STATE OF OREGON
DEPARTMENT OF CONSUMER AND BUSINESS SERVICES
DIVISION OF FINANCIAL REGULATION

In the Matter of:

GLOBAL CLAIMS ADMINISTRATION, LLC, an Ohio limited liabililty company,

Respondent.

Case No. INS-24-0066

FINAL ORDER TO CEASE AND DESIST AND FINAL ORDER ASSESSING CIVIL PENALTY, ENTERED BY CONSENT

The Director of the Department of Consumer and Business Services for the State of Oregon ("Director"), acting under the Oregon Revised Statutes ("ORS") chapters 731, 732, 733, 734, 735, 737, 742, 743, 743A, 743B, 744, 746, 748 and 750 ("Insurance Code"), investigated the business activities of Global Claims Administration, LLC ("Respondent") and determined that Respondent engaged in a violation of the Insurance Code.

Respondent wishes to resolve and settle this matter with the Director.

Now, therefore, as evidenced by the signatures subscribed in this Order, Respondent hereby CONSENTS to entry of this Order upon the Director's Findings of Fact and Conclusions of Law below.

FINDINGS OF FACT

The Director FINDS that:

1. Respondent is a third-party administrator licensed in Oregon through the Division of Financial Regulation ("the Division") since August 30, 2011. Respondent's National Producer Number is 8857304.

2. Respondent's principal place of business is 3195 Linwood Road #201 Cincinnati, Ohio 43208.

3. Licensed third-party administrators must file an annual report for the preceding calendar year, through the Division, by March 1 of each year. Respondent did not file its

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2023 annual report with the Director by March 1, 2024.

4. Respondent filed its 2023 annual report with the Director on October 14, 2024.

CONCLUSIONS OF LAW

The Director CONCLUDES that:

5. The Director has jurisdiction over Respondent's business activities under ORS 731.236.

6. ORS 744.738(1) requires third-party administrators to file an annual report for the preceding calendar year with the Director, through the Division, by March 1 of each year, or within such extension of time as the Director may grant.

7. By failing to file its 2023 annual report by March 1, 2024, Respondent violated ORS 744.738(1).

8. Because the Director has reason to believe that Respondent violated ORS 744.738(1), the Director may, under ORS 731.252(1), order Respondent to cease and desist from violating that statute.

9. ORS 731.988(1) authorizes the Director to assess a civil penalty against Respondent of up to $10,000 per violation of the Insurance Code.

ORDERS

Now, therefore, the Director issues the following Orders:

10. As authorized by ORS 731.252(1), the Director ORDERS Respondent to CEASE AND DESIST from violating ORS 744.738(1).

11. As authorized by ORS 731.988(1), the Director hereby ORDERS Respondent to pay a $1,000 CIVIL PENALTY for violating ORS 744.738(1).

12. The Director suspends $500 of the CIVIL PENALTY, provided Respondent:

A. Pays the $500 non-suspended civil penalty; and

B. Does not violate the terms of this Order or the Insurance Code for a period of three years from the effective date of this Order.

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13. If Respondent fails to satisfy the above conditions, the suspended civil penalty ($500) becomes immediately due and owing. Otherwise, the Director will waive the suspended civil penalty three years after the effective date of this Order.

14. Along with this Order, Respondent shall submit to the Director $500 as payment for the non-suspended civil penalty,

15. This Order is binding upon Respondent's successors and assigns.

16. This Order is a "Final Order" under ORS 183.310(6)(b). Subject to that provision, entry of this Order does not limit or prevent further remedies available to the Director under Oregon law to enforce this Order or take action for violations of this Order or the Insurance Code not covered by this Order.

SO ORDERED this 17th day of March, 2025.

ANDREW R. STOLFI, Director
Department of Consumer and Business Services

/s/ Dorothy Bean

Dorothy Bean, Chief of Enforcement
Division of Financial Regulation

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CONSENT TO ENTRY OF ORDER

I, Peter Schulteis, am an officer or manager of Global Claims Administration, LLC ("Respondent"), and I am authorized to act and sign on its behalf. I have read the foregoing Order, and I know and fully understand the contents hereof. The factual allegations stated herein are true and correct. I have been advised of Respondent's right to a hearing and right to be represented by counsel in this matter. Respondent consents to the entry of this Order without any force or duress, expressly waiving any right to a hearing in this matter, as well as any rights to administrative or judicial review of this Order. Respondent understands that the Director reserves the right to take further action against Respondent to enforce this Order or to take appropriate action upon discovery that Respondent has committed other violations of the Insurance Code. Respondent, along with its officers, directors, employees, or agents, will fully comply with the terms and conditions stated herein.

Respondent understands that this Order is a public document.

Signature: */s/ Peter Schulteis*

Position Held: President

State of Ohio

County of Hamilton

Signed or attested before me by Peter Schulteis on this 6th day of March, 2025.

/s/ Nancy L Ware-Johnson

Notary Public

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