

**Oregon Department of Consumer and Business Services
Division of Financial Regulation**

350 Winter St. NE, Room 410, Salem, Oregon 97301-3881
Mailing address: P.O. Box 14480, Salem, OR 97309-0405
503-378-4140 • Fax: 503-947-7862
<http://dfr.oregon.gov>



MASTER TRUSTEE 2% PER YEAR REPORTING FORM

Due date for form: July 31

Fee: None

Purpose of this form: For those master trustees who elected to pay administrative fees and expenses on Form 440-4955, the master trustee must submit the 2 percent calculations based on the principal balance of the trust on Jan. 1 (Form 440-4955) and July 1. Use this form to report the maximum 2 percent of principal on July 1 that may be appropriated for administrative expenses for the reporting year.

Other important date: April 1

Information on this report will be used for line 4 on the Master Trustee Annual Report and Registration Renewal, Form 440-4017, due by April 1 each year, to report fund activity for the previous calendar year and to renew registration for the current year.

Governing statutes and rules: Oregon Revised Statute 97.943(9) and Oregon Administrative Rules Chapter 441 Division 930-0085

More information: www.dfr.oregon.gov or 503-378-4140.

If the registered master trustee completed Election Form 440-4955 (Master Trustee Election Form to Pay Administrative Fees & Expenses from Trust Fund Deposits), the trustee must submit this form.

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**MASTER TRUSTEE 2% PER YEAR REPORTING FORM
ORS 97.943(9) AND OAR 441-930-0085**

Reporting period: Jan. 1 to Dec. 31 **For calendar year:** _____

Due date: July 31 **Fee:** None

Business name: _____

ABN (if applicable): _____

Business address: _____

City, state, ZIP: _____

Business phone: _____ Business fax: _____

Business email: _____ Contact name: _____

Mailing address, if different from above: _____

City, state, ZIP: _____

The principal amount of trust as of Jan. 1 \$ _____

Two percent of the principal amount of the trust fund as of Jan. 1 \$ _____

The principal amount of the trust fund as of July 1 \$ _____

Two percent of the principal amount of the trust fund as of July 1 \$ _____

The calculation completed in number 4 above shall be the maximum amount appropriated for administrative expenses to report on the Master Trustee Annual Report Form 440-4017 due April 1 each year.

Signature: _____ Title: _____

Type or print name: _____ Date: _____

Phone: _____