

STATE OF OREGON  
DEPARTMENT OF CONSUMER AND BUSINESS SERVICES  
DIVISION OF FINANCIAL REGULATION

In the Matter of:

Case No. INS-16-0243

JOHN R. BALTES,

Respondent.

FINAL ORDER TO CEASE AND  
DESIST AND FINAL ORDER  
REVOKING LICENSE, ENTERED BY  
DEFAULT

On July 20, 2016, the Director of the Department of Consumer and Business Services (“Director”), by and through the Division of Financial Regulation (“Division”), properly served Notice on JOHN R. BALTES (“Respondent”) that the Director intended to revoke Respondent’s Oregon nonresident insurance producer license (“License”).

The Notice offered Respondent an opportunity for a hearing, if requested within 30 days. The Notice further informed Respondent that if a hearing was not conducted because Respondent did not timely request a hearing or otherwise defaulted, then the designated portion of the Director’s file and all materials submitted by Respondent in this case would automatically become part of the contested case record for the purpose of proving a *prima facie* case.

The Director did not receive from Respondent a request for a hearing and did not conduct a hearing.

The Director finds that the record of this proceeding proves a *prima facie* case.

The Director makes the following Findings of Fact, Conclusions of Law, Orders, and Notice of Right to Judicial Appeal.

FINDINGS OF FACT

The Director FINDS that:

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Telephone: (503) 378-4387





1 in another jurisdiction or by another governmental agency in this state not later than the  
2 30th day after the date of the final disposition of the matter. Respondent failed to notify  
3 the Director of seven administrative actions within 30 days of the final disposition of the  
4 matters.

5 11. Under ORS 744.074(1)(b), the Director may revoke an insurance producer  
6 license for violating any insurance laws, or violating any rule, subpoena or order of the  
7 director or of the insurance commissioner of another state. The Director may revoke  
8 Respondent's License because Respondent failed to report administrative actions taken  
9 against him in other states.

10 12. Under ORS 744.074(1)(i), the Director may revoke an insurance producer  
11 license for the cancellation, revocation, suspension or refusal to renew by any state. The  
12 record of the cancellation, revocation, suspension or refusal to renew shall be conclusive  
13 evidence of the action taken.

14  
15 ORDER

16 Now therefore, the Director issues the following Orders:

17 13. As authorized by ORS 731.252(1), the Director ORDERS Respondent to  
18 CEASE AND DESIST from violating any provision of the Insurance Code or the  
19 administrative rules promulgated thereunder.

20 14. The Director, pursuant to ORS 744.074(1)(b) and (i), hereby REVOKES  
21 Respondent's Oregon nonresident insurance producer license.

22  
23 SO ORDERED this 20<sup>th</sup> day of August, 2016 in Salem,  
24 Oregon.

25 //

26

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PATRICK M. ALLEN, Director  
Department of Consumer and Business Services

/s/ David Tatman  
David C. Tatman, Chief of Enforcement  
Division of Financial Regulation

NOTICE OF RIGHT TO JUDICIAL APPEAL

You are entitled to judicial review of this order in accordance with ORS 183.482.  
You may request judicial review by filing a petition with the Court of Appeals in Salem,  
Oregon, within 60 days from the date this order is served.

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