





1 CONCLUSIONS OF LAW

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The Director **CONCLUDES** that:

- 1. The stock which was offered and sold by Respondents **US SECURITIES** and **COPPA** is a "security" as defined in ORS 59.015(19)(a).
- 2. Respondents **US SECURITIES** and **COPPA** offered and/or sold a security in the State of Oregon without being licensed as a broker-dealer or broker-dealer salesperson in violation of ORS 59.165(1).

ORDER

Therefore, the Director **ORDERS**

- 1. That Respondents shall cease and desist from offering and/or selling securities to persons in the State of Oregon in violation of ORS Chapter 59, OAR Chapter 441, or the Oregon securities law.
- 2. That Respondent **US SECURITIES** is ordered to pay the sum of **TEN THOUSAND DOLLARS** (\$10,000.00) as a civil penalty for violations of ORS 59.165 described herein (Respondent tendered said sum on October 24, 2002).
- 3. That Respondent **COPPA** is ordered to pay the sum of **FOUR THOUSAND DOLLARS** (\$4,000.00) as a civil penalty for violations of ORS 59.165 described herein (Respondent tendered said sum on October 24, 2002).

**IT IS SO ORDERED.**

Dated this 18<sup>th</sup> day of November, 2002 at Salem, Oregon.

  
**MARY C. NEIDIG, DIRECTOR**  
**DEPARTMENT OF CONSUMER & BUSINESS SERVICES**  
**STATE OF OREGON**

Division of Finance and Corporate Securities  
Labor and Industries Building  
350 Winter Street NE, Suite 410  
Salem, OR 97301-3881  
Telephone: (503) 578-4387



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*David T. Weiss*

Dated: 11/14/02 \_\_\_\_\_

David T. Weiss  
Securities Enforcement Officer  
Division of Finance and Corporate Securities  
Department of Consumer and Business Services  
State of Oregon

*Edward B. Whittemore*

Dated: Nov. 13, 2002

Edward B. Whittemore  
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**CONSENT TO ENTRY OF ORDER**

I, WILLIAM COPPA, state that I am a resident of the State of New York, that I have read the foregoing Order and that I know and fully understand the contents thereof; that I admit that the factual allegations stated herein are true and correct; that I have been advised of my right to a hearing, and that I have had the opportunity to have been represented by counsel in this matter; that I voluntarily consent to the entry of this Order without any force or duress, expressly waiving any right to a hearing in this matter; that I understand that the Director reserves the right to take further actions against me to enforce this Order or to take appropriate action upon discovery of other violations of the Oregon Securities Law by me; and that I will fully comply with the terms and conditions stated herein.

I further assure the Director that I am a principal of US SECURITIES, INT'L CORP, and in said capacity have the authority to enter into this Order on behalf of said Firm.

I further assure the Director that I will not engage in the activities of a broker-dealer, investment adviser, or mortgage broker, or act as a salesperson for any of these unless such activities are in full compliance with Chapter 59 of the Oregon Revised Statutes.

I understand that this Consent Order is a public document.

Dated this 12<sup>th</sup> day of November, 2002.

*William Coppa*  
\_\_\_\_\_  
William Coppa

SUBSCRIBED AND SWORN to before me this 12<sup>th</sup> day of November, 2002.

*Mayra Franceschini*  
\_\_\_\_\_  
(Printed Name of Notary Public)  
Notary Public *Mayra Franceschini*  
for the State of: NY  
My commission expires: 11-23-02

MAYRA FRANCESCHINI  
Notary Public, State of New York  
No. 24-5004679  
Qualified in Richmond County  
and New York County  
Commission Expires 11-23-02

Division of Finance and Corporate Securities  
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