



Captive Insurance Annual Statement

Annual Statement

For the period ended: _____

BALANCE SHEET ASSETS

	Current	Prior
1. Bonds:		
2. Stocks:		
3. Cash and cash equivalents:		
4. Short-term investments:		
5. Other invested assets: a)		
b)		
6. Investment income due and accrued:		
7. Accounts and premium receivable:		
8. Investments in and advances to affiliates:		
9. Reinsurance recoverable on unpaid losses and LAE:		
10. Reinsurance recoverable on paid losses and LAE:		
11. Funds held by ceding reinsurers:		
12. Prepaid reinsurance premiums:		
13. Deposits with reinsurer:		
14. Letters of credit:		
15. Other assets: a)		
b)		
c)		
16. Total assets:		





BALANCE SHEET

LIABILITIES, CAPITAL AND SURPLUS

Proposed name of captive: _____

Annual Statement
 For the period ended: _____

	Current	Prior
17. Losses:		
18. Loss adjustment expenses:		
19. Reinsurance payable on paid losses and LAE:		
20. Deposits held pursuant to insurance contracts:		
21. Commissions, expenses, and fees:		
22. Taxes payable:		
23. Unearned premium:		
24. Reinsurance balances payable:		
25. Loans and notes payable:		
26. Amounts due to affiliates:		
27. Funds held under reinsurance contracts:		
28. Dividends payable:		
29. Other liabilities: a)		
b)		
c)		
30. Total liabilities		
31. Capital and surplus: a) Paid in capital (par value)		
b) Contributed surplus		
c) Unrealized gain (loss) on investments		
d)		
32. Retained earnings / surplus:		
33. Total capital and surplus (page 5, line 28):		
34. Total liabilities and capital and surplus:		





STATEMENT OF INCOME

Proposed name of captive: _____

Annual Statement

For the period ended: _____

Underwriting Income:	Current	Prior
1. Net premiums written (page 7, column 6):		
2. Net (increase) decrease in unearned premiums:		
3. Net premiums earned (lines 1 and 2):		
4. Other insurance income:		
5. Total income (lines 3 and 4):		

Underwriting Expenses:		
6. Net losses incurred:		
7. Net loss adjustment expenses incurred:		
8. Commissions and brokerage:		
9. General and administrative:		
10. Other underwriting expenses:		
11. Total underwriting expenses (lines 6 through 10):		
12. Underwriting profit (loss) (line 5 minus 11):		
13. Investment income - net:		
14. Other income:		
15. Other expenses:		
16. Income before dividends and taxes (lines 12 through 15):		
17. Dividends to policyholders:		
18. Taxes:		
19. Net income (line 16 minus lines 17 and 18):		



CAPITAL AND SURPLUS ACCOUNT

Proposed name of captive: _____

For the period ended: _____

Annual Statement

For the period ended: _____

	Current	Prior
20. Capital and surplus, end of prior year:		
21. Net income:		
22. Net unrealized capital gains or loss:		
23. Capital changes: a) Paid in		
b) Transferred from surplus (stock dividend)		
c) Transferred to surplus		
24. Surplus adjustments: a) Paid in		
b) Transferred from surplus (stock dividend)		
c) Transferred from capital		
25. Dividends to shareholders:		
26. Extraordinary taxes for prior years:		
27. Other: a)		
b)		
28. Capital and surplus, end of current year:		