

STATE OF OREGON
DEPARTMENT OF CONSUMER AND BUSINESS SERVICES
DIVISION OF FINANCIAL REGULATION

In the Matter of:

Case No. INS-16-0043

NICOLE M. JAKLEVICH,

Applicant.

ORDER TO CEASE AND DESIST,
FINAL ORDER TO ISSUE LICENSE,
FINAL ORDER ASSESSING CIVIL
PENALTY AND CONSENT TO
ENTRY OF ORDER

The Director of the Department of Consumer and Business Services for the State of Oregon (“Director”), acting in accordance with the Oregon Revised Statutes (“ORS”) chapters 731, 732, 733, 734, 735, 737, 742, 743, 743A, 744, 746, 748 and 750 (“Insurance Code”) and specifically ORS 744.074, has conducted an investigation into the Resident Insurance Producer License application of Nicole M. Jaklevich (“Applicant”).

Applicant wishes to resolve and settle this matter with the Director.

Now, therefore, as evidenced by the signature(s) subscribed on this Order, Applicant hereby CONSENTS to entry of this Order.

FINDINGS OF FACT

The Director FINDS that:

1. Applicant applied for an Oregon Resident Insurance Producer License (“License”) on or around November 10, 2015.
2. As part of the application process, Applicant completed and submitted to the Division of Financial Regulation (“Division”), formerly the Insurance Division, an application form indicating that she had never been convicted of a felony or misdemeanor.

Division of Financial Regulation
Labor and Industries Building
350 Winter Street NE, Suite 410
Salem, OR 97301-3881
Telephone: (503) 378-4387



1 3. During review of the application, the Division learned that Applicant was
2 convicted of minor in possession of alcohol and obstruction, both misdemeanors, on
3 February 24, 2000 in Leavenworth Municipal Court, Case No. MCCR00-0000035.

4 4. After the application was filed, and the prior criminal convictions were
5 discovered, Applicant admitted to being the subject of the convictions.

6 CONCLUSIONS OF LAW

7 The Director CONCLUDES that:

8 5. Accurate information about an applicant's history of criminal conviction is
9 material to the Director's decision whether to issue a License.

10 6. Applicant's representation in her application that she had not been convicted
11 of a misdemeanor or felony was incorrect, misleading, incomplete, or materially untrue.

12 7. Under ORS 731.260, no person shall file with the Director any application
13 known to be false or misleading.

14 8. Under ORS 744.074(1)(a), the Director may refuse to issue an insurance
15 producer license and may take other actions authorized by the Insurance Code in lieu
16 thereof or in addition thereto, if an applicant provides incorrect, misleading, incomplete
17 or materially untrue information in the license application.

18 9. Under ORS 731.988(1) the Director may impose a civil penalty of up to
19 \$1,000 per violation upon any individual who violates a provision of the Insurance Code.

20 ORDERS

21 Now therefore, the Director issues the following Orders:

22 10. As authorized by ORS 731.252(1), the Director ORDERS Applicant to
23 CEASE AND DESIST from violating any provision of the Insurance Code or the
24 administrative rules promulgated thereunder.

25 11. Based upon the foregoing and in accordance with ORS 731.988(1), the
26 Director ORDERS that a Resident Insurance Producer License be issued to Applicant,



1 subject to a CIVIL PENALTY of \$250 for providing incorrect, misleading, incomplete,
2 or materially untrue information in a license application.

3 12. The CIVIL PENALTY assessed herein is due and payable at the time this
4 Order is returned to the Division.

5 SO ORDERED this 15th day of March, 2016.

6 PATRICK M. ALLEN, Director
7 Department of Consumer and Business Services

8
9 /s/ David Tatman
10 David C. Tatman, Chief of Enforcement
11 Division of Financial Regulation

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CONSENT TO ENTRY OF ORDER

I, Nicole M. Jaklevich, state that I have read the foregoing Order and that I know and fully understand the contents hereof; that the factual allegations stated herein are true and correct; that I have been advised of my right to a hearing, and that I have been advised of my right to be represented by counsel in this matter; that I voluntarily consent to the entry of this Order without any force or duress, expressly waiving any right to a hearing in this matter, as well as any rights to administrative or judicial review of this Order; that I understand that the Director reserves the right to take further actions against me to enforce this Order or to take appropriate action upon discovery of other violations of the Insurance Code by me; and that I will fully comply with the terms and conditions stated herein.

I understand that this Order is a public document.

/s/ Nicole Jaklevich

Signature

State of Oregon

County of Washington

Signed or attested before me on this 7th day of March, 2016

by Nicole M. Jaklevich.

/s/ Amanda Nicole Tutor

Notary Public

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