

1 DEPARTMENT OF CONSUMER AND BUSINESS SERVICES  
 2 DIVISION OF FINANCE AND CORPORATE SECURITIES  
 3 SECURITIES SECTION  
 4 BEFORE THE DIRECTOR OF THE DEPARTMENT OF CONSUMER AND BUSINESS SERVICES  
 5 STATE OF OREGON

6 In the Matter of: ) 0-94-0014  
 7 VAN DEVENTER & HOCH )  
 8 Respondent. ) CEASE AND DESIST ORDER  
 9 ) ORDER ASSESSING CIVIL PENALTY  
 10 ) AND  
 11 ) CONSENT TO ORDER

12 WHEREAS the Director of the Department of Consumer and Business Services  
 13 has conducted an investigation of VAN DEVENTER & HOCH, and has determined that  
 14 VAN DEVENTER & HOCH transacted investment adviser business by and through  
 15 unlicensed salespersons in the State of Oregon in violation of the Oregon  
 16 Securities Law;

17 NOW THEREFORE, as evidenced by the authorized signature subscribed on this  
 18 Order, VAN DEVENTER & HOCH hereby CONSENTS to entry of this Order to Cease and  
 19 Desist upon the Director's Findings of Fact and Conclusions of Law as stated  
 20 hereinafter:

21 FINDINGS OF FACT

22 The Director FINDS that:

23 1. VAN DEVENTER & HOCH (hereinafter "VAN DEVENTER") is a California  
 24 partnership, currently located at 800 North Brand Boulevard, Suite 300,  
 25 Glendale, California 91203.

26 2. VAN DEVENTER initially licensed as an investment adviser with Oregon  
 effective December 5, 1979 and has annually renewed its license since that date.

3. Between December 5, 1979 and the present, VAN DEVENTER has been in the  
 business of offering advice for compensation to Oregon clients regarding  
 investments in securities.

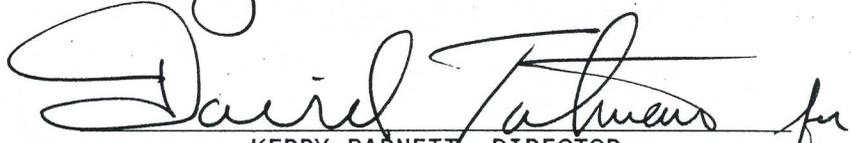
ORDER TO CEASE AND DESIST, ORDER ASSESSING CIVIL PENALTIES, CONSENT TO ORDER  
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OREGON DEPARTMENT OF CONSUMER AND BUSINESS SERVICES  
 Corporate Securities Section  
 21 Labor and Industries Building  
 Salem, OR 97310  
 (503) 378-4387



1 1. VAN DEVENTER is ORDERED TO PAY the sum of \$7,500 for violations stated  
2 herein.

3 Dated this 6<sup>th</sup> day of June, 1994, at Salem, Oregon.

4  
5   
6 KERRY BARNETT, DIRECTOR  
7 DEPARTMENT OF CONSUMER AND BUSINESS SERVICES

8  
9 **CONSENT TO ENTRY OF ORDER  
ADMISSION OF FACTS**

10 I, Richard Allen Snyders, state that I am the ~~Managing Partner~~ <sup>PRESIDENT</sup> of  
11 Respondent Van Deventer & Hoch and I am authorized to act on its behalf; that I  
12 have read the foregoing Order and that I know and fully understand the contents  
13 thereof; that Respondent admits that the factual allegations contained herein  
14 are true and correct; that Respondent has been advised of its right to a  
15 hearing, and that Respondent consents to the entry of this Order voluntarily  
16 without any force or duress; that Respondent understands that the Director  
17 reserves the right to take further action to entry of this Order, or, upon  
18 discovery, to enforce other violations of the Oregon Securities Law by  
19 Respondent; that Respondent will fully comply with the terms and conditions  
20 stated in this Order.

21 Respondent Van Deventer & Hoch further assures the Director that it will  
22 not transact any business in the State of Oregon unless such transactions are in  
23 full compliance with Chapter 59 of the Oregon Revised Statutes. I understand  
24 that this Consent Order is a public document.

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**ORDER TO CEASE AND DESIST, ORDER ASSESSING CIVIL PENALTIES, CONSENT TO ORDER**  
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PARTNERSHIP ACKNOWLEDGEMENT

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There appeared before me this 1<sup>st</sup> day of June, 1994

Richard Allen Snyders, who was first sworn on oath, and stated that he is the  
~~Managing Partner~~ **PRESIDENT** of Van Deventer & Hoch and that he is authorized and empowered  
to sign this Consent to Entry of Order on behalf of Van Deventer & Hoch, and to  
bind Van Deventer & Hoch to the terms thereof.

Dated this 1<sup>st</sup> day of JUNE, 1994.

Richard Allen Snyders  
Richard Allen Snyders, ~~Partner~~  
Van Deventer & Hoch **PRESIDENT**

SUBSCRIBED AND SWORN to before me this 1<sup>st</sup> day of June, 1994

Sherry Ellen Smith  
NOTARY PUBLIC

State of: California

My commission expires: 1-17-95



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