

Department of Consumer & Business Services
Division of Financial Regulation– 5
 350 Winter St. NE
 Salem, Oregon 97301-3883
 Phone (503) 947-7983

LISTING OF CURRENT FILING FORMS AS OF April 20, 2018
 (red = recent changes)

General forms common to more than one line of business				
Form number	Last revised	Form Name	Required with filing	P=Paper B=Both paper & serff
PC TD-1	1/2009	NAIC Property & Casualty Transmittal Document	Y	P
PC FFS-1	3/2007	Form Filing Schedule	Y	P
PC RRFS-1	3/2007	Rates/Rule Filing Schedule	Y	P
LH TD-1	7/2009	NAIC Life, Accident & Health, Annuity, Credit Transmittal Document	Y	P
LH FFA-1	3/2007	Form Filing Attachment	Y	P
LHRFA-1	3/2007	Rate Filing Attachment	Y	P
440-3894	6/2017	Certification of Compliance	Y	B
440-3899	12/2014	Readability Certification	Y	B

Other Forms				
Form Number	Last revised	Form subject	Required with Filing	P=Paper B=Both Paper & SERFF
440-2441	9/2016	Transmittal & Standards for Association, Union Trust, Trust, or a Qualified Discretionary Group for Life	Y	B
440-2441 A	6/2017	Transmittal & Standards for Group Health Coverage to be issued to an Association, or Trust Group	Y	B
440-2441 B	9/2016	Transmittal & Standards for Blanket Health Coverage to be issued to an Association, or Trust Group	Y	B
440-2441 C	7/2002	Appendix C Worksheet for Discretionary Group Coverages	Y	B
440-2441 D	9/2016	Transmittal & Standards for Group Health Coverage to be issued to a Discretionary Group	Y	B
440-2443	9/2016	Checklist for Credit Life and Health Standards	N	B
440-2443 D	9/2016	Transmittal & Checklist for Credit Life and Health Rate Deviations	Y	B
440-2445	9/2016	Standards for Stop-loss/Excess loss Form & Rate Filings	N	B
440-3605	9/2016	Transmittal & Standards for Title Forms & Rates	Y	B
440-3637	6/2013	Changes to Business Operations that Require a Filing	Y	B
440-4868	9/2015	Transmittal & Product Standards for Service Contract Obligors	Y	B
440-4869	9/2015	Transmittal & Product Standards for Vehicle Protection Products	Y	B

****Note**** The edition dates from September and October 2016 were updating the Division name and updating URL links within our documents only.

Health				
Form Number	Last revised	Form subject	Required w/ Filing	P=Paper B=Both Paper & SERFF
440-2442 H	9/2016	Standards for Health Applications	Y	B
440-2446	9/2016	Standard Provisions for Blanket Health and Student Health Blanket	Y	B
440-2447	5/2017	Standard Provisions for Long & Short Term Disability	Y	B
440-2448	10/2016	Standard Provisions for Large Group Health Benefit Plans	Y	B
440-2448M	9/2016	Standard Provisions for Multiple Employer Welfare Arrangements	Y	B
440-2451	1/2017	Standard Provisions for Long Term Care	Y	B
440-2452	9/2016	Standard Provisions for Medicare Supplement	Y	B
440-2452M	2/2017	Standard Provisions for Standardized 2010 Medicare Supplement	Y	B
440-2462	4/2005	Appendix A Worksheet for other than Health Benefit Plan Rates	Y	B
440-2896	9/2016	Transmittal & Requirements for Modification and Discontinuance of Health Benefit Plans	Y	B
440-3136B	4/2018	Standard Provisions for Small Employer Health Benefit Plan FORM filings	Y	B
440-3146	4/2018	Standard Provisions for Individual Health Benefit Plan FORM filings	Y	B
440-3147	3/2010	Appendix B Worksheet for Individual Health Benefit Plan Rates	Y	B
440-3172A	9/2016	Standard Provisions Dental and Vision	Y	B
440-3172B	3/2017	Standard Provisions for Limited Benefits Expense Based Policies and Event Based Policies for Hospital Indemnity, Intensive Care, Organ & Tissue Transplant	Y	B
440-3172C	2/2017	Standard Provisions for Specified Disease & Sickness	Y	B
440-3172D	1/2017	Standard Provisions for Individual and Group Short Term Care	Y	B
440-3172E	9/2017	Standard Provisions for Individual and Group Short Term Major Medical	Y	B
440-3297	9/2016	Standard Provisions for Student Health Benefit Plans	Y	B
440-3308H	9/2016	Transmittal & Product Standards for ALL Health Advertisements	Y	B
440-4763	4/2014	Oregon Standard Group Profile Form	N	
440-4838	8/2008	Long Term Care Partnership Certification Form	Y	B
440-4872	3/2017	Standard Provisions for Individual and Small Group Health Benefit Plan Rate Filings	Y	B
440-4884	10/2016	Standards for Accident Only	Y	B
440-4953	4/2018	Standards for filing Health Benefit Plan Binders	Y	B
440-4978	3/2018	Standard Provisions for Exchange Certified Pediatric Dental (ACA compliant) Forms	Y	B
440-4980	3/2017	Standard Provisions for Dental Binders	Y	B
440-5352	1/2018	Standard Provisions for Group or Individual Limited Wraparound Coverage and Similar Supplemental Coverage	Y	B

Life				
Form Number	Last revised	Form subject	Required w/ Filing	P=Paper B=Both Paper & SERFF
440-2442	10/2016	Standards for Life, AD&D, and Annuity Applications	N	B
440-2453	9/2016	Standards for Prior Approval Accelerated Death Benefits	N	B
440-2454-A	11/2011	Appendix I-A and I-B	N	B
440-2454-E	9/2016	Supplement A (Equity Index or Two-tiered Annuity)	N	B
440-2454-F	9/2016	Standards for Prior Approval Individual Fixed Deferred Annuities	N	B
440-2454-G	9/2016	Standards for Prior Approval Group Deferred Fixed & Variable Annuities	N	B
440-2454-I	9/2016	Standards for Prior Approval Fixed or Variable Immediate Annuities	N	B
440-2454-P	9/2016	Supplement B- Deferred Paid up Annuity Benefits	N	B
440-2454-X	9/2016	Standards for Prior Approval Individual Variable Annuities	N	B
440-2455	9/2016	Standards for Group Life	N	B
440-2456-A	9/2004	Appendix B-1 & B-2	N	B
440-2456-F	9/2016	Standards for Small Face Amount Individual Life	N	B
440-2456I	9/2016	Standards for Current Assumption Whole Life	N	B
440-2456-U	9/2016	Standards for Flexible Premium Adjustable Life	N	B
440-2456-W	9/2016	Standards for Individual Whole Life	N	B
440-2456-X	9/2016	Standards for Variable Life	N	B
440-2457	9/2016	Standards for Individual Term Life	N	B
440-2457x	9/2016	Standards for Filing Individual Life Rate Changes or Adding Payment Plans to Individual Term Life	N	B
440-3308	9/2016	Transmittal & Standards for Life & Annuity Advertisements	Y	B
440-3433	9/2016	Standards for Life Settlement Contracts	Y	B
440-3602	9/2016	Standards for Supplemental Riders, Endorsements, or Amendments	N	B
440-3602I	9/2016	Guidelines for Life Illustrations	N	B
440-3631	9/2016	Standards for Accidental Death & Dismemberment	N	B

Property & Casualty				
Form Number	Last revised	Form subject	Required w/ Filing	P=Paper B=Both Paper & SERFF
440-3604	9/2016	Standards for Crop/Hail and Aircraft	N	B
440-3607	9/2016	Histogram	N	B
440-3609	9/2016	Standards for Motor Vehicle Rates & Rules	N	B
440-3610	9/2016	Standards for Personal and Commercial Liability Forms, Rates & Rules	N	B

Property & Casualty				
Form Number	Last revised	Form subject	Required w/ Filing	P=Paper B=Both Paper & SERFF
440-3612	9/2016	Rating Organization Loss Cost Filing Standards for Personal Lines Rates and Rules Filing	N	B
440-3611	9/2016	Rating Organization Loss Cost Standards for Commercial Lines Rates and Rules Filing	N	B
440-3613	9/2016	Reference Filing Adoption Form	Y	B
440-3614	9/2016	Adoption of Rating Organization Prospective Loss Costs Summary of Supporting Information Form	Y	B
440-3615	9/2016	Standards for Motor Vehicle Forms	Y	B
440-3615A	9/2016	Standards for Motor Vehicle Applications	Y	B
440-3616	9/2016	Standards for GAP	Y	B
440-3617	9/2016	Standards for Boiler & Machinery or Mechanical Breakdown Forms, Rates & Rules	N	B
440-3618	9/2016	Standards for Monoline & Package Property	N	B
440-3620	9/2016	Standards for Mortgage Guaranty Forms & Rates	N	B
440-3621	9/2016	Standards for Surety and Fidelity Forms & Rates	N	B
440-3623	9/2016	Service Contract and VPP Reimbursement Liability	Y	B
440-3624	9/2016	Trip Travel Forms and Rates	Y	B
440-3625	9/2016	Standards for Involuntary Unemployment & Family Emergency Leave	Y	B
440-3625c	9/2016	Credit Default and Credit Personal Property	Y	B
440-3626	9/2016	Vehicle Rental Company Auto Insurance	Y	B
440-3627	9/2016	Personal and Commercial Inland Marine, Burglary and Theft	Y	B
440-4123	10/2016	Legal Expense Organizations	Y	B
440-5056	10/2016	Standards for Pet Insurance Forms, Rates & Rules	Y	B
440-5057	10/2016	Standards for Collateral Protection, Residual Value and Vendor Single interest forms	Y	B

Workers Compensation				
Form Number	Last revised	Form subject	Required w/ Filing	P=Paper B=Both Paper & SERFF
440-3614w	9/2016	Workers' Compensation Summary of Supporting Information Form	Y	B
440-3622	10/2016	Other Workers Compensation Rates, Plans, Rules or Rating Values	N	B
440-3628	1/2017	Workers' Compensation Rate Filing Appendix to Filing Information	Y	B
440-3631w	3/2002	Supplemental Standards for Alternative Workers' Compensation Accident and Disability	N	B
440-7236	10/2016	Standards for Workers Compensation Large Deductible and Large Risk Alternative Rating Plans Including Forms, Rates, and Rules	N	B