	762		2,815				5 47.085
6. Current Year Member Months	347,729	158,337	151,091	7,315	*	30,986		*	- 1	
Total Member Ambulatory Encounters for Period:  7. Physician  8. Non-Physician	182,64366,964	83,071 32,400	86,294 32,091	9,558		3,720				<u></u>
9. Total	249,607	115,471	118,385	12,031	0	3,720	0	0	0	
10. Hospital Patient Days Incurred	5,612	2,498	2,379	735				LA	λ.	
11. Number of Inpatient Admissions	1,255	540	570	145				Ĺ	Ŷ	
Health Premiums Written (a)      Life Premiums Direct.	108,505,547	51,107,588	53,894,434	2,276,872		1,226,653		71-00-00-00-00-00-00-00-00-00-00-00-00-00		
14. Property/Casualty Premiums Written	0		-000					25.030.00 25.030.00	10.0	
15. Health Premiums Earned	108,812,809	51,107,588	54,201,696	2,276,872		1,226,653				
17. Amount Paid for Provision of Health Care Services	114,611,828	61,789,015	50,353,935	1,555,627		913,251	200-0 200-0		ovietia vuovie v	a testa
18. Amount Incurred for Provision of Health Care Services	106, 128, 582	53,046,132	50,773,101	1,471,405		837,944		8		

<sup>(</sup>a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$

# CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

	Aging Analysis of Unpaid C	laims	The control of the control of the control of			
1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
Claims unpaid (Reported)	j'					
			**************************************	<del></del>	**************************************	//////////////////////////////////////
					<del></del>	
			00-00-00-00-00-00-00-00-00-00-00-00-00-	<del></del>	X-X-0	
				0		
0199999 Individually listed claims unpaid	386,730	186,035	18,996	4.749	3.717	.600,227
0399999 Aggregate accounts not individually listed-covered	3,697,013	1,778,435	181,594	45,398	35,529	5,737,969
0499999 Subtotals	4,083,743	1,964,470	200,590	50,147	39.246	6,338,196
0599999 Unreported claims and other claim reserves	XXX	XXX	XXX	XXX	XXX	15,465,023
0699999 Total amounts withheld	XXX	XXX	XXX	XXX	XXX	100 10000
0799999 Total claims unpaid	XXX	XXX	XXX	XXX	XXX	21,803,219
0899999 Accrued medical incentive pool and bonus amounts	XXX	XXX	XXX	XXX	XXX	17 10 11 000 17 10 10

# UNDERWRITING AND INVESTMENT EXHIBIT ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE

	Cla Paid Yea		Liability End of Current Quarter		5	6
Line of Business	On Claims Incurred Prior to January 1 of Current Year	On Claims Incurred During the Year	On Claims Unpaid Dec. 31 of Prior Year	On Claims Incurred During the Year		Estimated Claim Reserve and Claim Liability Dec. 31 of Prior Year
Comprehensive (hospital and medical)	23,665,745	93,099,366	392,261	20,308,295	24,058,006	28,937,100
Medicare Supplement	290,312	1,331,123	295	228,959	290,607	316,156
3. Dental only	112,147	801,104	165	65,683	112,312	141,155
4. Vision only					0	(
5. Federal Employees Health Benefits Plan					0	
6. Title XVIII - Medicare					0	(
7. Title XIX - Medicaid					0	(
8. Other health			5 23000 5005 20000 50	2200000 000000	0	
9. Health subtotal (Lines 1 to 8).	24,068,204	95,231,593	392,721	20,602,937	24,460,925	29,394,414
10. Health care receivables (a)	3,773,539	7,380,496	4,601	763 ,681	3,778,140	2,216,820
11. Other non-health			6 2/00/2006 2/00/2006		0	
12. Medical incentive pools and bonus amounts					0	826
13. Totals (Lines 9-10+11+12)	20,294,665	87,851,097	388,120	19,839,256	20,682,785	27,178,420

(a) Excludes \$ .\_\_\_\_\_ loans or advances to providers not yet expensed.

### 1. Significant Accounting Policies

### A. Accounting Practices

The financial statements of LifeWise Health Plan of Oregon, Inc. (the Company) are prepared in accordance with accounting practices prescribed or permitted by the State of Oregon Department of Consumer and Business Services.

Prescribed statutory accounting practices are defined in the National Association of Insurance Commissioner's (NAIC's) Accounting Practices and Procedures manual. "Permitted" statutory accounting practices encompass all accounting practices that are not prescribed. The Company does not currently utilize any permitted statutory accounting practices.

B - C. There were no significant changes since December 31, 2015.

### 2. Accounting Changes and Corrections of Errors

There were no significant changes since December 31, 2015.

### 3. Business Combinations and Goodwill

There were no significant changes since December 31, 2015.

### 4. Discontinued Operations

There were no significant changes since December 31, 2015.

### 5. Investments

A - C. There were no significant changes since December 31, 2015.

### D. Loan-Backed Securities

- Prepayment assumptions for all loan-backed securities were obtained from investment manager survey values.
- (2) (3). None.
- (4) All impaired securities for which an other than temporary impairment (OTTI) has not been recognized in earnings as a realized loss:
  - a. The aggregate amount of unrealized

losses:

1.	Less than 12 months	\$ 3,752
2.	12 months or longer	\$ 6,190

b. The aggregate related fair value of securities with unrealized losses:

1.	Less than 12 months	\$ 319,168
2.	12 months or longer	\$ 798,101

- (5) Management does not believe that any individual unrealized loss represents an OTTI. Any loan-backed securities for which it was probable that OTTI existed were subject to a detailed cash flow analysis to determine if the present value of cash flows expected to be collected is less than its amortized cost basis.
- E. None.
- F H. There were no significant changes since December 31, 2015.
- I K. None.

### 6. Joint Ventures, Partnerships and Limited Liability Companies

There were no significant changes since December 31, 2015.

### 7. Investment Income

There were no significant changes since December 31, 2015.

### 8. Derivative Instruments

There were no significant changes since December 31, 2015.

### 9. Income Taxes

There were no significant changes since December 31, 2015.

### 10. Information Concerning Parent, Subsidiaries, Affiliates, and Other Related Parties

There were no significant changes since December 31, 2015.

### 11. Debt

- A. There were no significant changes since December 31, 2015.
- B. None.

# 12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

- A. None.
- B I. There were no significant changes since December 31, 2015.

### 13. Capital and Surplus, Shareholder's Dividend Restrictions and Quasi-Reorganizations

There were no significant changes since December 31, 2015.

### 14. Contingencies

There were no significant changes since December 31, 2015.

### 15. Leases

There were no significant changes since December 31, 2015.

# 16. Information About Financial Instruments With Off-Balance Sheet Risk And Financial Instruments With Concentrations of Credit Risk

There were no significant changes since December 31, 2015.

### 17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

- A. There were no significant changes since December 31, 2015.
- B. None.
- C. In the course of the Company's asset management, there are no securities with NAIC designation 3 or below sold and reacquired within 30 days of the sale.
- 18. Gain or Loss to the Reporting Entity from Uninsured A&H Plans and the Uninsured Portion of Partially Insured Plans

There were no significant changes since December 31, 2015.

### 19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

There were no significant changes since December 31, 2015.

### 20. Fair Value Measurements

### A. (1) Fair Value Measurements at Reporting Date

	Le	vel 1	Level 2	el 2 Level 3			Total		
Corporate debt securities	\$	+	\$ 455,000	\$	æ	\$	455,000		
Total	\$	Ε.	\$ 455,000	\$	æ	\$	455,000		

There were no transfers to or from Levels 1 and 2 during the quarter ended September 30, 2016.

- (2) There were no transfers to or from Level 3 during the period ended September 30, 2016. The Company held no Level 3 securities as of September 30, 2016.
- (3) The Company recognizes transfers between fair value levels at the beginning of the reporting period.
- (4) The Company uses a market approach to value its Level 2 securities. Prices are obtained from third-party pricing services that utilize a variety of relevant market data inputs to determine the price. Inputs include, but are not limited to: prices of similar securities that traded as of the reporting date, prepayment speeds, estimated credit losses, interest rates, vintage, deal subordination, and other credit enhancements. The Company held no Level 3 securities as of September 30, 2016.

Not

(5) The Company has no derivative instruments.

### B None

C. The following table summarizes fair value measurements and admitted asset values for all financial instruments as of September 30, 2016:

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Practicable (Carrying Value)
U.S Treasuries securities and obligations of		-	**	1 d	<del></del>	) 20
U.S. government corporations	\$ 3,610,741	\$ 3,488,401	\$ 3,610,741	\$ -	\$ -	
Municipal debt securities	673,998	653,500	353	673,998		
Foreign government debt securities	330,625	265,762	1920	330,625	3 <del>-</del> 3	
Corporate debt securities	16,218,127	15,473,537	323	16,218,127	826	
Residential loan-backed securities	13,373,509	12,618,472		13,373,509	9 <del>-1</del> 95	
Commercial loan-backed securities	4,906,790	4,760,665	929 6-8	4,906,790	(4)	
Other loan-backed securities	2,288,281	2,274,245	473	2,288,281		
Total Bonds	41,402,071	39,534,582	3,610,741	37,791,330		5 Ta
Money market mutual funds	293,400	293,400	293,400		<u>. 88 .</u>	2
Total Assets at Fair Value	\$41,695,471	\$ 39,827,982	\$ 3,904,141	\$37,791,330	\$ -	\$ -

### D. None

### 21. Other Items

There were no significant changes since December 31, 2015.

### 22. Events Subsequent

On April 21, 2016, the Company announced it is discontinuing the offer and renewal of all individual and group policies, including Medicare supplement policies, and withdrawing from the Oregon market. The Company will remain on the individual market Exchange through the end of 2016, and will discontinue all individual plans effective December 31, 2016. The Company stopped selling new employer group coverage in the state effective April 2, 2016, except for outstanding proposals which will be honored. Groups with effective dates of October 1, 2016 and prior may choose to renew for one more plan year, and for those groups their coverage will be discontinued at the end of their newal plan year. All existing policies renewing November 1, 2016 or after will be discontinued at the end of their applicable plan year. The Company discontinued Medicare supplement policies effective July 1, 2016.

### 23. Reinsurance

There were no significant changes since December 31, 2015.

### 24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

- A D. There were no significant changes since December 31, 2015.
- E. (1) Did the reporting entity write accident and health insurance premium that is subject to the Affordable Care Act risk-sharing provisions? YES
  - (2) Impact of Risk-Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities and Revenue for the Current Year:

		9	9/30/2016
	at ACA Risk Adjustment Program		
Asset		•	0.050
	Premium adjustments receivable due to ACA Risk Adjustment	\$	9,252
Liabil			01.070
	Risk adjustment user fees payable for ACA Risk Adjustment		21,978
	Premium adjustments payable due to ACA Risk Adjustment		7,916,244
	tions (Revenue & Expense)		7 00 6 000
4.	Reported as revenue in premium for accident and health contracts (written/collected)		7,906,992
-	due to ACA Risk Adjustment		01.070
3.	Reported in expenses as ACA risk adjustment user fees (incurred/paid)		21,978
	nal ACA Reinsurance Program		
Asset	Proceedings of the control of the co		
	Amounts recoverable for claims paid due to ACA Reinsurance	\$	2,031,969
	Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability)		674,181
3.	Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance		=
Liabil	ties		
4.	Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium		483,966
5.	Ceded reinsurance premiums payable due to ACA Reinsurance		213,356
6.	Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance		**************************************
Opera	tions (Revenue & Expense)		
~ C ~ C ~ C ~ C ~ C ~ C ~ C ~ C ~ C ~ C	Ceded reinsurance premiums due to ACA Reinsurance		213.356
	Reinsurance recoveries (income statement) due to ACA Reinsurance payments or		2,706,150
	expected payments		Lacondada.
9.	ACA Reinsurance contributions – not reported as ceded premium		483,966
c. Temporar	y ACA Risk Corridors Program		
Asset	S		
1.	Accrued retrospective premium due to ACA Risk Corridors	\$	□
Liabil	ties		
2.	Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors		2
Opera	tions (Revenue & Expense)		
14. C.	Effect of ACA Risk Corridors on net premium income (paid/received)		~
4.	Effect of ACA Risk Corridors on change in reserves for rate credits		ū.
20141	Risk Corridor Receivable as of 12/31/2015	\$	86,225
Amou	ints Received in 2016		8
20141	Risk Corridor Receivable as of 9/30/2016	\$	17,236

(3) Roll-forward of prior year ACA risk-sharing provisions for the following asset (gross of any nonadmission) and liability balances, along with the reasons for adjustments to prior year balance.

					- 1	Γ				Г	Diffe	ren	ice		Adjust	men	nts		- 8	Unsettled Bus Reporti		
		8.5	crued During Business W	ritte	n Before	Ye		s W		A	Prior Year accrued Less yments (Col 1- 3)	A	Prior Year Accrued Less syments (Col 2- 4)	Т	o Prior Year		Prior Year		B: Pric	Cumulative alance from or Years (Col 1 - 3 + 7)	Bal Prior	imulative lance from
		-	ecember 51 c	I W		-	3	ш	e Pilor I ear	⊢	5	⊢	6	-	Datances	_	8			9		10
		⊢	Receivable	⊢	2 Pavable	-	Receivable	⊢	Pavable	-	Receivable	⊢	Pavable	-	Receivable	-	Pavable	Ref	H	Receivable	-	Pavable
	Permanent ACA Risk Adjusts				Payaote		Receivable	_	Fayaote		Receivable	_	Payaore		Receivable		Fayable	Iver		Keceivable	_	rayaote
a	Premium adjustments	S	Frogram	5														A	2			
	receivable	•	555		1575 	*	95	1	U SP	*	- 2	*		*	(4)	•		2.335	Ž.	- 8	*	8
	<ol><li>Premium adjustments (payable)</li></ol>		3354		21,770,421		S2		21,615,269		2		155,152		(4)		(155,152)	В		3		59
	<ol> <li>Subtotal ACA Permanent Risk Adjustment</li> </ol>	\$	855	\$	21,770,421	\$	(E	\$	21,615,269	\$	2	\$	155,152	\$	(E)	5	(155,152)		\$	3	\$	8
b	Program  Transitional ACA Reinsurance	e Pr	одгаш																			
	Amounts recoverable for claims paid			\$	159	\$	15,148,573	\$		\$	(1,447,947)	\$	5)	\$	3,306,368	\$	1351	C	\$	1,858,421	\$	36
	<ol><li>Amounts recoverable for claims unpaid (contra</li></ol>		2,206,113		158		12				2,206,113		55		(2,206,113)		176	D		~		7/
	liability) 3. Amounts receivable relating to uninsured		100		123		92		(2)		2:		27		928		528	E		냋		28
	plans 4. Liabilities for contributions payable due to ACA Reinsurance –		(5)		1,605,516				1,605,516		Σ		51		953			F		8		23
	not reported as ceded premium																					
	<ol> <li>Ceded reinsurance premiums payable</li> </ol>		880		1,056,924		19		1,056,924		5.		-		( <del>*</del> )		8%	G		~		₹3
	Liability for amounts     held under uninsured     plans		1850 1850		5#6		18		15		8		*		(0)		888	H		8		₹:
	7. Subtotal ACA Transitional Reinsurance Program		15,906,739	5	2,662,440	\$	15,148,573	5	2,662,440	\$	758,166	5	26	\$	1,100,255	5	528		\$	1,858,421	\$	20
C	Temporary ACA Risk Corrid	ors I	Program																			
	Accrued retrospective     premium	\$	125	5	3775	\$	95	\$	9	\$	20	\$	8	\$	(5)	5	258	I	\$	3	\$	59
	<ol> <li>Reserve for rate credits or policy experience rating refunds</li> </ol>	3	575		1773		95		\$		8		38		(2)		24	1		š		ல
	Subtotal ACA Risk     Corridors Program	\$	828	\$	520	\$	( =	\$	=	S	2	S	29	\$	848	\$	725		\$	8	\$	27
d		\$	15,906,739	\$	24,432,861	\$	15,148,573	\$	24,277,709	\$	758,166	S	155,152	\$	1,100,255	\$	(155,152)		\$	1,858,421	\$	57

### 25. Changes in Incurred Claims and Claim Adjustment Expenses

As the unpaid claims and claims adjustment expenses liability includes various actuarially developed estimates, the Company's actual claims experience may be more or less than the Company's previously developed estimates. The Company's unpaid claims and claim adjustment expenses at December 31, 2015 decreased by \$6,494,809 in the following nine months and at December 31, 2014 decreased by \$6,062,116 in the following year for claims that had occurred on or prior to those balance sheet dates. These adjustments resulted from the Company's actual claims expenses related to prior years totaling less than the estimates previously made by the Company. These changes in reserves are generally the result of ongoing analysis of recent loss development trends. Adjustments of prior-year estimates may result in additional claims expenses or a reduction in claims expenses may be offset as the Company establishes its accrual for current-year claims expenses. No return premiums were due as a result of the adjustments in the claims liability. Adjustments made to the claims liability for unpaid claims processing expense during 2016 and 2015 were immaterial.

### 26. Intercompany Pooling Arrangements

There were no significant changes since December 31, 2015.

### 27. Structured Settlements

There were no significant changes since December 31, 2015.

### 28. Health Care Receivables

There were no significant changes since December 31, 2015.

### 29. Participating Policies

There were no significant changes since December 31, 2015.

### 30. Premium Deficiency Reserves

There were no significant changes since December 31, 2015.

### 31. Anticipated Salvage and Subrogation

There were no significant changes since December 31, 2015.

# PART 1 - COMMON INTERROGATORIES GENERAL

1.1			transactions requiring the filing of					Yes [	1	No [X]
1.2	DOSCORDO CONTRACTOR CO	A CONTRACTOR OF THE STATE OF TH	ary state?			<del>*************************************</del>		Yes [	]	No [ ]
2.1			his statement in the charter, by-la	ws, articles of incorporation, or d	eed of settlen	nent of the		Yes [	1	No [X]
2.2	If yes, date of change	·								
3.1	Is the reporting entity which is an insurer? _		Holding Company System consis	ting of two or more affiliated per	sons, one or r	more of		Yes [)	(]	No [ ]
	If yes, complete Sche	dule Y, Parts 1 and 1A.								
3.2	Have there been any	substantial <mark>changes in the</mark>	organizational chart since the price	or quarter end?				Yes [	1	No [X]
3.3	If the response to 3.2	is yes, provide a brief des	cription of those changes.							
4.1	Has the reporting enti	ty been a party to a merge	er or consolidation during the perio	d covered by this statement?				Yes [	]	No [X]
4.2		ne of entity, NAIC Compar esult of the merger or cons	ny Code, and state of domicile (us solidation.	e two letter state abbreviation) for	or any entity th	nat h <mark>a</mark> s				
			Annual Propagation	2	3					
			Name of Entity	NAIC Company Cod	State of I	Domicile				
6.1	If yes, attach an expla		ation of the reporting entity was m	ade or is being made	10.082		0.0000	1	2/31	1/2014
6.1			ation of the reporting entity was m nination report became available t				X.0000	1	2/3	1/2014
0.2	This date should be the	e date of the examined ba	alance sheet and not the date the	report was completed or release	d	g enaty.	- Variables	1	2/3	1/2014
6.3	or the reporting entity.	the latest financial examin This is the release date o	ation report became available to or completion date of the examinat	other states or the public from eition report and not the date of the	her the state e e examination	(balance		0	4/13	3/2016
6.4	By what department of	English and the Links								
	Oregon Insurance Div	rision	700 - 200	1913						
6.5	Have all financial state statement filed with D	ement adjustments within tepartments?	the latest financial examination re	oort been accounted for in a sub	sequent finan	cial	Yes [ ]	No [	1	NA [X]
6.6	Have all of the recomi	mendations within the late:	st financial examination report bee	en complied with?		<del></del>	Yes [X]	No [	]	NA [ ]
7.1	Has this reporting enti suspended or revoked	ty had any Certificates of a	Authority, licenses or registrations ity during the reporting period?	(including corporate registration	, if applicable	)		Yes [	]	No [X]
7.2	If yes, give full informa	ation:								
8.1	Is the company a sub-	sidiary of a bank holding c	ompany regulated by the Federal	20 100 100	_01000000	***************************************		Yes [	1	No [X]
8.2	If response to 8.1 is y	es, please identify the nam	ne of the bank holding company.							
8.3	Is the company affiliat	ed with one or more banks	s, thrifts or securities firms?					Yes [	]	No [X]
8.4	federal regulatory sen	rices agency [i.e. the Fede	he names and location (city and s eral Reserve Board (FRB), the Off Securities Exchange Commission	ce of the Comptroller of the Cur	rency (OCC),	the Federal				
	-									
		1	2 Lacation	3	4	5	6			
	Affil	1 iate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC			

3.1	are the senior uncers (principal executive united, principal milanual onice), principal accounting onice of cultioner, or persons perioriting similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?	Yes [X]	] No [ ]
	(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships.	8	
	(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;		
	(c) Compliance with applicable governmental laws, rules and regulations;		
	(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and		
	(e) Accountability for adherence to the code.		
9.11	If the response to 9.1 is No, please explain:		
9.2	Has the code of ethics for senior managers been amended?	Yes [ ]	] No [X]
9.21	If the response to 9.2 is Yes, provide information related to amendment(s).		
9.3	Have any provisions of the code of ethics been waived for any of the specified officers?	Yes [ ]	] No [X]
9.31	If the response to 9.3 is Yes, provide the nature of any waiver(s).		
	FINANCIAL		
10.1	Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?	Yes [X]	] No [ ]
10.2	If yes, indicate any amounts receivable from parent included in the Page 2 amount:\$		_10,446
	INVESTMENT		
	Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)	Yes [ ]	] No [X]
11.2	If yes, give full and complete information relating thereto:		
12.	Amount of real estate and mortgages held in other invested assets in Schedule BA:		
13.	Amount of real estate and mortgages held in short-term investments:		
14.1	Does the reporting entity have any investments in parent, subsidiaries and affiliates?	Yes [	] No [X]
14.2	If yes, please complete the following:		
	1 2 Prior Year-End Current Quarter Book/Adjusted Book/Adjusted Carrying Value Carrying Value		
	14.21 Bonds \$ \$		
	14.22 Preferred Stock \$		
	14.24 Short-Term Investments \$ \$		
	14.25 Mortgage Loans on Real Estate \$ \$		
	14.26 All Other		
	14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)		
	(Subtotal Lines 14.26) 14.28 Total Investment in Parent included in Lines 14.21 to 14.26		
	above \$ \$		
15.1	Has the reporting entity entered into any hedging transactions reported on Schedule DB?	Yes [ ]	] No [X]
15.2	If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?	Yes [ ]	] No [ ]

If no, attach a description with this statement.

16	For the reporting entity's se	ecurity lending progra				rent statement date:	•		0
		d/carrying value of re				Darte 1 and 2	- C		2
		ecurities lending repo			ica on Schedule E	E, I tale I tale 2	70		0
							(E)(11049)	30049	2:0)
17.	entity's offices, vaults or sa pursuant to a custodial agr	afety deposit boxes, we reement with a qualification of Critical Function	vere all stocks, ed bank or trus ions, Custodia	bonds and other t company in acc or Safekeeping	securities, owned ordance with Sec Agreements of the	throughout the current year held throughout the current year held tion 1, III – General Examination NAIC Financial Condition Examines		Yes [X]	No []
7.1	For all agreements that co	mply with the requirer	ments of the N.	AIC Financial Co.	ndition Examiners	Handbook, complete the following:			
			1		1	2			
		Name o	of Custodian(s)	)		Custodian Address			
	BNY	Mellon	amigraphic an			Center, Room 151-1035, Pittsburg			
7.2	For all agreements that do location and a complete ex		equirements o	f the NAIC Finan	cial Condition Exa	miners Handbook, provide the name	,		
		1	1	2	-	3			
	_	Name(s)		Location(s	3)	Complete Explanation(s)			
	Have there been any chan			e custodian(s) ide	entified in 17.1 duri	ing the current quarter?	<del>(2017–2</del> )	Yes [ ]	No [X]
	C3 1000			2	3	T .	12		
		1 Old Custodian		ustodian	Date of Change	4 Reason			
7.5	Identify all investment advi accounts, handle securities					at have access to the investment tity:			
		1	100 EST	100	2	3	Ĩ		
		Central Registration	on Depository	Nar	ne(s)	Address 777 E. Wisconsin Ave., Milwaukee	w		
		Not Applicable		Baird Advisors		53202-5391	, 11		
		S.				Į.			
	Have all the filing requirem	nents of the Purposes	and Procedur	es Manual of the	NAIC Investment	Analysis Office been followed?		Yes [X	] No [
10.2	ii iio, iist exceptions.								

### PART 2 - HEALTH

1. Operating Percentages:			
1.1 A&H loss percent.	_		92.2 %
1.2 A&H cost containment percent	=		4.3 %
1.3 A&H expense percent excluding cost containment expenses			20.6 %
2.1 Do you act as a custodian for health savings accounts?	_	Yes [ ]	No [X]
2.2 If yes, please provide the amount of custodial funds held as of the reporting date	5_		
2.3 Do you act as an administrator for health savings accounts?	_	Yes [ ]	No [X]
2.4 If yes, please provide the balance of the funds administered as of the reporting date	5_		

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE LifeWise Health Plan of Oregon, Inc.

# SCHEDULE S - CEDED REINSURANCE

1   2   3   4	Showing All New Reinsurance Treaties - Current Year to Date	es - Current Year to Date				
D Number   Date   Life & ANNITY — JFT   LATES	8	5	6 Type of	2	8 Certified	9 Effective Date
1.1TE & ANNITY — MRY-FILIATES 1.1TE & ANNITY — MRY-FILIATES 2.7	Effective Date	Domiciliary Jurisdiction	Reinsurance Ceded	Type of Reinsurer	Reinsurer Rating (1 through 6)	of Certified Reinsurer Rating
27						
27	LIFE & ANUITY - KON-AFFILIATES					
27	ACCIDENT & FIGHT STATES					
27	01/01/2016	ON	0TH/1/A	Authorized		
	O1/01/2016 SMISS RE LIFE & HLTH AMER INC.		0TH/6/A	Authorized		
	PROPERTY/CASUALTY — AFFILIATES					
	PROPERTY/CASUALTY - NON-AFFILIATES					
	Ť					
	†					
-						

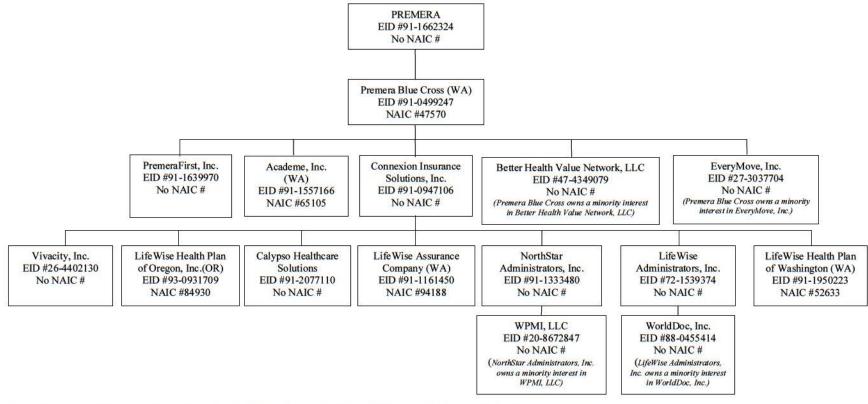
### SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

		1			cated by States	Direct Bus	siness Only			
	States, Etc.	Active Status	2 Accident & Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life & Annuity Premiums & Other Considerations	7 Property/ Casualty Premiums	8 Total Columns 2 Through 7	9 Deposit-Type Contracts
1.	AlabamaAL	N		Negri Neg		an Maran		i zamaza i	0	
	Alaska AK	N							0	
	ArizonaAZ	N	<del></del>						0	
	ArkansasAR	N		-1001					0	
	CaliforniaCA ColoradoCO	N	1000000	1000					0	
	Connecticut CT	N N							0	
	DelawareDE	N N				** *****	1.0000000000000000000000000000000000000		0	
	Dist. Columbia DC	N	14000000						0	
	FloridaFL	N							0	
11.	Georgia GA	N							0	
12.	Hawaii HI	N				70.00			٥	
1000	Idaho ID	L		**************		- H200		<del></del>	0	
	Illinois IL	N							0	
	IndianaIN	N		4.00					0	
1000	lowaIA	N N	75900000	7440 A		N 7888	- 000000000000000000000000000000000000	K - KUMBAN -	0	
10.00	Kansas	N				1000			0	
	Louisiana LA	N N				ARREN			0	300
1000	Maine	N N	1500000	150000 1500	na nasana	38/38		- 200000 c	0	600 - 600 -
	Maryland MD	N.							Ω	
	Massachusetts MA	NN	1000000	74-03		38. A40.8.		N N N N N N N N N N N N N N N N N N N	0	
	Michigan MI	N							0	
24.	MinnesotaMN	N			inie nomen				٥	
	Mississippi MS	N		1500		35/33	<u> </u>	777777	Ω	
1000	MissouriMO	N	110000	0.114.000			100000000000000000000000000000000000000		0	
	MontanaMT	N					<del></del>		0	<del></del>
	NebraskaNE	NN		-1000				30,000	0	
	New Hampshire	N_							0	
	New JerseyNJ	N N	720000	7440H 7440		18. 7550 K		N N N N N N N N N N N N N N N N N N N	0	
10000	New MexicoNM	N		1100					0	
	New York NY	N	1400000						0	
1,500	North CarolinaNC	N	1 10000000						٥	
35.	North Dakota ND	N							0	
36.	OhioOH	N	7455656	7400			100000000000000000000000000000000000000		0	
37.	OklahomaOK	N							0	
38.	Oregon OR		108,505,547						108 , 505 , 547	
	PennsylvaniaPA	N	1000000	1500		35/33/		77877	0	
100000000000000000000000000000000000000	Rhode Island RI	N	72/2012/	7450 K 7450		D. 7250A		W. W. W. W. W.	00	
10000	South CarolinaSC	NN							0	<del></del>
	South Dakota SD	N N							0	
	TennesseeTN TexasTX	N	1000000	11000					0	
	UtahUT	N.							0	
2000	Vermont	N		111000		111000			0	
2000	VirginiaVA	N				10 1000		2000000	0	
48.	Washington WA	N	12000000	2.00					0	
49.	West Virginia WV	N	1000000	11/01/		<u> </u>			٥	
4 3 300	Wisconsin WI	N	110,000	alius 1100				0 0000000	٥	
1200000	WyomingWY	N	<del></del>	-100-100					0	
4,000	American SamoaAS	N					<u> </u>		0	
	Guam GU	N	<del>                                     </del>						0	l
	Puerto RicoPR U.S. Virgin IslandsVI	N	750000000000000000000000000000000000000	7450 11 7450		-1. 7530 H			0	
	Northern Mariana Islands MP	N	110,000						0	7.00
	Canada	N							0	
	Aggregate other alienOT	XXX	0	0	0	0	0	و	0	0
	Subtotal	XXX	108,505,547	0	0	٥	0	0	108 ,505 ,547	0
60.	Reporting entity contributions for Employee Benefit Plans	XXX		100 m					0	
61.	Total (Direct Business)	(a) 2	108,505,547	0	0	0	0	0	108,505,547	0
58001	DETAILS OF WRITE-INS	XXX		14000		10000	1000 TOO		0.000	
58002	2									
58003	1	XXX	***************************************	<del></del>		10 1000	500000000000000000000000000000000000000			
		XXX	<del>                                     </del>						<b>-</b>	ļ
	Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	٥٥	0	0
roon	Totals (Lines 58001 through 58003	XXX	0	0	0	0	0		0	0

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer, (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state, (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and other Alien.

# SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 - ORGANIZATIONAL CHART



Note: Except where otherwise noted, each subsidiary shown above is 100% owned by its respective parent company.

# SCHEDULE Y PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Group Code	2 Group Name	NAIC Company Code	ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicy Traded (U.S. or International)	8  Name of Parent Subsidiaries or Affilates	9  Domiciliary Location	Relationship to Reporting Entity	11  Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13  If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/ Person(s)	15
			Andrew Co.	A.C. & Co. Co.						***************************************	Board of		Board of	
00962	Premera Blue Cross Group		91-1662324			N/A	PREMERA	WA	UIP	Board of Directors	Directors		Directors	0
00962	Premera Blue Cross Group	47570	91-0499247			N/A	Premera Blue Cross	WA	UIP	PREMERA.	Ownership	100.0	PREMERA	0
00962	Premera Blue Cross Group	00000	91-1639970	S-0-67	5	N/A	PremeraFirst, Inc.	WA	NIA	Premera Blue Cross	Ownership	100.0	PREMERA	0
-10000000						1777/00 - 1010/100 -	Connexion Insurance Solutions,			TO SERVICE LEGISLE FOR SERVICE SERVICE	V 5-1295 115 XV	s - AMERICAN		12/47 - 12/
00962	Premera Blue Cross Group	00000	91-0947106			N/A	Inc.	WA	UDP	Premera Blue Cross	Ownership	100.0	PREMERA	0
00962	Premera Blue Cross Group	65105	91-1557166		7.77.70	N/A	Academe, Inc		IA	Premera Blue Cross	Ownership	100.0	PREMERA.	0
		d C 2000 0 14	athleton outs 10	4049 000000			Better Health Value Network,	Se Military		0.0000000000000000000000000000000000000	UBBRICE ACC	6-MACHMANIAN	MONORAL SAN	
00962	Premera Blue Cross Group	00000	47 -4349079			N/A	LLC	WA	NIA	Premera Blue Cross	Ownership	20.0	PREMERA	0
00962	Premera Blue Cross Group.	00000	27 - 3037704			N/A	EveryMove, Inc	DE	NIA	Premera Blue Cross.	Ownership	22.4	PREMERA	0
										Connexion Insurance			01/10/19/19/19	38 4.1
00962	Premera Blue Cross Group	00000	26-4402130			N/A	Vivacity, Inc.	WA	NIA	Solutions, Inc	Ownership.	100.0	PREMERA	0
							LifeWise Health Plan of Oregon,			Connexion Insurance			200000000000000000000000000000000000000	
00962	Premera Blue Cross Group	84930	93-0931709	1 1		N/A	Inc	OR	RE	Solutions, Inc.	Ownership	100.0	PREMERA	0
			100000000000000000000000000000000000000		: 9000					Connexion Insurance				
00962	Premera Blue Cross Group	00000	91-2077110			N/A	Calypso Healthcare Solutions	WA	NIA	Solutions, Inc.	Ownership	100.0	PREMERA	. 0
3414000 - S			7 1 1 2 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1			VM354400	38.36.36.36.36.36.36.36.36.36.36.36.36.36.	30830399		Connexion Insurance			Allegation at a	·
00962	Premera Blue Cross Group	94188	91-1161450	4000		N/A	LifeWise Assurance Company	WA	I A	Solutions, Inc.	Ownership	100.0	PREMERA	0
00002	7700074 5740 07000 0700						arrow room arrow company			Connexion Insurance				
00962	Premera Blue Cross Group	00000	91-1333480	1 1		N/A	NorthStar Administrators, Inc	WA	NIA	Solutions, Inc.	Ownership	100.0	PREMERA	0
00002	Trombra brac oross oroap		01 1000100		2000		northotal naminotrators, mot	- W		Connexion Insurance	- Omnoroning		T FILMLIVE	**************************************
00962	Premera Blue Cross Group	00000	72-1539374			N/A	LifeWise Administrators, Inc	WA	NIA	Solutions, Inc	Ownership	100.0	PREMERA	0
00002	Tomora brac oross orosp		72 1000074				LifeWise Health Plan of			Connexion Insurance	Omicronip		T NUMET VC	
00962	Premera Blue Cross Group	52633	91-1950223	Anna Anna Anna		N/A	Washington	WA	IA	Solutions, Inc	0wnership	100.0	PREMERA	0
00002	riomera blue oross oroup	- CC 000	01-1000220	1			addining to it.	1		NorthStar Administrators.	omicronip		T SLINLIVL	
00962	Premera Blue Cross Group	00000	20-8672847			N/A	WPM1, LLC	DE	NIA	Inc.	Ownership	20.3	PREMERA	n
00962	Premera Blue Cross Group	00000	88-0455414	100-		N/A	WorldDoc. Inc.	NV	NIA	LifeWise Administrators, Inc	. Ownership		PREMERA	-1
00002	rielie la biue cioss cioup		00-04-04 14		i lonio	W/A	NOT TOUCH, THE			LITERIOS AUMITITISTICATORS, INC	- Omicionip	13.3	T NUMETOL	
	<del>20 - 101010 - 201010 - 201010</del>						<del></del>		<del> </del>		<del>                                     </del>			
	5	*	ā	72 III		Ÿ.		7	52	70	92	37	Ā	*

Asterisk	Evaluation
ASIGISA	Explanation

### SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory guestions:

	RESPONSE
1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
Explanation:	
f.s	
Bar Code:	

### **OVERFLOW PAGE FOR WRITE-INS**

### MQ003 Additional Aggregate Lines for Page 03 Line 23.

2000 00 200	1 Covered	2 Uncovered	3 Total	4 Total
2304. Advance Deposit			0	1,757,997
2397. Summary of remaining write-ins for Line 23 from Page 03	0	0	0	1,757,997

### **SCHEDULE A - VERIFICATION**

Real Estate		
	1 Year To Date	Prior Year Ended December 31
Book/adjusted carrying value, December 31 of prior year	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.		0
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		0
4. Total gain (loss) on disposals	nonce and a second control of	
5. Deduct amounts received on disposals		
Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other-than-temporary impairment recognized	DE MANY MANY MANY	
8. Deduct current year's depreciation		
Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8).	0	
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)	0	

### **SCHEDULE B - VERIFICATION**

Mortgage Loans		
500 A 60 A 60 C 70 A 60 C	1 Year To Date	2 Prior Year Ended December 31
Book value/recorded investment excluding accrued interest, December 31 of prior year	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals.		
7. Deduct amounts received on disposals.		
Deduct amortization of premium and mortgage interest points and commitment fees		
Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other-than-temporary impairment recognized		, , , , , , , , , , , , , , , , , , ,
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)	0	
14. Deduct total nonadmitted amounts	0	
15. Statement value at end of current period (Line 13 minus Line 14)	0	The second second

### **SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets		
	1 Year To Date	2 Prior Year Ended December 31
Book/adjusted carrying value, December 31 of prior year	0	
Cost of acquired:		
2.1 Actual cost at time of acquisition	2002 2003 2003	100000
2.2 Additional investment made after acquisition		<u> </u>
Capitalized deferred interest and other		
4. Accrual of discount		2 120.00 120.10.00
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals.		
Deduct amortization of premium and depreciation		
Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	
12. Deduct total nonadmitted amounts	0	
13. Statement value at end of current period (Line 11 minus Line 12)	0	

### SCHEDULE D - VERIFICATION

	1	2 Prior Year Ended
	Year To Date	December 31
Book/adjusted carrying value of bonds and stocks, December 31 of prior year	74,911,275	68,645,085
Cost of bonds and stocks acquired		26,265,930
3. Accrual of discount	140,428	147 ,715
4. Unrealized valuation increase (decrease)	22.876	(52,499
5. Total gain (loss) on disposals	1,863,476	29,679
Deduct consideration for bonds and stocks disposed of		19,608,832
7. Deduct amortization of premium	282,543	515,803
Total foreign exchange change in book/adjusted carrying value		
Deduct current year's other-than-temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	39,534,582	74,911,275
11. Deduct total nonadmitted amounts	0	
12. Statement value at end of current period (Line 10 minus Line 11)	39,534,582	74.911.275

SCHEDULE D - PART 1B
Showing the Acquisitions, Dispositions and Non-Trading Activity

		During the Current Quar	ter for all Bonds and Prefe	rred Stock by NAIC Design				
NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	51,265,283	29,565,185	51,233,251	548,687	49,002,659	51,265,283	30,145,904	67,110,923
2. NAIC 2 (a)	9,519,201		1,418,519	(9,648)	9,535,705	9,519,201	8,091,034	10,208,930
3. NAIC 3 (a)	1,411,720		194,005	.14,892	2,081,696	1,411,720	1,232,607	1,087,976
4. NAIC 4 (a)	356,957			1,480	325,301		358,437	305,613
5. NAIC 5 (a)	0				0	0	0	0
6. NAIC 6 (a)	611,776			(611,776)	614,907	611,776	Ö	Ö
7. Total Bonds	63, 164, 937	29,565,185	52,845,775	(56,365)	61,560,268	63,164,937	39,827,982	78,713,442
PREFERRED STOCK								
8. NAIC 1	0		1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000	- 3000 2000 5	0	0	0	0
9. NAIC 2	0				0	0	0	0
10. NAIC 3	0				0	0	0	0
11. NAIC 4	0				0	0	0	0
12. NAIC 5	0				0	0	0	0
13. NAIC 6	0				0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds & Preferred Stock	63, 164, 937	29,565,185	52,845,775	(56,365)	61,560,268	63, 164, 937	39,827,982	78,713,442

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$;	NAIC 2 \$
NAIC 3	

### **SCHEDULE DA - PART 1**

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year To Date	Paid for Accrued Interest Year To Date
9199999	293,400	xxx	293,400	0	

### **SCHEDULE DA - VERIFICATION**

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
Book/adjusted carrying value, December 31 of prior year	3,802,167	530 ,404
Cost of short-term investments acquired	37,353,281	26,878,498
3. Accrual of discount		0
Unrealized valuation increase (decrease)		0
5. Total gain (loss) on disposals		0
Deduct consideration received on disposals	40,862,048	23,606,735
7. Deduct amortization of premium		0
Total foreign exchange change in book/adjusted carrying value		0
Deduct current year's other-than-temporary impairment recognized		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	293,400	3,802,167
11. Deduct total nonadmitted amounts		0
12. Statement value at end of current period (Line 10 minus Line 11)	293,400	3,802,167

Schedule DB - Part A - Verification

NONE

Schedule DB - Part B - Verification

NONE

Schedule DB - Part C - Section 1

NONE

Schedule DB - Part C - Section 2

NONE

Schedule DB - Verification

NONE

Schedule E - Verification

NONE

Schedule A - Part 2

NONE

Schedule A - Part 3

NONE

Schedule B - Part 2

NONE

Schedule B - Part 3

NONE

Schedule BA - Part 2

NONE

# Schedule BA - Part 3 NONE

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE LifeWise Health Plan of Oregon, Inc.

SCHEDULE D - PART 3
Show All Long-Term Bonds and Stock Acquired During the Current Quarter

7 8 9 10	Actual	sp		1 192 18 175 000 1 1938 1	11 550 000 10			125,000	1.807.777 1.675,000 10.737 1	14,275,000		296.730 300.000 1fF	425,000	300.000	2,764 2,669 3 1		15,436,515 15,302,669 30,182 XXX	15,436,515 15,302,669 30,182 XXX	XX 39,182
2	•	ired Name of Vendor		16 Goldman Sachs					6 VARIOUS			Γ			15 JP MÖRGAN ÆCURITIES INC.	1			
3	•	Foreign Date Acquired		09/28/2016	91/26/2016	06/23/20	09/30/2016	08/17/20	08/31/2016			R 07/21/2016	08/01/20	R 08/11/20	12/09/2015				n number of such issues
2	•	Description	nents	INITED STATES TREASURY	TREASI IRV	NITED STATES TREASURY	NITED STATES TREASURY	WITED STATES TREASURY	UNITED STATES TREASURY	0599999 - Bonds - U.S. Governments	Bonds - Industrial and Miscellaneous (Unaffiliated)	ANZ NEW ZEALAND (INTL) LTD (LONDON BRANC	ORDO 14REV2 A - ABS	ESTPAC BANKING CORP	FREDD IE MAC G60080 3.500% 06/01/45	3899999 - Bonds - Industrial and Miscellaneous (Unaffliated)	8399997 - Subtotals - Bonds - Part 3	als - Bonds	999999 Totals  1 For all common stock bearing the NAIC marker indicator "It" provide the number of such issues
	COSIP	Identification	Bonds - U.S. Governments	912810-RB-6	İ		ı	912828-NT-3	Ш	ĕ	Bonds - Industrial and	00182F-BA-6			31335A-CR-7 FF	389999 - Bonds	8399997 - Subtota	8399999 - Subtotals - Bonds	999999 Totals

### **SCHEDULE D - PART 4**

					01-		T	SCHE				1 D		22						
4	2	3 4	5	6	Sno	w All Long-	erm Bonds	and Stock S	ola, Redeeme		Book/Adjusted Ca	of During the C	urrent Quart	16	17	18	19	20	21	22
		1		- 9	60	ઁ	•					30.00.0000		1 .0	216	10	10	20	41	
CUSIP Identi- fication	Description Governments	F o r e i g Disposal n Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/	Current Year's Other Than Temporary Impairment Recognized	14  Total Change in B.A.C.V. (11+12-13)	Total Foreign Exchange Change in B/A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Desig nation or Marke Indicat (a)
	GN 599817 - RNBS	09/01/2016_	Ad just ment	12.05(0.00)	0					[1]		(1)				0			01/15/2033	
36201F-W-3	GN 582161 - RMBS	. 09/01/2016	Ad just ment		0		0	0		2		2	0	2		(2)	(2)		_06/ 15/2032.	1
6225B-19-3	GN 780886 - RMBS GN 781636 - RMBS	09/01/2016_ 09/01/2016	Adjustment		0		0			1		3		3	9	(3)	(3)	(1)	10/ 15/2024 _07/ 15/2033	-
12810-RB-6	UNITED STATES TREASURY	09 / 29 / 2016	Go Idnan Sachs		197,209					(2)		(2)	0	197,216	هٔ ۔	(7)	(7)	1.941	05/15/2043	1
12828-2A-7	UNITED STATES TREASURY	09/29/2016	VARIOUS		11,473,242	11 ,550 ,000	11 ,482 ,633	0	o	25	0		0	11,482,658	0	(9,416)	(9,416)	.10 ,424	_08/15/2026	
	UNITED STATES TREASURY UNITED STATES TREASURY	07 /21/2016 08 /15/2016	VARIOUS		1,103,543	1 ,075 ,000 1 ,025 ,000	1,064,842	861,263		916			- 0	1,065,758	0	37,784	37,784		_03/31/2022_ _08/15/2020_	
12828-ST -8.	UNITED STATES TREASURY	07 / 13 / 2016	NORGAN STANLEY CO.		785,502	775 ,000	780 ,512	.,,004,788		(208)		(208)	0	780,304	0	5,198	5,198	1.974	04/30/2019	
	UNITED STATES TREASURY	08/01/2016	VARIOUS		996,482	925,000	997 ,251		9	( 175)	0	(175)	0	996,993		(512)	(512)	5,473	_05/15/2024	1.
	Bonds - U.S. Governmer	nts	A C C C C C C C C C C C C C C C C C C C		15,646,178	15,525,000	15,587,779	2,027,630	0	(4,063)	0	(4,063)	0	15,583,097	0	63,077	63,077	60,102	XXX	XXX
Bonds - All	Other Governments OCRPORACION AND INA DE	200	ř		1			100	8	T ·	-	T.	ř	1	2	4		ř .		7
19868-BS-4_	FONENTO (CAF)	R_09/22/2016_	VARIOUS		883,564	795,000		800,998	و	(548) (548)	0	(548)	0	800,450	هـــــــــــــــــــــــــــــــــــــ	83,114	83,114		_06/ 15/2022	1FE
0065 J-AB-1_	KOREA EXPRESSMAY CORP	R_09/22/2016_	HSBC SECURITIES		450,675	450 ,000	448 ,821	449,473	<i>ق</i>	293	0		0	449,766	٥	909	909	6,683	_04/28/2017_	1FE
1086Q-AS-7	MEXICO (UNITED MEXICAN STATES)	.R. 08/22/2016	HSBC SECURITIES.	11-35000	452,199	325,000	349,993	345,910		(357)		(357)	0	345,554	0	106,645	106,645		_09/27/2034_	2FE
	Bonds - All Other Govern				1,786,438	1,570,000	1,602,480		0	(612)	0	(612)	0	1,595,770	0	190,668	190,668	51,972	XXX	XXX
3onds - U.S	Special Revenue and Specia		nt and all Non-Guaranteed	Obligations of A					ubdivisions										0110110000	
	RMBS - FH G04222 RMBS - FH G04834		VARIOUS		216,547	4,971	5,264	214.238	0	(514)		(283)		213.724	9	2,823	2.823	7. 151	04/01/2038 _03/01/2036.	-
128N6-YJ-1	RNBS - FH G04913	09/01/2016_			184,469		181 ,225	180,858		(470)		(470)	0	180,388	0	4,082	4,082	6.088	03/01/2038	
128NA-BS-7	RMBS - FH 607849	09/01/2016	Di rect		16,402	16 ,402	17,123		مِــــــــــــــــــــــــــــــــــــ	(715)		(715)	0	16,402	0	0	0	259	_05/01/2044	1.
	RNBS - FH 608372 FH 608597 - RNBS	09/01/2016_ 09/01/2016_	Di rect		14,284	14 ,284	15,179	15,157		(873)	0	(873)	<u></u>	14,284		166	0		11/01/2039_	
128P7-TC-7	RMBS - FH C91447	09/01/2016			668,108	628 , 283	663,231	662,635		(2,719)	0	(2,719)		659,916	0	8,192	8,192	16.043	_05/01/2032	-
128P7-TY-9	RMBS - FH C91467	09/01/2016	Di rect		.17,086	17 ,086	18,210	0		(1, 124)		(1,124)	0	17,086	0	0	0	81	_07/01/2032	1
	RMBS - FH J19197	09/01/2016_ 09/01/2016_	Di rect		25,049 8,914	25,049 8,914	26,180	26,004	a	(954)	L	(954)		25,049 8,914		<u> </u>	0		05/01/2027	
12926-77-2	RMBS - FH C00647 RMBS - FH C00760	09/01/2016	Di rect		5,219	5,219	9,164 5,367	9,119 5,345	0	(126)	0	(126)		5,220	0	0		87	_09/01/2028 05/01/2029	1
1292H-KJ-2_	RMBS - FH C01197	09/01/2016	Di rect		1,271	1,271	1,266	1,267	فَف	5	0	5	0	1,271	ف		0	31	07/01/2031	
1292K-2X-4_	RMBS - FH C03490	09/01/2016			436,892	400 , 163	418 ,665	417,954		(1, 107)	0	(1,107)		416,847	0	20,045	20,045	13 ,092	_08/01/2040_	-1
12928-A2-3	RNBS - FH C09015 FH C09057 - RNBS	09/01/2016	Di rect		29,152	29,152	30,419	30,353	0	(1,200)		(1,200)		29,152	- 0	121	121		10/01/2042_ 02/01/2044_	
	FH A91387 - RNBS	09/01/2016	Ad just ment	40000	0	0	0	0		(246)		(246)	0	246	0	246	246		_03/01/2040.	
12941-3N-5	RMBS - FH A93505		VARIOUS		426,686	393 ,949	420 ,091	419,293	مم	(3, 643)	0	(3,643)	0	415,651	0	11,036	11,036		08/01/2040	1
	RNBS - FH Q08998	09/01/2016 09/26/2016			.34,244 497,923	34 ,244 479 ,899	36,267 505,506	36,180 504,157	9	(1,936)	0	(3,741)		34,244 500,417	0	(2, 493)	10.400	610	06/01/2042 08/01/2042	
	RMBS - FH V60960	09/01/2016		1147000	.15.782	15 ,782	16,487	16,480				(698)		15,781	0	(2,490)	(2,493)		10/01/2030	
1335 A-CR -7	RMBS - FH G60080		Di rect		.11,338	11,338	11,932	11,828	٥	(698) (594) (759)		(594)	0	11,338	0	0	0	159	06/01/2045	1
	RMBS - FH G60551		Di rect		9,674	9,674	10,433	6,594				(759)		9,674	0	<u> </u>	0		_04/01/2046	
	CNO / FONDS - FN G 11880	09/01/2016	Di rect		6,722	6,722 9,030	6,504 9,323	9,141		128	0	(112)		6,723 9,030	0	- 0	0		12/01/2020 _09/25/2020.	
1359B-2D-8_	CNO/RMBS - FNR 09329 Z	09/01/2016	VARIOUS		370,186	334 ,674	351,617	344,245	قـــــــــــــــــــــــــــــــــــــ	(1,696)		(1,696)	0	342,549	<u> </u>	27,637	27,637		_08/25/2023_	1
1368H-K8-5_	RMBS - FN 190319	09/01/2016	Di rect		308	308	321	319	o	(11)	0	(11)		308	0	0	0	8	_02/01/2032	
1371H-LU-0	RMBS - FN 252439 RMBS - FN 252570		Di rect		107 402	107	112 394	111		(3)		(3)		107	<u>-</u>	<u> </u>		3	_05/01/2029_	1
137B0-3W-2	CNBS - FHNS K502 A2		VARIOUS		483,000	482 ,387	483 ,669	0	ه	(802)		(802)	0	482,867	ŏ	133	133	3,326	_08/25/2017	1
1385 X-FJ -1	FN 555569 - RNBS	09/01/2016			0		0	0		0		0	0	0	0	(1)	(1)	0	_05/01/2016.	
	RMBS - FN AH0621 RMBS - FN A17784	09/01/2016_ 09/01/2016			23,378 420,042	23 ,378 385 ,088	23,634 409,065	23,622		(244)	<u>-</u>	(244)		23,378	0	12,927	12.927	12 594	_01/01/2041.	1
138AS-R5-3	RMBS - FN AJ1407	09/01/2016		102000	1.017,338	947 .475	995 293	994,082		(2,967)	0	(2,967)		991,116	0	26,223	26,223		_09/01/2041	
138E0-RK -7	RMBS - FN AJ7689		VARIOUS		891,656	830 142	881 ,239	879,483	ة	(2.597)		(2,597)	0	876,886		14,770	14,770		_12/01/2041	1
138EL-5L-3.	RNBS - FN AL4450 RNBS - FN A02970	09/26/2016_ 09/01/2016_			397,810 604,601	365 ,633 581 ,525	392 ,770 600 .970	392,117		(2,934)	ŏ	(2,934)	<u> </u>	389,183		8,627 5,402	8,627 5,402		12/01/2043 _05/01/2042.	
	RNBS - FN A04137		Di rect	100000	35,821		37,601	37,524		(642)		(642)		35,822	0	5,402	5,402	627	_06/01/2042.	
138WF-VF-0_	RNBS - FN AS6013	09/01/2016	Di rect		23,054	23,054	24,549	24,545	ق	(1, 491)	0	(1,491)	0	23,054		0	0	443	10/01/2045	1
138WN-XL -0_	RMBS - FN AT0682	09/01/2016	Di rect		14,041	14,041	14,125	14,124		(83)		(83)	0	14,042	0	0	0	199	04/01/2043	1
	ONO /RMBS - FrM 0406 A6 RMBS - FN 725589	09/01/2016_ 09/01/2016	Di rect	-	6,451	16 ,451 6 ,260	16,379			63	0		9		0	1 0		483 126	_07/25/2034 _07/01/2034	1
1402D-JS-0	RMBS - FN 725773	09/01/2016	Di rect	100000	7.634	7 .634	8.084	8.051		(417)	0	(417)	0	7.634			0	178	_09/01/2034	
1402Q-Y3-9.	RNBS - FN 735230	09/01/2016	VARIOUS		242,539	215 ,294	221 ,762	221,264	ق	(287)		(287)	0	220,977	مَــــــــــــــــــــــــــــــــــــ	21,563	21,563	8,628	_02/01/2035	1
	RNBS - FN 735224				6,149	6,149	6,104	6,106	مِم	42	0 0	(368)		224,606	ŏ	0	0 		_02/01/2035	
14030-81-0	RMBS - PN 735925 PN 745275 - RMBS	09/01/2016			239,704	216 ,378	225 ,574	224,974		(368)		(143)		/142	,	143	143		02/01/2036	

### SCHEDULE D - PART 4

					Sho	w All Long-1				ed or Otherwis			urrent Quarte	ar .						
1	2	3 4	5	6	7	8	9	10	oiu, Reuselik		Book/Adjusted Ca		un ent Quart	16	17	18	19	20	21	22
		F	~	45 46 65 7			47.740	5.059	11	12	13	14	15		533	***	1/0A/12	01	13.00	NAIC Desig-
CUSIP Identi- fication	Description	e i g Disposal n Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B/A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	nation or Marke Indicate (a)
31407Y-R6-8 31416Y-LV-4	FN 844809 - RNBS	09/01/2016	Di rect		0	41 ,131	42,876	42,734	0	(1,603)					8	(26)	(26)	831	_01/01/2035	
	RNBS - FN MA0975	09/01/2016_ 09/01/2016			26,027 23,428	26 ,027 23 ,428	27,202 24,325	0	<u>-</u>	(1, 176)		(1,176)	<del></del>	26,027 23,428			<u>-</u>	192		-1-
31419B-BT -1	RNBS - FN AE0949	09/01/2016	Direct		50,200	50,200	51,652	51,620	ە	(1,420)		(1,420)	0	50,200	ە	0	ŏ	941	_02/01/2041_	
31419B-CT -0	RNBS - FN AE0981_ SOUTH CAROL INA ST HSG F IN	09/01/2016_	VARIOUS		623,770	590 ,509	629,907	628,309	٥	(1,882)	0	(1,882)		626,427	0	(2,657)	(2,657)		_03/01/2041	1
	& DEV AUTH MTG	07 /01/ 2016			.25,000	25,000	25,625	25,623	ه	(10)		(10)	0	25,612	0	(612)	(612)	983	_07/01/2037	1FE_
3199999 -			al Assessment and all Non- s of Governments and Their																	
	Subdivisions	s and Admidities	s or Governments and Their	PORUGAI	8,229,800	7 ,723 ,633	8,123,950	7,563,596	0	(46,855)	0	(46,855)	0	8,056,362	0	173,445	173,445	219,338	XXX	XXX
Bonds - Indu	ustrial and Miscellaneous (	Unaffiliated)																		
00182E-BA-6	ANZ NEW ZEALAND (INTL) LTD (LONDON BRANC	R 09/22/2016	Narket Axess	13/5/13/5	298,902	300,000	299 ,730	0	٥	9		و	0	299,739	0	(837)	(837)	1,045	_07/28/2021_	1FE_
00184A-AC-9	AOL TIME WARNER INC.	08/22/2016_	WELLS FARGO ADVISORS LLC (CHARLOTTE, NC).		601,286		402 ,141		ف	(40)		(40)		401,862	ه	199,424	199,424	27 ,905	_04/ 15/2031	2FE_
	ANADARKO PETROLEJN CORP	08/17/2016	FIRST TENNESSEE SECURITIES OORP.		193,375	175 ,000	210 ,639	198,365	ه	(4, 158)			0	194,207	0	(832)	(832)	8,345	_06/ 15/2019	3FE
5578B-AB-2	BPCE SA	.R08/22/2016_ 09/01/2016	Goldman Sachs		561,539 13,663	550 ,000 16 ,280	549 ,126 14,401	549,473 14,401	<u> </u>	113		113		549,586 18,659		11,953	11,953	9,740	12/10/2018	1FE_
2624B-AC-0	CNO/RMBS - BOAA 0511 1C4_ CONN 12CRE1 A3 - CNBS.	08/23/2016	DEUTSCHE BANK ALEX BROWN		539,082	500,000	532 480	519,258		(2,052)				517,206	0	21,876	21,876	12 .387	05/17/2045	1FN
2625G-AC-8	CNOOC FINANCE (2013) LTD.	R08/22/2016_	UBS SECURITIES LLC		255,153	250,000	224 ,323	228,909	مِــــــــــــــــــــــــــــــــــــ	1,602			0	230,512	0	24,641	24,641	5,958	05/09/2023_	1FE
	CNO /RINBS - CNL 0610 AF3 CNO /RINBS - CNL 0412 AF6				.11,350	14 ,388	9,930	9,930	0	(20,517)		(20,517)		(10,586)	0	21,937	21,937		12/26/2035 03/25/2035	1FN.
2667G-JD-6	CNO /RMBS - CWALT 051108		Direct	- Materia	8.328	0.200	042-96-222000-2220-262000	9.044		618		618		1.1.C.X.C. 109100019	0	(1, 204)	7 + 20 41	45	A DESCRIPTION OF THE PROPERTY	404
2668E-AJ-6	CNO/FONDS - CWALT 06J5 3A1	09/01/2016_ 09/01/2016_		7850000	9,081	9,268 9,081	8,914 8,853	8,914 8,853		(40)		(40)		9,531 8,812	0	269	(1,204)	(237	06/25/2035_ 07/26/2021_	1FN
3974L-AC-2	AFIN 154 A3 - ABS	08/22/2016	NITSUBISHI UFJ SECURITIES_	7000	326,625	325 ,000	325,914	0	هِ	(120)		(120)	0	325,794	0	831	831	2,065	03/20/2020_	1FE_
	ABS - CHAIT 161 A CSFB-053-327 - CNO/RNBS	09/01/2016	JP MORGAN SECURITIES INC Adjustment		325,305	325 ,000	325 ,000			26		26		325,000	0	305	305	018	05/ 17/2021 07/ 25/2035	1FE_
3305Y-AD-1	DBUBS 11LC3 A4 - CNBS	09/22/2016_	DEÚTSCHE BANK ALEX BROWN BNP PARIBAS SEC BOND, NEW		552,695	500,000	588 ,438	550,555	فه	(7 , 128)	0	(7 ,128)	0	543,427	٥	(26) 9,268	9,268	18 ,710	_08/12/2044	1FNL
34530N-AA -5 34530 <b>V</b> -AA -5	FORDO 14REV2 A - ABS FORDO 15REV2 A - ABS		YORK.  JP MORGAN SECURITIES INC.	110000	433,666 384,858	425 ,000 375 ,000	434 ,579 381 ,563	0	و فـــــــــــــــــــــــــــــــ	(430)		(430) (490)		434,149 381,072		(483)	(483) 3,786	1,964 3,355	04/ 15/2026_ 01/ 15/2027_	1FE
6159 J-CY -5 0429 C-60 -8.	ABS - GENNT 123 A	07/11/2016 R 08/22/2016	BARCLAYS CAPIFIXED INCOME, NEW YORK. PERSHING LLC.		725,453 318,071	725 ,000 275 ,000	725 ,312 253 ,989	725,210 261,763	<u>م</u> ــــــــــــــــــــــــــــــــــــ	(93)		(93)	0	725,117 263,212		336 54,858	336 54,858	3,708		1FE
14841P-AA-3		R_08/22/2016_	MERRILL LYNCH PIERCE FENNER		316,715	275 ,000	311,083	289,534	فـــــــــــــــــــــــــــــــــــــ	(2,646)	0	(2,646)	0	286,888	م	29,827	29,827		200000000000000000000000000000000000000	1FE_
46627N-CU-9	CNO/RNBS - JPALT 06A1A 2A1	09/01/2016	Di rect		.14,777	16 ,888	10,506	10,506	مم	13,379		13 ,379	0	23,885	٥	(9, 108)	(9,108)	181	_03/25/2036_	1FNL
6629D-AN-5	CNO/RNBS - JPNNT 06A7 24R CNO/RNBS - JPNNT 07A2 2A3	09/01/2016	Di rect		.19,346	20 ,612 36 ,921	13,346	13,346		8,529 10,347	ļ	.8,529		21,874 38,616		(2,529)	(2,529)	237 495	_01/25/2037 _04/25/2037_	1FN_
46639Y-AQ-0	LA CATASE CENTRALE	08/18/2016	LINOT TEMPESSEE SERVICE LIES		1,054,807	000, 000	1,029,992	1,022,498	ق	(1,945)		(1,945)	o	1,020,555		34,254	34,254	21 ,550	_04/ 17/2046	IFN
50248W-AC-0 505861-AC-8	DESJARD INS DU QUEBEC	I 08/22/2016 R 09/28/2016	CORP.		350,665 844,039	350,000	350 ,354	671.050	هِم	(71)		(71)	<u></u>	350,283		382 172,936	382 172,936	2,456	_09/ 12/2017 _07/ 15/2036.	1FE_ 2FE
576339-AU-9	MOCT 161 A - ABS	A 08/24/2016	HSBC SECURITIES	11/2/11/11	325,660 747,630	675 ,000 325 ,000	670 ,457 325 ,000	671,042		<u></u>			0	671,103 325,000	0	680	660	1,402	_09/23/2019_	1FE
59217G-AX -7	NETLIFE GLOBAL FUNDING 1. RGS (18M) FUNDING	09/22/2016_	VARIOUS	1100000	747,630	725 ,000	724 ,630	724,729	<del>د</del>	24		24		724,753	ō	22,877	22,877	25 ,402	_01/10/2023	1FE_
	CORPORATION CNO /RNBS - RANC 052 AF6		Ad just ment		6,193	6,193	5.998	0 5,998	o	(1)		(1)		6,193		0	0	(315		2FE_
759950-6A-0	CNO /RMBS - RANC 054 A6	09/01/2016	Di rect	100000	28,130	28 130	26,556	26,556	رر	1,574		1,574		28,130	0				_02/25/2036	1FN
60985-5U-1	CNO/RNBS - RANC 054 A6 CNO/RNBS - RANP 04SP1 A14 CNO/RNBS - RASC 03KS9 A16	09/01/2016	Di rect		1,343	1 ,343 10 ,724	1,343	1,341		3		3 494		1,343		(158)	0 (158)	31 217	_08/25/2027 _11/25/2033	1FN
3051G-AC-2	SKANDINAVISKA ENSKILDA	R 08/22/2016	FIRST TENNESSEE SECURITIES		458,460		10,230		٥	494		43		449,821	0	8,639	8,639	9,797	_03/25/2019	1FF
	to part and a second	CO TO CHANGE	SG AMERICAS SECURITIES,		73 2017401251	POTCH STYLLOSSESS	COUTS STATES SERVICE	Company of the Compan		(F 222)		45.000		Section Presidente		The trought of the	- tro	0 (380)(00)	A CONTRACTOR OF THE PARTY OF TH	45
3366R-AA-0 92922F-ZY-7	SOCIETE GENERALE CNO/RNBS - WANU 04CB4 21A	_R09/23/2016_ 09/01/2016_	Direct		568,580 16,087	500 ,000 16 ,087	568,665 16.077	539,445 16,077	9	(5,089)		(5,089)		534,356		34,224	34,224	24 ,772	04/ 15/2021_ 12/ 26/2019	1FE_
	WFCN 1206 A4 - CNBS	09/22/2016	Citigroup (SSB)		536,035	500 ,000	504 ,978	502,969	ف	(454)		(454)	<u>آ</u>	502,515	مَــــــــــــــــــــــــــــــــــــ	33,520	33,520		_04/ 17/2045	1FN
)2939F-AU-3	WFRBS 14C21 A5 - CMBS	08/18/2016	WELLS FARGÓ ADVISORS LLC (CHARLOTTE, NC)	150000	991,828	900,000	926,945	923,534	0	(1,667)	0	(1 ,667)	0	921,867	0	69,961	69,961	24 ,091	_08/ 16/2047	1FN
961214-CY-7 574599-80-7	WESTPAC BANKING CORP	.R08/22/2016_	FENNER_ Redemption_		300,330 (14,719)	300,000	299 ,946	0	م	(202)		0 (202)	0	299,946 (202)	0	384 (14,518)	384		_08/ 19/2019.	1FE 3FE_

### **SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quar
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1	2	3	4	5	6	7	8	9	10		Change in	Book/Adjusted C	arrying Value	V.V.	16	17	18	19	20	21	22
CUSIP Identi- fication	Description	F o r e i g D	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value		Prior Year Book/Adjusted Carrying Value		Current Year's (Amortization)/	Current Year's Other Than Temporary Impairment Recognized	14  Total Change in B.M.C.V. (11+12-13)	Total Foreign Exchange Change in B/A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Discosal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Desig nation or I Marke Indicat (a)
3974H-4C-1	Capital Auto Receivables Asset 2015-3			Ad just ment	n surrousers	0		-58000	0.0 0.00 0.00 0.00 0.00 0.00 0.00 0.00		50	200 A	50	·	47		(48)	(48)	500	_01/22/2019.	1FE
	- Bonds - Industrial and M	iscellane				12.185.288	11,349,382	11,572,513	8,726,526	0	(4,035	0	(4,035)	0	11,464,576	0	720,709	720,709	326 .502		XXX
8399997	- Subtotals - Bonds - Part	4				37,847,704	36,168,015	36,886,722			(55,565	0	(55,565)	0	36,699,805	0	1,147,899	1,147,899	657 ,914		XXX
8399999	- Subtotals - Bonds	out or			0	37,847,704	36,168,015	36,886,722	19,914,133	0	(55,565	0	(55,565)	0	36,699,805	0	1,147,899	1,147,899	657 ,914	XXX	XXX
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		188						2000									10000				
			C-100000								1 2200					2 10 10 10 10 10 10 10 10 10 10 10 10 10			1,770		1 100
9999999	Totals					37,847,704	XXX	36 .886 .722	19,914,133		(55,565	- 0	(55,565)	0	36,699,805	0	1,147,899	1,147,899	657 ,914	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

Schedule DB - Part A - Section 1

NONE

Schedule DB - Part B - Section 1

NONE

Schedule DB - Part D - Section 1

NONE

Schedule DB - Part D - Section 2

NONE

Schedule DL - Part 1

NONE

Schedule DL - Part 2
NONE

### SCHEDULE E - PART 1 - CASH

200		Mon	th End Dep	ository Balance	8				
	1	2	3	4	5	Book E Month	Balance at End of During Current Q	Each uarter	9
3000	ko-korokannan.	2640250	Rate of	Amount of Interest Received During Current	Amount of Interest Accrued at Current Statement	6	7	8	
De D	pository	Code	Interest	Quarter	Date	First Month	Second Month	Third Month	*
Open Depositories Wells Fargo	Seattle, Washington	T. Oak	3000000	The contract of	teres est	(2.252.004)	/2 590 400)	/1 700 424)	T v v
Bank of America	Seattle, Washington					(2,252,884) 6,835,062	(2,580,499) 6,303,168	(1,780,424) 4,907,258	XXX
BNY Meilon	Pittsburgh, Pennsylvania		3,03(0)			3,500,000			XXX
(See Instructions)	depositories that do wable limit in any one depository - Open Depositories	XXX	xxx						XXX
0199999 Total Open Depositorie	S	XXX	XXX	0	0	8,082,178	3,722,669	3,126,834	XX)
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0399999 Total Cash on Denosit		XXX	XXX	0	0	8,082,178	3,722,669	3,126,834	χх
0399999 Total Cash on Deposit 0499999 Cash in Company's Offic	ce	XXX	XXX	XXX	XXX				XX
0599999 Total	- 3	XXX	XXX	0	0	8,082,178	3,722,669	3,126,834	XX

### **SCHEDULE E - PART 2 - CASH EQUIVALENTS**

			how Investments Owned End of	of Current Quarter			
1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year
			$\Lambda(O)\Lambda$	{			
			1401	<u> </u>			
	1000 100-100				1 116 1116 1116 1	200 200 200	(43) 046 g) (43) 046 46-3046
8699999 Total Cash Equivalents					0	0	