

Securities registration via email

Due to the COVID-19 outbreak, many Oregon Division of Financial Regulation staff members are working remotely. We are making every effort to process filings in our usual timely manner, but there may be some delays with processing filings.

As an accommodation to securities registration, exemption, and covered security filers, the division is giving filers the option of making filings and payments electronically for the foreseeable future. This accommodation will be reassessed once normal functions resume.

Large files or filings containing protected personal identifying information should use Biscom. Email Sarah.K.Dicky@oregon.gov for access. Scanned initial and renewal applications, as well as any amendments, may be emailed to the examiner assigned the filing or to securities.registrations@oregon.gov.

The division is continuing to accept payment of fees through check submissions via the mail to

DCBS
Cashiering Unit
P.O. Box 14610
Salem, OR 97309

The division can also accept credit card payments through either the cashiering hotline at 503-947-7891 or by faxing credit card information to our secure fax line at 503-947-2333. We are not accepting any new ACH/wire transfer accounts at this time.

Email your individual examiner or securities.registrations@oregon.gov if you have questions or concerns. Thank you.