STATE OF OREGON
DEPARTMENT OF CONSUMER AND BUSINESS SERVICES
INSURANCE DIVISION

In the Matter of Lilian A. Shottler,	)	**STIPULATION and CONSENT ORDER**
Licensee.	)	**Case No. INS 15-08-009**

The Director of the Department of Consumer and Business Services for the State of Oregon ("Director"), pursuant to the authority set forth in ORS 744.074, and having reviewed the files and records of the Oregon Insurance Division, proposed to make the following:

Findings of Fact:

1. Lilian E. Shottler ("Shottler") has been licensed as an Oregon individual nonresident insurance producer since August 13, 2007. Her NAIC national producer number is 8651573.
2. Shottler applied for renewal of her Oregon nonresident insurance producer license ("License") on July 8, 2015. On her application, in response to a question asking whether Shottler has ever been named or involved in an administrative proceeding, Shottler answered "No." Shottler has been involved in three administrative proceedings: two in California and one in Georgia.
3. On February 17, 2011, Shottler was involved in an administrative proceeding in California in which Shottler was issued a restricted insurance producer license.
4. On May 4, 2011, Shottler was involved in an administrative proceeding in Georgia in which Shottler was issued a license subject to terms of probation.
5. On October 10, 2013, Shottler was involved in an administrative proceeding in California in which her petition for removal of license restrictions was granted.

Conclusions:

1. Under ORS 731.988(1), the Director may impose a civil penalty upon any individual who violates a provision of the Insurance Code.

2. Under ORS 744.074(1)(a) the Director may refuse to issue a license, or take any other action authorized by the Insurance Code, if an applicant provides incorrect, misleading, incomplete or materially untrue information in a license application.
3. Accurate information on a license application is material to the Director's decision whether to issue a license.
4. Shottler's statement on her application that she had never been named or involved in an administrative proceeding was incorrect, misleading, incomplete or materially untrue.
5. Based on the foregoing, the Director proposes to impose a civil penalty of $500 for providing incorrect, misleading, incomplete or materially untrue information in a license application.

Stipulation to Order and Civil Penalty:

Shottler stipulates to the foregoing facts and conclusions and agrees that she will pay a civil penalty of $500.

Shottler acknowledges that this Stipulation and Consent Order is an administrative action that the Director will report to the National Association of Insurance Commissioners (NAIC), and further acknowledges that she may be required to report this administrative action to any states in which she holds an insurance license and must disclose this administrative action on any insurance license application.

The Director hereby imposes a civil penalty of $500, which is due and payable within 30 days of the final execution of this Stipulation, and directs that Shottler is issued a license upon execution of this stipulation by all parties and after payment of the civil penalty.

In further consideration of the Director's issuance of a license, Shottler consents to entry of this order, including the civil penalty of $500, and hereby waives any and all hearing rights as well as any rights to judicial or administrative review of this order.

Dated_____

_____
Lilian A. Shottler

State of_____

County of_____

Signed or attested before me on_____, 20____ by_____

See attached form

_____
Notary Public - State of _____

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT **CIVIL CODE § 1189**

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California)
County of Los Angeles)

On 10-7-2015 before me, Morgan Johnson,
Date *Here Insert Name and Title of the Officer*

personally appeared Lilian Shottler
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

MORGAN JOHNSON
Commission # 1996392
Notary Public - California
Los Angeles County
My Comm. Expires Oct 30, 2016

Signature Morgan Johnson
Signature of Notary Public

Place Notary Seal Above

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FINAL ORDER

The Director incorporates the above Findings of Fact, Conclusions of Law, and Stipulation to Order and Civil Penalty, adopts them as the Director's final decision in this proceeding, and orders that the actions stated therein be taken

Dated 9/3/15

Laura N. Cali
Oregon Insurance Commissioner
for the Director, Department of Consumer
and Business Services