

1
2
3 STATE OF OREGON
4 DEPARTMENT OF CONSUMER AND BUSINESS SERVICES
5 DIVISION OF FINANCIAL REGULATION

6 In the Matter of:

Case No. SS-16-0326

7 EDWARD C. ROQUETA,

ORDER SUSPENDING INSURANCE
PRODUCER LICENSE

8 Respondent.

9 The Director of the Department of Consumer and Business Services for the State
10 of Oregon (“Director”), acting in accordance with Oregon Revised Statutes (“ORS”)
11 chapters 731, 732, 733, 734, 735, 737, 742, 743, 743A, 744, 746, 748 and 750
12 (“Insurance Code”) and ORS 25.750, hereby suspends the resident insurance producer
13 license (“License”) of Edward C. Roqueta (“Respondent”).

14 The Director makes the following Findings of Fact, Conclusions of Law and
15 Order.

16 FINDINGS OF FACT

17 The Director FINDS that:

18 1. Respondent has been licensed through the Division of Financial Regulation,
19 previously known as the Insurance Division (“Division”), as an Oregon resident
20 insurance producer since May 15, 2013. Respondent’s License expired on December 31,
21 2015. Respondent’s NAIC national producer number is 9048201.

22 2. On August 10, 2016, the Multnomah County District Attorney on behalf of
23 the State of Oregon Child Support Program (“CSP”), issued to the Division a Notice to
24 Suspend Respondent’s License.

25 3. The CSP Notice to Suspend states that Respondent has an order to pay current
26

Division of Financial Regulation
Labor and Industries Building
350 Winter Street NE, Suite 410
Salem, OR 97301-3881
Telephone: (503) 378-4387



1 child support, owes the greater of more than three times the monthly child support
2 obligation or \$2,500 in child support arrears, and has not entered into a payment
3 agreement with CSP.

4 4. The Notice to Suspend issued by CSP states that proper notice and
5 opportunity to contest the suspension have been provided under ORS 25.750 through
6 ORS 25.783.

7
8 **CONCLUSIONS OF LAW**

9 The Director CONCLUDES that:

10 5. A person may not sell, solicit or negotiate insurance in this state for any class
11 or classes of insurance unless the person is licensed as an insurance producer under ORS
12 744.053.

13 6. Respondent is licensed with the Division as an “insurance producer” as
14 defined by ORS 731.104.

15 7. Respondent’s License is a license required by the Oregon Insurance Code in
16 order to engage in the occupation or profession of an insurance producer.

17 8. Under ORS 744.011(1), the expiration of a license shall not deprive the
18 Director of jurisdiction to proceed with any action or disciplinary proceedings against the
19 licensee.

20 9. Pursuant to ORS 25.750, Respondent’s License is subject to suspension by the
21 Director because CSP has certified to the Division that:

- 22 A. The Department of Justice maintains Respondent’s child support record;
- 23 B. Respondent is subject to an order to pay current child support;
- 24 C. Respondent owes the greater of more than three times the monthly child
25 support obligation or \$2,500 in child support arrears, and Respondent has not entered
26 into an agreement with CSD; and

Division of Financial Regulation
Labor and Industries Building
350 Winter Street NE, Suite 410
Salem, OR 97301-3881
Telephone: (503) 378-4387



1 D. Proper notice and opportunity to contest the suspension have been provided
2 pursuant to ORS 25.750 through ORS 25.783.

3
4 ORDER

5 Now therefore, the Director issues the following Order:

6 10. The Director, pursuant to ORS 25.750 and 25.765(3), hereby SUSPENDS the
7 resident insurance producer license of Respondent until such time as all conditions of
8 reinstatement have been met, as provided by ORS 25.774.

9 11. The effective date of this order is the day this Order is served on the
10 Respondent. The entry of this Order in no way limits further remedies which may be
11 available to the Director under Oregon law.

12
13 SO ORDERED this 19th day of August, 2016.

14 PATRICK M. ALLEN, Director
15 Department of Consumer and Business Services

16
17 /s/ David Tatman
18 David C. Tatman, Chief of Enforcement
19 Division of Financial Regulation

20
21 *[The remainder of this page intentionally left blank.]*
22
23
24
25
26

Division of Financial Regulation
Labor and Industries Building
350 Winter Street NE, Suite 410
Salem, OR 97301-3881
Telephone: (503) 378-4387

